



BAY AREA
AIR QUALITY
MANAGEMENT
DISTRICT

BOARD OF DIRECTORS' REGULAR MEETING

April 4, 2007

A meeting of the Bay Area Air Quality Management District Board of Directors will be held at 9:45 a.m. in the 7th floor Board Room at the Air District headquarters, 939 Ellis Street, San Francisco, California.

Questions About an Agenda Item

The name, telephone number and e-mail of the appropriate staff person to contact for additional information or to resolve concerns is listed for each agenda item.

Meeting Procedures

The public meeting of the Air District Board of Directors begins at 9:45 a.m. The Board of Directors generally will consider items in the order listed on the agenda. However, any item may be considered in any order.

After action on any agenda item not requiring a public hearing, the Board may reconsider or amend the item at any time during the meeting.

BOARD OF DIRECTORS' REGULAR MEETING A G E N D A

WEDNESDAY
APRIL 4, 2007

BOARD ROOM
7TH FLOOR

9:45 A.M.

CALL TO ORDER

Opening Comments
Roll Call
Pledge of Allegiance
Commendation/Proclamation

Chairperson, Mark Ross
Clerk of the Boards

PUBLIC COMMENT PERIOD

Public Comment on Non-Agenda Items, Pursuant to Government Code Section 54954.3
Members of the public are afforded the opportunity to speak on any agenda item. All agendas for regular meetings are posted at District headquarters, 939 Ellis Street, San Francisco, CA, at least 72 hours in advance of a regular meeting. At the beginning of the regular meeting agenda, an opportunity is also provided for the public to speak on any subject within the Board's subject matter jurisdiction. Speakers will be limited to three (3) minutes each.

CONSENT CALENDAR (ITEMS 1 – 5)

Staff/Phone (415) 749-

1. Minutes of March 21, 2007
M. Romaidis/4965
mromaidis@baaqmd.gov
2. Communications
Information only
J. Broadbent/5052
jbroadbent@baaqmd.gov
3. Quarterly Reports of the Clerk of the Board
J. Broadbent/5052
jbroadbent@baaqmd.gov
4. Set Public Hearing for May 2, 2007 to Consider New District Regulation 6: Rule 2: Commercial Cooking Equipment, and Adopt CEQA Negative Declaration
H. Hilken/4642
hhilken@baaqmd.gov

Proposed New Regulation 6: Rule 2 would regulate PM₁₀ (particulate matter of 10 microns in diameter or less) and organic compound emissions from charbroilers used in commercial restaurant operations. The proposed rule will fulfill the District's commitment to control restaurant emissions under its SB 656 Particulate Matter Implementation Schedule and to study potential controls on commercial charbroilers as proposed in further study measure FS-3 in the Bay Area 2005 Ozone Strategy.

5. Set Public Hearing for May 2, 2007 to Consider Proposed Amendments to District Regulation 3: Fees, and Approval of a Notice of Exemption from CEQA and Set a Final Public Hearing for June 6, 2007 to Consider Additional Testimony on Regulation 3: Schedules L, Q, R, and S pursuant to California Health and Safety Code Section 41512.5

B. Bateman/4653

bbateman@baaqmd.gov

Proposed amendments to District Regulation 3: Fees, will help the Air District recover a greater share of the costs incurred to implement and enforce regulatory programs for stationary sources. A second public hearing on June 6, 2007, is required under California Health and Safety Code 41512.5 to consider any further testimony regarding proposed amendments to Regulation 3: Schedule L: Asbestos Operations and Schedule Q: Excavation of Contaminated Soil and Removal of Underground Storage Tanks, and proposed adoption of Schedule R: Commercial Cooking Operations and Schedule S: Naturally Occurring Asbestos Operations

COMMITTEE REPORTS AND RECOMMENDATIONS

6. Report of the **Budget and Finance Committee** Meeting of March 26, 2007

CHAIR: C. DALY

J. Broadbent/5052

jbroadbent@baaqmd.gov

7. Report of the **Executive Committee** Meeting of March 26, 2007

CHAIR: M. ROSS

J. Broadbent/5052

jbroadbent@baaqmd.gov

8. Report of the **Mobile Source Committee** Meeting of March 29, 2007

CHAIR: T. SMITH

J. Broadbent/5052

jbroadbent@baaqmd.gov

Action(s): The Committee may recommend Board of Directors' approval of the following:

- A) *A Bicycle Facility Program for Fiscal Year 2007/2008, including the allocation of \$600,000 in Transportation Fund for Clean Air (TFCA) Regional Funds, along with the proposed Bicycle Facility Program Guidelines;*
- B) *Proposed Fiscal Year 2007/2008 TFCA Regional Fund Policies and Evaluation Criteria;*
- C) *Allocation of \$1,000,000 in TFCA Regional Funds to Clean Air Regional Funds to Clean-Air Vehicle Advanced Technology Demonstration Projects that meet the FY 2007/2008 TFCA Regional Fund Policies;*
- D) *Allocation of \$21,761,710 in Carl Moyer Program (CMP) Year 8 and Year 9 Funding Cycle Funds for the Eligible Projects;*
- E) *Allocation of \$4,103,646 in Mobile Source Incentive Fund (MSIF) revenues for the eligible projects listed;*

- F) *Funding for the projects listed as contingency projects to be funded with either CMP or MSIF dollars if funds become available due to current or prior year grant award cancellations or completion of projects under budget;*
- G) *Authorization for the Executive Officer to enter into funding agreements with recipients of grant awards for the projects; and*
- H) *Approval of the Transportation Fund for Clean Air Report on FY 2006/2007 Allocations and Effectiveness.*

CLOSED SESSION

- 9. Conference with Legal Counsel –

Existing Litigation Government Code Section 54956.9(a)

Pursuant to Government Code Section 54956.9(a), a need exists to meet in closed session with legal counsel to consider the following case:

Paul Mauriello v. Bay Area AOMD (Public Employment Relations Board, Unfair Practice Charge No. SF-CE-336-M)

- 10. Conference with Air District's Labor Negotiator –

Pursuant to Government Code Section 54957.6, a need exists to meet in closed session to discuss collective bargaining matters

Air District Representative: Jack P. Broadbent, Executive Officer/APCO

Employee Organization: Bay Area Air Quality Management District Employees' Association

OPEN SESSION

OTHER BUSINESS

- 11. Report of the Executive Officer/APCO
- 12. Chairperson's Report
- 13. Board Members' Comments

Any member of the Board, or its staff, on his or her own initiative or in response to questions posed by the public, may: ask a question for clarification, make a brief announcement or report on his or her own activities, provide a reference to staff regarding factual information, request staff to report back at a subsequent meeting concerning any matter or take action to direct staff to place a matter of business on a future agenda. (Gov't Code § 54954.2)

- 14. Time and Place of Next Meeting - 9:45 a.m., Wednesday, April 18, 2007- 939 Ellis Street, San Francisco, CA 94109
- 15. Adjournment

CONTACT CLERK OF THE BOARD - 939 ELLIS STREET SF, CA 94109

(415) 749-4965

FAX: (415) 928-8560

BAAQMD homepage:

www.baaqmd.gov

- To submit written comments on an agenda item in advance of the meeting.
- To request, in advance of the meeting, to be placed on the list to testify on an agenda item.
- To request special accommodations for those persons with disabilities. Notification to the Executive Office should be given at least 3 working days prior to the date of the meeting so that arrangements can be made accordingly.

BAY AREA AIR QUALITY MANAGEMENT DISTRICT

Memorandum

To: Chairperson Ross and Members
of the Board of Directors

From: Jack P. Broadbent
Executive Officer/APCO

Date: March 27, 2007

Re: Board of Directors' Draft Meeting Minutes

RECOMMENDED ACTION:

Approve attached draft minutes of the Board of Directors meeting of March 21, 2007.

DISCUSSION

Attached for your review and approval are the draft minutes of the March 21, 2007 Board of Directors' meeting.

Respectfully submitted,

Jack P. Broadbent
Executive Officer/APCO

BAY AREA AIR QUALITY MANAGEMENT DISTRICT
939 ELLIS STREET – SAN FRANCISCO, CA 94109

Draft Minutes: Board of Directors' Regular Meeting – March 21, 2007

Call To Order

Opening Comments: Chair Mark Ross called the meeting to order at 9:49 a.m.

Roll Call: Present: Mark Ross, Chair, Tom Bates, Chris Daly, Erin Garner, Scott Haggerty, Jerry Hill, Liz Kniss (10:05 a.m.), Patrick Kwok, Janet Lockhart, Jake McGoldrick, Nate Miley, Michael Shimansky, John Silva, Tim Smith, Pamela Torliatt (10:03 a.m.), Gayle B. Uilkema.

Absent: Harold Brown, Dan Dunnigan, John Gioia, Yoriko Kishimoto, Carol Klatt, Brad Wagenknecht.

Pledge of Allegiance: The Board of Directors recited the Pledge of Allegiance.

Public Comment Period: There were none.

Unveiling of Photography Display: Chairperson Ross, Vice-Chair Hill, and Director Haggerty unveiled panoramas displayed in the 7th floor board room.

Consent Calendar (Items 1 – 6)

1. Minutes of February 7, 2007
2. Communications. Correspondence addressed to the Board of Directors. For information only.
3. Quarterly Report of Division Activities: *Report of Division Activities for the months of October – December 2006.*
4. Consider Authorization of the Executive Officer/APCO to Accept Fiscal Year 2006/2007 Carl Moyer Program Funds: *The Board of Directors considered authorizing the Executive Officer/APCO to accept fiscal year 2006/2007 Carl Moyer Program funds.*
5. Referral of Draft Proposed Budget for Fiscal Year Ending 2008 to the Budget and Finance Committee:

Pursuant to Administrative Code Division II, Section 3.2 Fiscal Policies and Procedures, and in compliance with Section 29064 of the Government Code, the Board referred the proposed budget for Fiscal Year Ending 2008 to the Budget and Finance Committee for review and consideration.

6. Consider Approval of Hiring Recommendation at Step E for a Principal Environmental Planner Position

Considered approval of hiring recommendation at Step E for a Principal Environmental Planner. This position will be responsible for the Climate Protection Grant Program.

Board Action: Director Hill moved approval of the Consent Calendar; seconded by Director Smith; carried unanimously with the following Board members voting:

AYES: Brown, Daly, Garner, Haggerty, Hill, Kwok, Lockhart, McGoldrick, Miley, Shimansky, Silva, Smith, Uilkema, Ross.

NOES: None.

ABSENT: Brown, Dunnigan, Gioia, Kishimoto, Klatt, Kniss, Torliatt, Wagenknecht.

Adopted Resolution No. 2007-01: A Resolution Accepting Carl Moyer Program Funds From the California Air Resources Board

Committee Reports and Recommendations

7. Report of the Executive Committee Meeting of February 9, 2007

Chair Ross presented the report and stated that the Committee met on Friday, February 9, 2007 and received and filed the Reports of the Hearing Board and Advisory Council. Advisory Council Chair, Fred Glueck, reviewed the issues the Council would pursue in 2007: 1) The Community Air Risk Evaluation (CARE) program, 2) particulate matter and wood smoke, 3) the Spare the Air Tonight campaign, 4) indoor air quality, and 5) climate protection.

Staff provided the Committee with a status report and updates on the Spare the Air program. The 2007 proposal for the program was presented to the Committee. The Public Outreach Committee will discuss the proposal at their next meeting.

The Committee received an overview of key discussion items at the January 17, 2007 Board Retreat. Staff reviewed the state of the Air District, programs to reduce toxic air contaminants, a wood smoke strategy, and climate protection efforts and received direction from the Committee.

Staff provided a financial overview for the fiscal year 2007/2008 budget process. The unfunded medical liability was discussed and it was noted that the actuarial study has been completed. A detailed presentation was scheduled for the February 28th Budget and Finance Committee meeting.

The next meeting of the Committee will be at the Call of the Chair.

Board Action: Chair Ross moved that the Board of Directors' approve the report of the Executive Committee; seconded by Director Kishimoto; carried unanimously without objection.

8. Report of the Budget and Finance Committee Meeting of February 28, 2007

Action(s): The Committee recommended that the Board of Directors' approve staff's recommendation to designate and fund a reserve for "other-than-pension post-employment benefits (OPEB) for Fiscal Year ending 2008, and the transfer of \$1.4 million from undesignated reserves to the newly designated reserve fund.

Director Daly presented the report and stated that the Committee met on Wednesday, February 28, 2007 and received the Second Quarter Financial Report for Fiscal Year 2006/2007.

One member of the public addressed the audit report under the Public Comment Period.

Gary Caporicci of Caporicci & Larson, provided an overview of the Financial Audit Report for fiscal year 2005/2006. The report included financial statements and reporting responsibilities, the audit procedures, and the audit results. Staff was provided direction regarding details on the increase in salaries and benefits, and on the program distribution.

Staff provided an overview on the continuing State-Wide Carl Moyer audits. The status of the audits are as follows: 1) Bureau of State Audits – onsite work is complete; 2) Air Resources Board – initial onsite work is complete and the auditors will be onsite for one week beginning April 9th; and 3) Department of Finance – initial onsite meeting complete, but onsite work dates have not been determined. Results of Carl Moyer audits of other air districts were reviewed.

Staff provided a report on Other Post Employment Benefits (OPEB) that included information on the background of the new reporting requirements for non-pension retiree benefits, a summary of the actuarial study results, a recommendation on pre-funding, and the financial impacts of pre-funding. Staff noted that the funding this year is being taken out of the Reserves, but will be a line item in future budgets. Staff recommended that the Committee recommend that the Board of Directors designate a reserve for "other-than-pension post-employment benefits," and transfer \$1.4 million from undesignated reserves to the newly designated reserve fund.

The next meeting of the Committee is scheduled for 9:00 a.m., Monday, March 26, 2007.

Board Action: Director Daly moved that the Board of Directors' approve the recommendation and report of the Executive Committee; seconded by Director Shimansky; carried unanimously without objection.

9. Report of the Personnel Committee Meeting of March 5, 2007

Action(s): The Committee recommended approval of the following actions:

- A) *Appointment of Thomas M. Dailey, M.D. to fill the regular Medical Profession position for a three-year term that expires on April 14, 2010, and*

the appointment of Christian Colline to fill the regular Registered Professional Engineer position for a three-year term that expires on March 6, 2010;

- B) Approve the appointment of Janet Weiss, M.D. to the alternate Medical Profession position for a three-year term effective April 14, 2007 and expires on April 14, 2010; and*
- C) Appoint Melissa Tumbleson to the alternate Registered Professional Engineer position for a three-year term effective immediately and expires on March 6, 2010.*

Director Lockhart presented the report and stated that the Committee met on Monday, March 5, 2007 to conduct interviews of candidates to fill the regular and alternate Medical Profession and Registered Professional Engineer positions on the District's Hearing Board.

The Committee recommends Board of Directors' approval of appointments to the District's Hearing Board:

1. Reappointment of Thomas M. Dailey, M.D. to fill the regular Medical Profession position for a three-year term to expire on April 14, 2010, and the reappointment of Christian Colline to fill the regular Registered Professional Engineer position for a three-year term to expire on March 6, 2010.
2. Appointment of Janet Weiss, M.D. to the alternate Medical Profession position for a three-year term effective April 14, 2007 and expiring on April 14, 2010.
3. Appointment of Melissa Tumbleson to the alternate Registered Professional Engineer position for a three-year term effective immediately and expiring on March 6, 2010.

The next meeting of the Committee will be at the Call of the Chair.

Board Action: Director Lockhart moved that the Board of Directors' approve the Committee recommendations for the regular and alternate Medical Profession and Registered Professional Engineer positions on the Air District's Hearing Board for the stated terms of office; seconded by Director Shimansky.

Director Hill requested that, in the future, the Board of Directors' receive copies of the applications of those candidates being selected and recommended for positions on the Hearing Board. The motion then passed unanimously without objection.

10. Report of the Stationary Source Committee Meeting of March 8, 2007

Director Haggerty presented the report and stated that the Committee met on Thursday, March 8, 2007.

Director Pamela Torliatt arrived at 10:03 a.m.

The Committee received reports on the following three informational items:

1. Proposed new Regulation 6, Rule 2: Commercial Cooking Equipment. The report included background information on development of the rule, an overview of the

- proposed regulation, the type of equipment that would be regulated, information on Bay Area restaurant emissions and anticipated emission reductions, a review of the comments received during the public workshops, the rationale for the current proposal, and the next steps in the rule development process. The public hearing to consider the proposed new rule will be conducted in May, 2007.
2. Proposed amendments to Regulation 9, Rule 8: Nitrogen Oxides and Carbon Monoxide from Stationary Internal Combustion Engines. The report included a description of the internal combustion (IC) engines, background information of the regulatory requirements affecting IC engines, the emissions inventory associated with stationary IC engines, proposed regulatory amendments to the rule, a summary of the comments received during the public workshop, and the next steps in the rule development process. The public hearing to consider the proposed amendments to the regulation will be conducted in the second quarter of 2007.
 3. A Comprehensive Strategy for Wood Smoke Emission Reduction. The report included information on the ambient Particulate Matter (PM_{2.5}) levels in the Bay Area, strategies undertaken at other Air Districts, wood smoke control strategies for outreach, incentives and control measures, and projected rule development process and timeline.

The Committee provided direction to staff on items discussed during each presentation.

The next meeting of the Committee is scheduled for 9:30 a.m., Monday, April 16, 2007.

Board Action: Director Haggerty moved that the Board of Directors' approve the report of the Stationary Source Committee; seconded by Director Shimansky; carried unanimously without objection.

Director Liz Kniss arrived at 10:05 a.m.

11. Report of the Legislative Committee Meeting of March 12, 2007

Action(s): The Committee recommended Board of Directors' approval of Air District positions on 13 newly introduced bills as indicated in the packet.

Director Garner presented the report and stated that the Committee met on Monday, March 12, 2007.

Staff presented new bills for the Committee's consideration. The bills, a brief description, and the Committee's recommendations are as follows:

| Bill | Brief Description | Committee Recommendations |
|-----------------------------|---|---------------------------|
| AB 218 (Saldana) | <i>Eliminates current loophole allowing vehicle registration without smog certificate without penalty</i> | Support |
| AB 233 (Jones) | <i>Children's Breathing Rights Act; makes changes to air penalties and requires air districts to report penalty data to ARB</i> | Watch |

| | | |
|-------------------------------|---|---------------------------|
| AB 463 (Huffman) | <i>California Clean Ferry Act of 2007</i> | Support |
| AB 493 (Ruskin) | <i>Establishes fees and rebates respectively at the time of sale of high and low-emitting new motor vehicles</i> | Support |
| AB 568 (Karnette) | <i>Requires establishment of Port Community Advisory Committees</i> | Watch |
| AB 575 (Arambula) | <i>Prioritizes Proposition 1B air quality bond funding to South Coast and San Joaquin</i> | Oppose |
| AB 616 (Jones) | <i>Requires annual (instead of biennial) smog checks for cars at least 15 years old currently in the program</i> | Support |
| AB 846 (Blakeslee) | <i>Clean Marine Fuels Tax Incentive Act</i> | Support |
| AB 934 (Duvall) | <i>Would prohibit air districts from adopting airborne toxic control measures for non-stationary sources</i> | Oppose |
| AB 1077 (Lieber) | <i>California Plug-in Hybrid Electric Vehicle Leadership Act of 2007</i> | Support |
| AB 1209 (Karnette) | <i>Establishes criteria favoring southern California ports for distribution of Prop 1B air quality funds</i> | Oppose |
| SB 587 (Runner) | <i>Establishes exemptions from air district permit requirements for certain printing, coating, adhesive application, and laminating operations, subject to specified criteria</i> | Oppose |
| SB 974 (Lowenthal) | <i>Establishes a container fee of \$30 per twenty-foot equivalent unit at LA, Long Beach, and Oakland ports</i> | Support in Concept |

Staff also provided an update on Proposition 1B Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006. The Committee provided direction to staff regarding its position on the distribution of the air quality funds in Proposition 1B.

The next Committee meeting will be at 9:30 a.m., Monday, April 23, 2007.

Board Action: Director Garner moved that the Board of Directors' approve the recommendations of the Legislative Committee; seconded by Director Shimansky.

In response to a question from Director Torliatt, Jean Roggenkamp, Deputy APCO, stated that when the Air District takes a position on a bill, the Executive Officer sends a letter to the author of the bill indicating the District's position.

Directors Torliatt and Haggerty requested staff provide the Metropolitan Transportation Commission (MTC) and the Association of Bay Area Governments (ABAG) Legislative Committee the Air District's positions on bills. Director Torliatt requested that the report that MTC puts together on bills be available for future Legislative Committee meetings.

Director Hill recommended that the Board Chair sign the letters to the Legislators. The motion then passed unanimously without objection.

12. Report of the Public Outreach Committee Meeting of March 19, 2007

Action(s): *The Committee recommended Board of Directors' approval of the following contracts to assist with public outreach:*

- A) Community Outreach – Community Focus not to exceed \$180,000;*
- B) Advertising Design and Production – O'Rorke not to exceed \$400,000; and*
- C) Media Relations and Employer Program – Allison & Partners not to exceed \$780,000.*

Director Kwok presented the report and stated that the Public Outreach Committee met on Monday, March 19, 2007.

Staff presented recommendations for contractors for public outreach programs. The Committee recommends Board of Director's approval of the following contracts to assist with public outreach:

- A) Community outreach – Community Focus not to exceed \$180,000,
- B) Advertising design and production – O'Rorke not to exceed \$400,000, and
- C) Media relations and employer program – Allison & Partners not to exceed \$780,000.

The Committee provided direction to staff regarding the RFP process.

A summary of the 2006/2007 Spare the Air Tonight wintertime outreach campaign was presented to the Committee. Staff presented information on PM_{2.5}, sources of PM, the advisory history of Spare the Air Tonight, outreach strategy used, Spare the Air Tonight messages, program results, exceedances, and 2007 survey results.

Staff presented a report on the recommendations for the 2007 Spare the Air summer campaign. A review of the campaign included program elements, the results of the 2006 program (including feedback), highlights for the 2007 program, and outreach strategy. The Committee provided direction to staff with regard to the 2007 program.

The next meeting of the Committee will be at the Call of the Chair.

Board Action: Director Kwok moved that the Board of Directors approve the report and recommendations of the Public Outreach Committee, including the awarding of contracts and authorize the Executive Officer/APCO to execute such contracts; seconded by Director Shimansky.

Director Shimansky added that the Committee made recommendations to staff to extend the time for submitting an RFP and that more than two staff members review the RFP's. In response to a question from Director Garner, Ms. Roggenkamp stated that part of the criteria of the RFP relates to green business practices and points are awarded for this criteria. The motion then passed unanimously without objection.

Other Business

13. Report of the Executive Officer/APCO – Ms. Roggenkamp reviewed the following:

- A) The annual Air & Waste Management Association Conference is scheduled for June 26 through 29, 2007 and will be held in Pittsburgh, PA. This will be the 100th annual conference. Registration packets should be available by the next Board meeting.
- B) A current calendar of community events and meetings is at each Board member's place.
- C) The Spare the Air Tonight campaign ended on February 16th; there were 30 advisories and 27 exceedances recorded.

14. Chairperson's Report – Chair Ross stated that he had no report.

15. Board Members' Comments – Director Uilkema reported that on Friday, February 16th she attended a Regional Climate Action workshop on the issues of climate change and global warming held at the MetroCenter in Oakland. The workshop was sponsored by the Air District, MTC, ABAG, and BCDC. The purpose of the workshop was to solicit and discuss suggestions for appropriate regional action. Other Board members in attendance were Chairperson Mark Ross, Directors Tom Bates, Yoriko Kishimoto, Janet Lockhart, and Michael Shimansky. This report is provided to comply with AB 1234.

Director Haggerty noted that some of the events that the Air District participated in last year were not on this year's calendar and Ms. Roggenkamp indicated staff would check on them.

Director Bates commented that there was good information in the proposed budget and the detail was helpful to new Board members. He also thanked staff for an excellent presentation given to the Berkeley City Council on the issue of diesel and diesel fuel and the impact it is having on the Bay Area.

Director Torliatt suggested that staff attend one of the public workshops on the Graton Casino Draft EIS. Director Torliatt also requested that the Sonoma County Fair and the Sonoma/Marin County Fair be added to the list of events.

Director Daly reported that the San Francisco Board of Supervisors passed an ordinance to regulate emissions from construction equipment that will be implemented in approximately two years.

Director Kwok reported that the Santa Clara Valley Water District Public Environmental Advisory Committee came up with 11 strategic plans for 2007 and that one of the items is climate protection. Director Kwok stated that he has requested that the Committee collaborate with the Air District on the implementation of the program. The Santa Clara County Board of Supervisors is declaring the month of April as Environmental Awareness Month.

Chair Ross recommended that each Director consider an op ed piece, or something in their local papers, to help with outreach on the Spare the Air summer campaign.

16. Time and Place of Next Meeting – 9:45 a.m., Wednesday, April 4, 2007 – 939 Ellis Street, San Francisco, CA 94109

17. Adjournment – The meeting adjourned at 10:28 a.m.

Mary Romaidis
Clerk of the Boards

BAY AREA AIR QUALITY MANAGEMENT DISTRICT

Memorandum

To: Chairperson Ross and Members
of the Board of Directors

From: Jack P. Broadbent
Executive Officer/APCO

Date: March 27, 2007

Re: Board Communications Received from March 21, 2007 through April 3, 2007

RECOMMENDED ACTION:

Receive and file.

DISCUSSION

A list of Communications received by the Air District from March 21, 2007 through April 3, 2007, if any, will be at each Board member's place at the April 4, 2007 Regular Board meeting.

Respectfully submitted,

Jack P. Broadbent
Executive Officer/APCO

BAY AREA AIR QUALITY MANAGEMENT DISTRICT
 Memorandum

TO: Chairperson Ross and Members
 of the Board of Directors

FROM: Jack P. Broadbent
 Executive Officer/APCO

DATE: March 26, 2007

RE: Quarterly Report of the Clerk of the Boards:
 Fourth Quarter Report for 2006 October 1 - December 31, 2006
First Quarter Report for 2007 January 1 – March 31, 2007

RECOMMENDED ACTION

This report is provided for information only.

DISCUSSION

Listed below is the status of minutes for the Board of Directors and Advisory Council and activities of the Hearing Board for the fourth quarter of 2006:

Board of Directors

| <u>Meeting Type</u> | <u>Meeting Date</u> | <u>Status of Minutes</u> |
|-----------------------------------|----------------------------|---------------------------------|
| Regular Meeting | October 4 | Minutes Approved |
| Regular Meeting | October 18 | Minutes Approved |
| Regular Meeting | November 1 | Minutes Approved |
| Regular Meeting | December 6 | Minutes Approved |
| Executive Committee | December 21 | Minutes Approved |
| Budget & Finance Committee | October 30 | Minutes Approved |
| Budget & Finance Committee | December 19 | Minutes Approved |
| Public Outreach Committee | October 11 | Minutes Approved |
| Stationary Source Committee | November 28 | Minutes Approved |
| Mobile Source Committee | October 16 | Minutes Approved |
| Mobile Source Committee | November 20 | Minutes Approved |
| Ad Hoc Cme. On Climate Protection | October 12 | Minutes Approved |
| Legislative Committee | October 19 | Minutes Approved |
| Personnel Committee | December 4 | Minutes Approved |

Advisory Council

| <u>Meeting Type</u> | <u>Meeting Date</u> | <u>Status of Minutes</u> |
|--------------------------------|---------------------|--------------------------|
| Regular Meeting | November 8 | Minutes Approved |
| Executive Committee | November 8 | Minutes Approved |
| Air Quality Planning Committee | October 11 | Minutes Approved |
| Air Quality Planning Committee | December 14 | Minutes Approved |
| Public Health | October 10 | Minutes Approved |
| Public Health | December 12 | Minutes Approved |

Hearing Board

1. During the Period October – December 2006, the Hearing Board processed and filed one Application for Variance and one Appeal. The Deputy Clerk attended and took minutes at one hearing and participated in other discussions.
2. There were no excess emission fees collected during the fourth quarter.
3. On December 21, 2006 Hearing Board member Terry Trumbull, Esq., presented the Hearing Board Quarterly Report for the period July-September 2006 to the Board Executive Committee.

Listed below is the status of minutes for the Board of Directors and Advisory Council and activities of the Hearing Board for the first quarter of 2007:

Board of Directors

| <u>Meeting Type</u> | <u>Meeting Date</u> | <u>Status of Minutes</u> |
|------------------------------|---------------------|------------------------------------|
| Regular Meeting | January 3 | Minutes Approved |
| Regular Meeting/Retreat | January 17 | Minutes Approved |
| Regular Meeting | February 7 | Minutes Approved |
| Regular Meeting | March 21 | Minutes Completed/Pending Approval |
| Budget & Finance Committee | January 24 | Minutes Approved |
| Budget & Finance Committee | February 28 | Minutes Approved |
| Budget & Finance Committee | March 26 | Minutes Completed/Pending Approval |
| Mobile Source Committee | January 8 | Minutes Completed/Pending Approval |
| Mobile Source Committee | March 29 | Minutes Pending |
| Climate Protection Committee | January 18 | Minutes Completed/Pending Approval |
| Personnel Committee | January 12 | Minutes Approved |
| Personnel Committee | March 5 | Minutes Completed/Pending Approval |
| Executive Committee | February 9 | Minutes Approved |
| Executive Committee | March 26 | Minutes Pending |
| Public Outreach Committee | March 19 | Minutes Completed/Pending Approval |
| Stationary Source Committee | March 8 | Minutes Completed/Pending Approval |
| Legislative Committee | March 12 | Minutes Completed/Pending Approval |

Advisory Council

| <u>Meeting Type</u> | <u>Meeting Date</u> | <u>Status of Minutes</u> |
|--------------------------------|---------------------|------------------------------------|
| Regular Meeting /Retreat | January 10 | Minutes Approved |
| Regular Meeting | March 14 | Minutes Completed/Pending Approval |
| Executive Committee | March 14 | Minutes Completed/Pending Approval |
| Air Quality Planning Committee | February 14 | Minutes Completed/Pending Approval |
| Public Health Committee | February 14 | Minutes Completed/Pending Approval |
| Technical Committee | February 28 | Minutes Completed/Pending Approval |

Hearing Board

1. During the Period January – March 2007, the Hearing Board processed and filed four Applications for Variance. The Deputy Clerk attended and took minutes at one hearing and participated in other discussions.
2. A total of \$7,552.56 was collected as excess emission fees during the first quarter.
3. On February 9, 2007 Hearing Board member Terry Trumbull, Esq., presented the Hearing Board Quarterly Report for the period October-December 2006 to the Board Executive Committee.
4. On March 21, 2007 the Board of Directors reappointed Thomas M. Dailey, M.D., as the regular member for the Medical Profession Member category and Christian Colline, P.E., as the regular member for the Registered Professional Engineer category to the Air District Hearing Board. In addition, Janet Weiss, M.D., was appointed as the alternate member for the Medical Profession Member category and Melissa Tumbleson, P.E., was appointed as the alternate member for the Registered Professional Engineer Member category.

Respectfully submitted,

Jack P. Broadbent
Executive Officer/APCO

Prepared by: Mary Romaidis
Reviewed by: Mary Ann Goodley

BAY AREA AIR QUALITY MANAGEMENT DISTRICT
Memorandum

To: Chairperson Ross and Members
of the Board of Directors

From: Jack P. Broadbent
Executive Officer/APCO

Date: March 26, 2007

Re: Set Public Hearing for May 2, 2007 to Consider Adoption of New District
Regulation 6, Rule 2: Commercial Cooking Equipment and Adoption of a
CEQA Negative Declaration

RECOMMENDED ACTION:

Set a Public Hearing for May 2, 2007 to consider adoption of a new rule, Regulation 6, Rule 2: Commercial Cooking Equipment; and the adoption of a Negative Declaration pursuant to the California Environmental Quality Act (CEQA).

DISCUSSION

Proposed Regulation 6, Rule 2 would regulate PM₁₀ (particulate matter of 10 microns in diameter or less) and organic compound emissions from some equipment used in commercial restaurant operations. The proposed rule will require that:

1. Catalytic oxidizers or alternative controls be installed on chain-driven (conveyorized) charbroilers. This requirement would become effective June 1, 2008.
2. All new installations of under-fired charbroilers with an aggregate grill surface area of ten square feet or more emit no more than 1.9 lbs of PM₁₀ per 1000 lbs of meat cooked and install listed hoods. This requirement would become effective June 1, 2009.
3. Existing installations of under-fired charbroilers with an aggregate grill surface area of ten square feet or more meet the same emission standard. This requirement would become effective June 1, 2012.
4. Owners or operators of chain-driven and under-fired charbroilers subject to this rule register with the District. The owner or operator would pay a registration fee of \$475 and an annual fee of \$135.

The proposed rule will fulfill the District's commitment to control restaurant emissions under its SB 656 Particulate Matter Implementation Schedule and implement further study measure FS-3 from the Bay Area 2005 Ozone Strategy. In addition, District Regulation 6: Particulate Matter and Visible Emissions would be renamed and renumbered to Regulation 6: Particulate Matter, Rule 1: General Requirements.

Pursuant to the California Environmental Quality Act (Public Resources Code § 21000 et seq.), an initial study for the proposed amendments has been conducted, concluding that the proposed amendments would not have significant adverse environmental impacts. Notice is hereby given that the District intends to adopt a negative declaration for the amendments pursuant to Public Resources Code section 21080(c) and CEQA Guidelines section 15070 et seq.

A public hearing notice, proposed Regulation 6, Rule 2, the CEQA documents and a staff report are available for review by request and will be posted on the District's website at http://www.baaqmd.gov/pln/ruledev/regulatory_public_hearings.htm.

BUDGET CONSIDERATION/FINANCIAL IMPACTS

Operating costs to administer and enforce the new rule are to be recovered by proposed Regulation 3: Fees, Schedule R: Commercial Cooking Equipment.

Respectfully submitted,

Jack P. Broadbent
Executive Officer/APCO

Prepared by: Virginia Lau
Reviewed by: Henry Hilken

BAY AREA AIR QUALITY MANAGEMENT DISTRICT
Memorandum

To: Chairperson Ross and Members
of the Board of Directors

From: Jack P. Broadbent
Executive Officer/APCO

Date: March 26, 2007

Re: Set Public Hearing for May 2, 2007 to Consider Proposed Amendments to District Regulation 3: Fees, and Approval of Filing of a Notice of Exemption from CEQA; and Set a Second Public Hearing for June 6, 2007 to Consider Additional Testimony on Regulation 3, Schedules L, Q, R, and S

RECOMMENDED ACTION:

Set a Public Hearing for May 2, 2007 to consider proposed amendments to District Regulation 3: Fees, and approval of filing of a Notice of Exemption from the California Environmental Quality Act; and set a second Public Hearing for June 6, 2007 to consider additional testimony on Regulation 3, Schedules L: Asbestos Operations and Schedule Q: Excavation of Contaminated Soil and Removal of Underground Storage Tanks, and new Schedules R: Commercial Cooking Operations and Schedule S: Naturally Occurring Asbestos Operations.

DISCUSSION

The proposed amendments to the District's fee regulation would be effective on July 1, 2007, and would increase fee revenue in order to help the District recover a greater share of the costs incurred to implement and enforce regulatory programs for stationary sources of air pollution. The proposed amendments would increase fees for equipment in most schedules in Regulation 3 by 3%, 5%, or 15%. Schedules C: Organic Liquid Storage Containers, and Schedules G-3 and G-4: Miscellaneous Sources, are not proposed to be increased. Fees for filing permit applications for new and modified sources, duplicate permits, emission banking filing and withdrawal, alternate compliance plans, and permit renewal processing would increase 5%.

The base fee under Schedule N: Toxic Inventory Fees would be reduced from \$125 to \$75 for facilities with emissions of toxic air contaminants that are greater than or equal to 50 but less than 1000 weighted pounds per year. Compost operations that require District permits would be subject to Schedule G-1, and the permit to operate fee would increase to \$826 per year. The permit fees for refinery flares would be increased by 50%. New Schedule R would require all restaurants with chain-driven charbroilers or one or more under-fired charbroilers with a grill surface totaling at least 10 square feet to pay a registration fee of \$475 and an annual fee of \$135. New Schedule S would require construction and grading operations that are required to submit an Asbestos Dust Mitigation Plan to the District to pay a fee of \$225, and a fee of \$2000 where air monitoring is required.

It has been determined that these amendments to Regulation 3 are exempt from provisions of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.) pursuant to State CEQA Guidelines, Sections 15061, subd. (b)(3) and 15273. The amendments increase District fees that are used to meet District operating expenses. The amendments are administrative in nature, do not affect air emissions from any sources, and can be seen with certainty to have no possibility of causing significant environmental effects. With Board approval, staff intends to file a Notice of Exemption pursuant to State CEQA Guidelines, Section 15062.

A public hearing notice, the proposed amendments to Regulation 3, and a staff report are available for review by request and have been posted on the District's website at http://www.baaqmd.gov/pln/ruledev/regulatory_public_hearings.htm.

BUDGET CONSIDERATION/FINANCIAL IMPACTS

None.

Respectfully submitted,

Jack P. Broadbent
Executive Officer/APCO

Prepared by: Daniel Belik
Reviewed by: Brian Bateman

BAY AREA AIR QUALITY MANAGEMENT DISTRICT
Memorandum

To: Chairperson Ross and Members
of the Board of Directors

From: Jack P. Broadbent
Executive Officer/APCO

Date: March 9, 2007

Re: Report of the Budget & Finance Committee Meeting of March 26, 2007

RECOMMENDED ACTION

Receive and file.

BACKGROUND

The Budget & Finance Committee met on Monday, March 26, 2007. Staff presented the following reports:

- Update on Cost Recovery Study;
- Proposed Amendments to District Regulation 3: Fees;
- Proposed Budget for Fiscal Year 2007/2008; and a
- Closed Session Discussion

DISCUSSION

Staff provided the Committee an update on the status of the Cost Recovery Study including the background, study methodology, study results and process for completion of the study.

The Committee received the proposed amendments to District Regulation 3: Fees, which provided details of the proposed fee amendments, examples of permit renewal fee increases and an overview of the rule development schedule was given. The Committee provided direction to staff.

The Committee received the proposed budget for fiscal year 2007/2008 that was referred to the Committee by the Board of Directors at its March 21, 2007 meeting. The Committee reviewed the proposed budget and provided direction to staff.

Attached are the staff reports presented to the Committee.

Chairperson Chris Daly will give an oral report of the meeting.

BUDGET CONSIDERATION/FINANCIAL IMPACT:

The proposed consolidated budget for FY 2007/2008 is \$67,638,770 and is a balanced budget without drawing from undesignated reserves.

Respectfully submitted,

Jack P. Broadbent
Executive Officer/APCO

Prepared by: Mary Ann Goodley

BAY AREA AIR QUALITY MANAGEMENT DISTRICT
Memorandum

To: Chairperson Daly and Members
of the Budget and Finance Committee

From: Jack P. Broadbent
Executive Officer/APCO

Date: March 19, 2007

Re: Cost Recovery Report

RECOMMENDED ACTION:

Receive and file the enclosed report entitled *Bay Area Air Quality Management District 2007 Cost Recovery Study, BAAQMD; March, 2007*.

BACKGROUND

A study of fee revenue, and regulatory program costs, was completed in 2005 for the District by the accounting firm Stonefield Josephson, Inc. (*Bay Area Air Quality Management District Cost Recovery Study, Final Report; March 30, 2005*). The purpose of this Study was to provide the District with guidance and specific recommendations regarding cost recovery from the District's regulatory programs. The study compared the District's costs of program activities to the associated fee revenue, and analyzed how these costs are apportioned amongst fee-payers. In addition, the 2005 Cost Recovery Study provided the District with a documented methodology for analyzing cost recovery that could be used for setting fees and planning budgets in future years.

Staff has recently completed an update to the 2005 Cost Recovery Study based on cost and revenue data gathered over the last two complete fiscal years, FY 2004-05 and FY 2005-06, using the methodology established by Stonefield Josephson, Inc. The results of this 2007 Cost Recovery Study are being used as a tool in the preparation of the FY 2007-08 budget, and for establishing proposed amendments to the District's fee regulation.

The process of completing this updated Cost Recovery Study included review by a Steering Committee comprised of stakeholders representing a variety of business interests and an environmental organization. The report was also reviewed by the independent accounting firm Caporicci & Larson, CPA's.

Respectfully submitted,

Jack P. Broadbent
Executive Officer/APCO

Prepared by: Brian Bateman
Reviewed by: Peter Hess

BAY AREA AIR QUALITY MANAGEMENT DISTRICT
Memorandum

To: Chairperson Daly and Members
of the Budget and Finance Committee

From: Jack P. Broadbent
Executive Officer/APCO

Date: March 19, 2007

Re: Proposed Amendments to the District's Fee Regulation

RECOMMENDED ACTION:

Staff requests that the Committee recommend to the Board of Directors that staff proceed with proposed amendments to the District's fee regulation.

BACKGROUND

The District collects fees to pay for the costs of implementing and enforcing regulatory programs to reduce air pollution from stationary sources. Under State law, the District has the authority to collect fees sufficient to recover the full direct and indirect costs of these programs.

A study of fee revenue, and regulatory program costs, was completed in 2005 for the District by the accounting firm Stonefield Josephson, Inc. (*Bay Area Air Quality Management District Cost Recovery Study, Final Report; March 30, 2005*). This study concluded that fee revenue falls far short of recovering program costs and that, if this cost recovery gap is to be reduced, fees should be increased over a period of time at a rate that exceeds the rate of inflation.

District staff has recently completed an updated cost recovery analysis for the last two complete fiscal years that shows that fee revenue continues to be significantly less than regulatory program costs (*Bay Area Air Quality Management District 2007 Cost Recovery Study, BAAQMD; March, 2007*). For FY 2005-06, the deficit between costs and revenue, after allocation of direct and indirect expenses, was approximately \$18 million. This cost recovery gap is filled by using property tax revenue received by the District from the counties.

Last June, the Board adopted amendments to the District's fee regulation for FY 2006-07 that enabled the District to address increasing regulatory program activity costs, and move toward more complete cost recovery. In order to address fee equity issues, the District's individual Fee Schedules were amended based on the magnitude of the cost recovery gap identified in the Cost Recovery Study.

PROPOSED FEE AMENDMENTS FOR FY 2007-08

Staff has prepared proposed fee regulation amendments for the upcoming FY 2007-08 that would continue to implement the recommendations of the 2005 Cost Recovery Study by increasing fees in a manner similar to last year's fee amendments. Under this proposal, individual Fee Schedules would be increased by 15 percent, 5 percent, or 3 percent based on the magnitude of the Schedule's cost recovery gap (averaged over the last three complete fiscal years). Fee Schedules without cost recovery gaps would not be increased. Fees that are administrative in nature would be increased by 5 percent.

Several other amendments are also proposed as follows:

1. Reduce the minimum base fee assessed under Schedule N: Toxic Inventory Fees. This change is proposed because the District has now issued permits to most diesel backup generators and will begin to assess Toxic Inventory Fees for them as required under State law. As a result, the number of facilities paying fees under Schedule N will increase significantly so that the minimum fee can be decreased.
2. Increase the permit fees for compost operations that require District permits (i.e., facilities with throughputs of biomass equal to greater than 500 tons per year) to more appropriately recover the District's costs associated with these sources by listing compost operations in Schedule G1 (compost operations are currently treated as miscellaneous sources under Schedule F).
3. Increase the permit fees for refinery flares subject to Regulations 12-11 and 12-12 by 50 percent to more appropriately recover the District's costs associated with these sources.
4. Create new registration fees for commercial charbroilers that will be regulated under the proposed new District Regulation 6, Rule 2: Commercial Cooking Equipment.
5. Create new fees for operations that require an Asbestos Dust Mitigation Plan to be approved by the District under the State Airborne Toxic Control Measure for Construction, Grading, Quarrying, and Surface Mining Operations.

The staff proposal would result in an overall increase in fee revenue of about \$1.4 million from revenue levels projected for the current fiscal year, representing an increase of about 6 percent (for reference, there was a 2.9 percent increase in the annual Consumer Price Index for the California Bay Area from calendar year 2005 to 2006, as reported by the California Department of Industrial Relations, Division on Labor Statistics and Research). Staff will recommend that the proposed fee amendments be made effective on July 1, 2007.

Staff will provide the committee with additional details regarding the proposed fee amendments, and their impact on affected facilities, at the committee meeting on March 26, 2007.

Respectfully submitted,

Jack P. Broadbent
Executive Officer/APCO

Prepared by: Brian Bateman
Reviewed by: Peter Hess

BAY AREA AIR QUALITY MANAGEMENT DISTRICT

Memorandum

To: Chairperson Daly and Members
of the Budget and Finance Committee

From: Jack P. Broadbent
Executive Officer/APCO

Date: March 15, 2007

Re: Draft Budget for Fiscal Year 2007/2008

RECOMMENDED ACTION:

The Executive Officer/APCO requests that the Budget and Finance Committee review the Budget for FY 2007/2008 and make any recommendations for further discussions to be held during the April 25, 2007 Budget and Finance Committee meeting. This will allow staff the necessary time to make the changes for the second review by the Committee and the first public hearing date set for May 16, 2007.

BACKGROUND:

At the March 21, 2007 regular Board of Directors' meeting, the Fiscal Year 2007/2008 Preliminary Budget document will be formally referred to the Budget and Finance Committee for review at the Committee's March 26, 2007 meeting. Additional copies will be available at the Committee meeting.

DISCUSSION:

Staff will present the proposed budget for Fiscal Year 2007/2008. The proposed budget is balanced. General Fund Revenues Transfers-In from Designated Reserves for PERS Funding, along with TFCA Indirect Cost Recovery and TFCA Revenues and Mobile Source Incentive Indirect Cost Recovery and Revenues are \$67.6 million. Proposed consolidated expenditures are \$67.6 million. Proposed capital requests are \$2,659,743. The proposed budget includes a proposed staff increase of 2.4 FTE.

Staff will publish, prior to April 16, 2007, a notice to the general public that the first of two public hearings on the budget will be conducted on May 16, 2007 and that the second hearing will be conducted on June 6, 2007. Staff requests that the Budget and Finance Committee complete its review and take action on the proposed budget at the April 25, 2007 Budget and Finance Committee meeting. This will allow staff the necessary time required to amend, if necessary, the budget for the first public hearing to be held on May 16, 2007.

BUDGET CONSIDERATION/FINANCIAL IMPACT:

The proposed consolidated budget for FY 2007/2008 is \$67,638,770 and is a balanced budget without drawing from undesignated reserves.

Respectfully submitted,

Jack P. Broadbent
Executive Officer/APCO

Prepared by: Jeff McKay

BAY AREA AIR QUALITY MANAGEMENT DISTRICT
Memorandum

To: Chairperson Ross and Members
of the Board of Directors

From: Jack P. Broadbent
Executive Officer/APCO

Date: March 27, 2007

Re: Report of the Executive Committee Meeting of March 26, 2007

RECOMMENDED ACTION

Receive and file.

BACKGROUND

The Executive Committee met on Monday, March 26, 2007, and received a presentation from Dr. Rachel Morello-Frosch, of Brown University on a report she co-authored entitled “ *Still Toxic After All These Years – Air Quality and Environmental Justice in the San Francisco Bay Area.*”

Chairperson, Ross will give an oral report of the Executive Committee meeting at the April 4, 2007, Board meeting. A copy of the report is attached for your review.

BUDGET CONSIDERATION/FINANCIAL IMPACT

None.

Respectfully submitted,

Jack P. Broadbent
Executive Officer/APCO

BAY AREA AIR QUALITY MANAGEMENT DISTRICT

Memorandum

To: Chair Ross and Members of the Executive Committee
From: Jack P. Broadbent
Executive Officer/APCO
Date: March 15, 2007
Re: Presentation by Rachel Morello-Frosch

RECOMMENDED ACTION:

Receive and file.

DISCUSSION

Rachel Morello-Frosch of Brown University will make a presentation regarding a report she co-authored entitled "Still Toxic After All These Years - Air Quality and Environmental Justice in the San Francisco Bay Area." A copy of the report is attached. This is an informational item.

Respectfully submitted,

Jack P. Broadbent
Executive Officer/APCO

BAY AREA AIR QUALITY MANAGEMENT DISTRICT
Memorandum

To: Chairperson Mark Ross and
Members of the Board of Directors

From: Jack P. Broadbent
Executive Officer/APCO

Date: March 27, 2007

Re: Report of the Mobile Source Committee Meeting of March 29, 2007

RECOMMENDED ACTIONS

The Committee may recommend Board of Directors' approval of the following items:

- A) *A Bicycle Facility Program for Fiscal Year 2007/2008, including the allocation of \$600,000 in Transportation Fund for Clean Air (TFCA) Regional Funds, along with the proposed Bicycle Facility Program Guidelines;*
- B) *Proposed Fiscal Year 2007/2008 TFCA Regional Fund Policies and Evaluation Criteria;*
- C) *Allocation of \$1,000,000 in TFCA Regional Funds to Clean Air Regional Funds to Clean-Air Vehicle Advanced Technology Demonstration Projects that meet the FY 2007/2008 TFCA Regional Fund Policies;*
- D) *Allocation of \$21,761,710 in Carl Moyer Program (CMP) Year 8 and Year 9 Funding Cycle Funds for the Eligible Projects;*
- E) *Allocation of \$4,103,646 in Mobile Source Incentive Fund (MSIF) revenues for the eligible projects listed;*
- F) *Funding for the projects listed as contingency projects to be funded with either CMP or MSIF dollars if funds become available due to current or prior year grant award cancellations or completion of projects under budget;*
- G) *Authorization for the Executive Officer to enter into funding agreements with recipients of grant awards for the projects; and*
- H) *Approval of the Transportation Fund for Clean Air Report on FY 2006/2007 Allocations and Effectiveness.*

DISCUSSION

The Mobile Source Committee will meet Thursday, March 29, 2007.

The Committee will receive the following reports:

- A) Proposed revisions to the Transportation Fund for Clean Air (TFCA) Policies and Evaluation Criteria for FY 2007/2008 and the establishment of a Bicycle Facility Program for FY 2007/2008;
- B) Carl Moyer Program Grant Allocations; and the

C) Transportation Fund for Clean Air (TFCA) Annual Report

Chairperson Tim Smith will give a summary of the meeting. The attached staff reports will be presented to the Committee.

Respectfully submitted,

Jack P. Broadbent
Executive Officer/APCO

BAY AREA AIR QUALITY MANAGEMENT DISTRICT
Memorandum

To: Chairperson Smith and Members
of the Mobile Source Committee

From: Jack P. Broadbent
Executive Officer/APCO

Date: March 22, 2007

Re: Proposed Transportation Fund for Clean Air Regional Fund Policies
and Evaluation Criteria for Fiscal Year 2007/2008, Proposed Bicycle
Facility Program Guidelines for Fiscal Year 2007/2008, and Proposed
Allocation for Advanced Technology Demonstration Projects

RECOMMENDED ACTIONS

Consider recommending Board of Directors' approval of the following:

- 1) A Bicycle Facility Program for Fiscal Year (FY) 2007/2008, including the allocation of \$600,000 in Transportation Fund for Clean Air (TFCA) Regional Funds, and the proposed Bicycle Facility Program Guidelines presented in Attachment A;
- 2) The proposed Fiscal Year 2007/2008 TFCA Regional Fund Policies and Evaluation Criteria presented in Attachment B; and
- 3) The allocation of \$1,000,000 in TFCA Regional Funds to clean-air vehicle advanced technology demonstration projects that meet the Fiscal Year 2007/2008 TFCA Regional Fund Policies.

BACKGROUND

The Air District's Board of Directors has adopted policies and evaluation criteria that govern the allocation of TFCA funds to cost-effective projects. Prior to each annual funding cycle, the Air District considers revisions to the TFCA policies and evaluation criteria.

On January 17, 2007, the Board of Directors approved a recommendation from the Mobile Source Committee to have staff "establish a streamlined program for some specific project types with funding caps and default values for evaluating projects."

On February 26, 2007, Air District staff issued a request for comments both on proposed guidelines for a new Bicycle Facility Program (BFP) for FY 2007/2008, and on proposed TFCA Regional Fund policies and evaluation criteria for FY 2007/2008. The deadline for interested parties to submit comments was March 9, 2007. Thirteen interested parties

submitted comments by letter or e-mail in response to the Air District's request for comments. A table summarizing the comments received and Air District staff responses is provided in Attachment C.

DISCUSSION

The discussion below addresses the proposed BFP first, the proposed TFCA Regional Fund policies and evaluation criteria second, and, lastly, the proposed TFCA Regional Fund allocation to advanced technology demonstration projects.

Bicycle Facility Program

The proposed BFP is responsive to the Board's direction to establish a streamlined program with funding caps and default values for evaluating certain project types eligible for TFCA funding. The recommended funding amount of \$600,000 is consistent with annual funding levels awarded from the TFCA Regional Fund to successful bicycle facility projects over the past five years. If approved by the Board, the BFP would be funded by the TFCA Regional Fund. (Bicycle projects would no longer be funded through the general Regional Fund Call for Projects, so policies regarding bicycles are proposed to be deleted from the TFCA Regional Fund policies and evaluation criteria, as described below.) The proposed FY 2007/2008 Bicycle Facility Program Guidelines are provided in Attachment A.

The main proposed BFP guidelines include the following (all references below apply to the proposed BFP Guidelines for FY 2007/2008):

- Guideline #1, *Purpose*, would state the program purpose—the reduction of emissions from mobile sources via streamlined processes that are cost-effective in both air-quality and administrative terms.
- Guideline #3, *Eligible Recipients*, would include only public agencies located within the Air District's jurisdiction.
- Guideline #4, *Minimum and Maximum Funding Amounts*, would set a minimum grant request/award of \$10,000 per project and a maximum of no more than 35% of available BFP funds per project in each funding cycle.
- Guideline # 14, *Eligible Bicycle Facility Projects*, would include as eligible project types new Class-1 bicycle paths, Class-2 bicycle lanes, Class-3 bicycle routes, bicycle lockers, bicycle racks, bicycle racks on vehicles, and secure bicycle parking. This guideline would also establish criteria specific for certain project types, to ensure that funded projects achieve emission reductions.
- Guideline #15, *Grant Amounts*, would set for each eligible project type a rate of dollars per project element (e.g., mile or locker), based on historical TFCA funding levels for cost-effective bicycle facility projects, the TFCA literature review and performance review, and stakeholder input.

Air District staff anticipates making grant awards to eligible projects on a first-come, first-served basis, similar to the Air District's Vehicle Incentive Program, and, in general, to reimburse costs upon project completion.

TFCA Regional Fund Policies and Evaluation Criteria for FY 2007/2008

Most of the TFCA Regional Fund Policies and Evaluation Criteria for FY 2007/2008 are proposed to remain unchanged. Among the proposed revisions are editorial changes to provide more clarity, as well as substantive changes to align policies with the TFCA County Program Manager Fund policies (approved by the Board on January 17, 2007), to update the evaluation criterion regarding sensitive and particulate-matter impacted communities, and to remove the policy on bicycle projects (as described above). The proposed TFCA Regional Fund Policies and Evaluation Criteria for FY 2007/2008 are provided in Attachment B.

The following is a summary of the proposed major changes to the TFCA Regional Fund Policies and Evaluation Criteria (all references below apply to the proposed TFCA Regional Fund Policies and Evaluation Criteria for FY 2007/2008):

- Policy #12, regarding certain operating costs, would make these costs eligible for funding for up to two years.
- Policy #16, *Signed Funding Agreement*, would clarify that only a fully executed funding agreement constitutes a final approval and obligation for the Air District to fund a project.
- Policy #17, *Implementation*, would state that project sponsors that are currently out of compliance with the terms of an existing TFCA funding agreement, including operational and notification requirements in force for the full term of the agreement, may be ineligible for a future TFCA Regional Fund grant award.
- Policy #18, *Payments*, would formalize a new policy establishing requirements for payments related to a grant award, including that costs incurred prior to the execution of a funding agreement would not be reimbursed.
- The policy on *Clean Air Vehicle Infrastructure* (former Policy #27) would be deleted, in light of legislative provisions for a cost-effectiveness criterion that all projects funded by the TFCA program must meet.
- Policy #24, *Light-Duty Clean Air Vehicles*, would make public agencies eligible to receive TFCA Regional Funds for light-duty vehicle projects.
- Policy #28, *Advanced Technology Demonstration Projects*, is proposed to be added to address advanced vehicle-based technologies that have not been approved by the California Air Resources Board. Such projects would be subject to the TFCA cost-effectiveness requirement.
- Policy #29, *Bicycle Projects*, would be deleted. The Air District is proposing a separate program for such projects (as discussed above). Smart growth projects

with a bicycle facility component could still earn points under the Regional Fund Evaluation Criteria for the bicycle facility component of such projects.

- Evaluation Criterion #5 would be revised to rely on the Air District's Community Air Risk Evaluation (CARE) Program for the identification of communities with both high particulate matter emissions and sensitive populations.

Allocation of TFCA Regional Funds to Clean-Air Vehicle Advanced Technology Demonstration Projects

The proposed allocation of \$1,000,000 in TFCA Regional Funds to clean air-vehicle advanced technology demonstration projects responds to an interest on the part of members of the Board of Directors and Air District staff. The interest is in investing in projects that demonstrate advanced technologies that have the potential to, with further development, reduce motor vehicle emissions in the Bay Area more effectively than technologies currently in use. These funds could also be used for transit buses in recognition of those transit operators that chose the alternative fuel path under the CARB Urban Transit Bus Rule. The recommendation would essentially set aside these funds for projects that meet proposed TFCA Regional Fund Policy 28, *Advanced Technology Demonstration Projects*, and transit buses under Policy 25, *Heavy-Duty Clean Air Vehicles*, and other applicable TFCA Regional Fund policies. Such projects would still have to meet the cost-effectiveness criterion, among other requirements, but would compete only with other eligible demonstration projects.

BUDGET CONSIDERATION/FINANCIAL IMPACT

None. Approval of the recommended policy and guideline changes will have no material impact on the Air District's budget. TFCA revenues come from a dedicated external funding source. TFCA allocations do not impact the Air District's general fund or operating budget.

Respectfully submitted,

Jack P. Broadbent
Executive Officer/APCO

Prepared by: David Wiley
Reviewed by: Jack M. Colbourn

Attachments

ATTACHMENT A

PROPOSED BICYCLE FACILITY PROGRAM GUIDELINES FOR FISCAL YEAR 2007/2008

The following guidelines apply only to the **Bicycle Facility Program** (BFP). Each guideline applies to the project type(s) listed immediately following that guideline. “Bikeways” refers to Class-1 bicycle paths, Class-2 bicycle lanes, and Class-3 bicycle routes; “Racks/Lockers” refers to bicycle racks (including those on vehicles and vessels), bicycle lockers, and secure bicycle parking.

GENERAL

1. **Purpose:** The purpose of the BFP is to reduce emissions from mobile sources by contributing Air District funding for the implementation of bicycle facilities in the Bay Area, via streamlined processes that are cost-effective in both air-quality and administrative terms. (Bikeways; Racks/Lockers)

BASIC ELIGIBILITY

2. **Reduction of Emissions:** A project must result in the cost-effective reduction of motor vehicle emissions within the Air District's jurisdiction to be considered eligible for BFP funding. Projects that are subject to emission reduction regulations or other legally binding obligations must achieve surplus emission reductions to be considered for funding by the BFP. Surplus emission reductions are those that exceed the requirements of applicable regulations or other legally binding obligations at the time the Air District approves a grant award. Planning activities (e.g., feasibility studies) that are not directly related to the implementation of a specific project are not eligible for BFP funding. (Bikeways; Racks/Lockers)
3. **Eligible Recipients:** Only public agencies located within the jurisdiction of the Air District are eligible for BFP grants. Eligible grant recipients must be responsible for the implementation of the project and have the authority and capability to complete the project. (Bikeways; Racks/Lockers)
4. **Minimum and Maximum Funding Amounts:** Only projects requesting \$10,000 or more in BFP funds will be considered for funding. No single project may receive more than 35 percent (35%) of the funds available for the BFP in any given funding cycle. (Bikeways; Racks/Lockers)
5. **Readiness:** A project will be considered for BFP funding only if the project would commence in calendar year 2008 or sooner. For purposes of this policy, “commence” means to begin delivery of the service or product provided by the project, or to award a construction contract. (Bikeways; Racks/Lockers)

APPLICANT IN GOOD STANDING

6. **Monitoring and Reporting:** Project sponsors who have failed to fulfill monitoring and reporting requirements for any previously funded Transportation Fund for Clean Air (TFCA) Regional Fund, TFCA County Program Manager Fund, or BFP project will not

be considered for new funding for the current funding cycle, and until such time as the unfulfilled obligations are met. (Bikeways; Racks/Lockers)

7. **Failed Audit:** Project sponsors who have failed either the fiscal audit or the performance audit for a prior TFCA-funded or BFP-funded project will be excluded from future funding for five (5) years, or for a different period of time determined by the Air District Air Pollution Control Officer (APCO). Existing TFCA and BFP funds already awarded to the project sponsor will not be released until all audit recommendations and remedies have been satisfactorily implemented. A failed fiscal audit means an uncorrected audit finding that confirms an ineligible expenditure of TFCA or BFP funds. A failed performance audit means that a project was not implemented as set forth in the project funding agreement. (Bikeways; Racks/Lockers)
8. **Signed Funding Agreement:** All grant recipients shall enter into a funding agreement with the Air District as a written, binding agreement to implement the approved project. Only a fully executed funding agreement (i.e., signed by both the Air District and the project sponsor) constitutes a final approval and obligation on the part of the Air District to fund a project. Project sponsors must sign a funding agreement within two months from the date it has been transmitted to them in order to remain eligible for the awarded BFP grant; the Air District may authorize extensions for just cause. Project applications will not be considered from project sponsors who were awarded TFCA or BFP grants in a previous year and have not signed a funding agreement with the Air District by the current application deadline. (Bikeways)
9. **Payments:** No payment requests associated with the implementation of a BFP project will be processed if: a) the funding agreement or voucher for the project has not been fully and properly executed, b) the costs in the payment request were incurred before the date that the funding agreement or voucher was executed, or c) the project is no longer eligible for BFP funding (e.g., due to additional information becoming available after initial Air District approval of the grant award). (Bikeways; Racks/Lockers)
10. **Implementation:** Project sponsors that have a signed funding agreement for a prior TFCA-funded or BFP-funded project, but have not yet implemented that project by the current application deadline will not be considered for funding for any new BFP project. The phrase "implemented that project" means that the project has moved beyond initial planning stages and the project is being implemented consistent with the implementation schedule specified in the project funding agreement. (Bikeways)

INELIGIBLE PROJECTS

11. **Duplication:** Grant applications for projects that duplicate existing Air District-funded projects and, therefore, do not achieve additional emission reductions will not be considered for funding. (Bikeways; Racks/Lockers)

USE OF BFP FUNDS

12. **Ineligible Costs:** Costs for maintenance, repairs, upgrades, rehabilitation, operations (e.g., for a bikestation), and developing grant applications for BFP funding are not eligible to be reimbursed with BFP funds. Administrative costs are not eligible for reimbursement with BFP funds. Administrative costs include costs associated with entering into a funding agreement, accounting for BFP funds, and fulfilling reporting and

record-keeping requirements specified in a BFP funding agreement or voucher. (Bikeways; Racks/Lockers)

- 13. Deadline to Expend Funds:** Any project sponsor awarded a BFP grant for the implementation of a bikeway project must expend the funds awarded within two (2) years of the effective date of the funding agreement, unless a longer period is formally (i.e., in writing) requested by the project sponsor and approved in advance by the Air District. (Bikeways)

Any project sponsor awarded a BFP grant for the implementation of a bicycle rack/locker project must expend the funds awarded according to the implementation schedule specified in the BFP grant documentation. (Racks/Lockers)

PROJECT-SPECIFIC REQUIREMENTS AND GUIDELINES

- 14. Eligible Bicycle Facility Projects:**

New bicycle facility projects that are included in an adopted countywide bicycle plan, Congestion Management Program (CMP), or the Metropolitan Transportation Commission’s Regional Bicycle Plan are eligible to receive BFP funds. For purposes of this policy, a written statement of intent from the responsible Congestion Management Agency to include the project in the next update of the CMP may substitute for inclusion in the county’s CMP. Eligible projects are limited to the following types of bicycle facilities for public use: a) new Class-1 bicycle paths; b) new Class-2 bicycle lanes; c) new Class-3 bicycle routes; d) bicycle racks, including bicycle racks on transit buses, trains, shuttle vehicles, and ferry vessels; and e) secure bicycle parking; and e) bicycle lockers. All bicycle facility projects must, where applicable, be consistent with design standards published in Chapter 1000 of the California Highway Design Manual. Costs for design, engineering, installation, and preparation for required environmental review documents that directly support implementation of a project are eligible for BFP funding. (Bikeways; Racks/Lockers)

Bikeway projects must reduce vehicle trips made for utilitarian purposes, such as work/school commuting. Bikeways must be within one-half mile of at least three major activity centers (e.g., transit stations, office complexes, schools), or provide a gap closure in a system that already services major activity centers. Infrastructure and gap closure projects (e.g., bridges over roadways) may apply for TFCA funding under the Smart Growth project type, as well as for BFP funding under Guideline #15. (Bikeways)

Each bicycle rack and locker project must serve an activity center (e.g., transit station, office building, school). (Racks/Lockers)

- 15. Grant Amounts:** The Air District has determined that the project types and funding levels set forth below meet the TFCA cost-effectiveness (i.e., funding effectiveness) of \$90,000 of BFP funds per ton (\$/ton) of total reactive organic gases (ROG), oxides of nitrogen (NO_x), and weighted particulate matter less than 10 microns in diameter (PM₁₀) emissions reduced. The grant amounts set forth below are not necessarily intended to pay the full cost of project implementation. (Bikeways; Racks/Lockers)

| Project Type | Grant Amount |
|--------------|--------------|
|--------------|--------------|

| Project Type | Grant Amount |
|--|--------------------------------|
| Class-1 Bicycle Path | \$115,000 per mile of path |
| Class-2 Bicycle Lane – Continuous Construction | \$85,000 per mile of roadway |
| Class-2 Bicycle Lane – Standard | \$30,000 per mile of roadway |
| Class-3 Bicycle Route | \$15,000 per mile of route |
| Bicycle Locker(s) – Electronic | \$2,500 per locker |
| Bicycle Locker(s) – Mechanical | \$900 per locker |
| Bicycle Rack(s) | \$60 per bicycle accommodated |
| Bicycle Rack(s) on Vehicles | \$750 per rack |
| Secure Bicycle Parking | \$130 per bicycle accommodated |

Class-2 Bicycle Lane grant amounts are for two lanes on a roadway; a single bike lane would qualify for only one-half the stated amount. A Class-2 Bicycle Lane – Continuous Construction project must entail physical improvements (e.g., non-maintenance paving or the widening of a roadway shoulder) continuously over the length of the segment. Class-2 Bicycle Lane – Standard projects include projects other than Continuous Construction, such as striping, marking and loop detectors. Grant amounts for Continuous Construction and Standard Class-2 Bicycle Lanes cannot be combined for the same segment. Secure bicycle parking includes bicycle cages and the capital costs of bicycle parking at bikestations. (Bikeways; Racks/Lockers)

ATTACHMENT B

PROPOSED TFCA REGIONAL FUND POLICIES AND EVALUATION CRITERIA FOR FY 2007/08

The following policies apply only to the Transportation Fund for Clean Air (TFCA) Regional Fund.

BASIC ELIGIBILITY

- 1. Reduction of Emissions:** A project must result in the reduction of motor vehicle emissions within the Air District's jurisdiction to be considered eligible for TFCA funding. Projects that are subject to emission reduction regulations, contracts, or other legally binding obligations must achieve surplus emission reductions to be considered for TFCA funding. Surplus emission reductions are those that exceed the requirements of applicable regulations or other legally binding obligations at the time the Air District Board of Directors approves a grant award. Planning activities (e.g., feasibility studies) that are not directly related to the implementation of a specific project are not eligible for TFCA funding.
- 2. TFCA Cost-Effectiveness and Minimum Score:** The Air District Board of Directors will not approve any grant application for TFCA Regional Funds for a project that has: a) a TFCA cost-effectiveness (i.e., funding-effectiveness) level greater than \$90,000 of TFCA funds per ton (\$/ton) of total reactive organic gases (ROG), oxides of nitrogen (NO_x), and weighted particulate matter less than 10 microns in diameter (PM₁₀) emissions reduced; or b) a score of less than 40 points (out of a possible 100 points for public agencies) and less than 36 points (out of a possible 90 points for non-public entities), based upon the project evaluation and scoring criteria listed in the 2007 TFCA Regional Fund Application Guidance document.
- 3. Consistent with Existing Plans and Programs:** All projects must conform to the types of projects listed in the California Health and Safety Code Section 44241 and the transportation control measures and mobile source measures included in the Air District's most recently approved strategy(ies) for State and national ozone standards and, when applicable, with other adopted State and local plans and programs.
- 4. Viable Project:** Each grant application should clearly identify sufficient resources to complete the respective project. Grant applications that are speculative in nature, or contingent on the availability of unknown resources or funds, will not be considered for funding.
- 5. Eligible Recipients:** Public agencies and non-public entities are eligible for TFCA grants. Eligible grant recipients must be responsible for the implementation of the project and have the authority and capability to complete the project. Non-public entities are only eligible for TFCA grants to implement clean air vehicle projects to reduce mobile source emissions within the Air District's jurisdiction for the duration of the useful life of the reduced emission equipment, including, but not limited to, engine repowers, engine retrofits, fleet modernization, alternative fuels, and advanced technology demonstration projects. Only public agencies, including public agencies

applying on behalf of non-public entities, are eligible for TFCA grants for light-duty vehicles.

- 6. Public Agencies Applying on Behalf of Non-Public Entities:** A public agency may apply for TFCA Regional Fund grants for clean air vehicles on behalf of a non-public entity. As a condition of receiving TFCA Regional Funds on behalf of a non-public entity, the public agency shall enter into a funding agreement with the Air District and provide a written, binding agreement to operate the reduced emission equipment within the Air District's jurisdiction for the duration of the project life of the equipment as stated in the funding agreement between the Air District and the grant recipient.
- 7. Matching Funds:** The project sponsor shall not enter into a TFCA Regional Fund funding agreement until all non-Air District funding has been approved and secured. For grant applications requesting greater than \$150,000 in TFCA Regional Funds, project sponsors must provide matching funds from non-Air District sources, which equal or exceed 10% of the total project cost. TFCA County Program Manager Funds do not count toward fulfilling the non-Air District matching funds requirement. Grant applications for TFCA Regional Funds of \$150,000 or less may request 100% TFCA funding.
- 8. Documentation of Commitment to Implement Project:** TFCA Regional Fund grant applications must include either: a) a signed letter of commitment from an individual with authority to enter into a funding agreement and carry out the project (e.g., Chief Executive/Financial Officer, Executive Director, City Manager, etc.), or b) a signed resolution from the governing body (e.g., City Council, Board of Supervisors, Board of Directors, etc.) authorizing the submittal of the application and identifying the individual authorized to submit and carry out the project. If such documentation is not received within thirty (30) calendar days after the grant application submittal deadline, a grant application will be returned to the project sponsor and will not be scored.
- 9. Minimum Grant Amount:** Only projects requesting \$10,000 or more in TFCA Regional Funds will be considered for funding.
- 10. Maximum Grant Amount:** No single public agency project may receive more than \$1,500,000 in TFCA Regional Funds in any given funding cycle. No single non-public entity may be awarded more than \$500,000 in TFCA Regional Funds, for any number of projects, in any given fiscal year.
- 11. Readiness:** A project will be considered for TFCA funding only if the project would commence in calendar year 2008 or sooner. For purposes of this policy, "commence" means to order or accept delivery of vehicles or other equipment being purchased as part of the project, to begin delivery of the service or product provided by the project, or to award a construction contract.
- 12. Maximum Two Years Operating Costs:** TFCA grant applications that request operating funds to provide a service, such as ridesharing programs and shuttle and feeder bus projects, are eligible for TFCA funding for up to two (2) years. Grant applicants who seek TFCA funds for additional years must re-apply for funding in the subsequent funding cycles.
- 13. Project Revisions:** If revisions become necessary for a project that has been approved for TFCA funding by the Air District Board of Directors, the revised project must be

within the same eligible project category and receive a point score higher than the funding cut-off point, based upon the scoring criteria, for the funding cycle in which the project originally received a grant award. Project revisions initiated by the project sponsor, which significantly change the project before the allocation of funds by the Air District Board of Directors may not be accepted.

APPLICANT IN GOOD STANDING

- 14. Monitoring and Reporting:** Project sponsors who have failed to fulfill monitoring and reporting requirements for any previously funded TFCA Regional Fund project will not be considered for new funding for the current funding cycle, and until such time as the unfulfilled obligations are met.
- 15. Failed Audit:** Project sponsors who have failed either the TFCA fiscal audit or the performance audit for a prior TFCA-funded project will be excluded from future funding for five (5) years, or a different period of time determined by the Air District Air Pollution Control Officer (APCO). Existing TFCA funds already awarded to the project sponsor will not be released until all audit recommendations and remedies have been satisfactorily implemented. A failed fiscal audit means an uncorrected TFCA audit finding that confirms an ineligible expenditure of TFCA funds. A failed performance audit means that a project was not implemented as set forth in the project funding agreement.
- 16. Signed Funding Agreement:** Only a fully executed funding agreement (i.e., signed by both the Air District and the project sponsor) constitutes a final approval and obligation on the part of the Air District to fund a project. While the Air District Board of Directors approval of grant awards is necessary for the funding of a project, a Board of Directors approval does not constitute a final obligation on the part of the Air District to fund a project. Project sponsors must sign a funding agreement within two (2) months from the date it has been transmitted to them in order to remain eligible for the awarded TFCA grant. The Air District may authorize extensions for just cause. Grant applications will not be considered from project sponsors who were awarded TFCA grants in a previous funding cycle and have not signed a funding agreement with the Air District by the current TFCA Regional Fund grant application deadline.
- 17. Implementation:** Project sponsors that have a signed funding agreement for a prior TFCA-funded project, but have not yet implemented that project by the current TFCA Regional Fund grant application deadline will not be considered for TFCA funding for any new project. The phrase "implemented that project" means that the project has moved beyond initial planning stages and the project is being implemented consistent with the implementation schedule specified in the project funding agreement. In addition, project sponsors that are not in compliance with the terms of an existing TFCA funding agreement (e.g., operating the equipment and services for the full term of the agreement, and notifying the Air District of any change in operational status of equipment or service) may not be considered for TFCA funding for any new project.
- 18. Payments:** No payment requests associated with the implementation of a project will be processed if: a) the funding agreement for the project has not been fully and properly executed, b) the costs in the payment request were incurred (i.e., an obligation was made to pay funds that cannot be refunded) before the date that the funding agreement was executed, or c) the project is no longer eligible for TFCA funding (e.g., due to additional

information becoming available after grant award approval by the Air District Board of Directors).

INELIGIBLE PROJECTS

- 19. Duplication:** Grant applications for projects that duplicate existing TFCA-funded projects and therefore do not achieve additional emission reductions will not be considered for funding. Combining TFCA County Program Manager Funds with TFCA Regional Funds to achieve greater emission reductions for a single project is not considered project duplication.

USE OF TFCA FUNDS

- 20. Combined Funds:** TFCA County Program Manager Funds may be combined with TFCA Regional Funds for the funding of an eligible project. For the purpose of calculating the TFCA cost-effectiveness (Regional Fund Evaluation Criterion #1), the combined sum of TFCA County Program Manager Funds and TFCA Regional Funds shall be used to calculate the TFCA cost of the project.
- 21. Cost of Developing Proposals:** The costs of developing proposals or grant applications for TFCA funding are not eligible to be reimbursed with TFCA funds.
- 22. Administrative Costs:** Administrative costs (i.e., the costs associated with administering a TFCA grant) are limited to a maximum of five percent (5%) of total TFCA funds expended on a project. To be eligible for reimbursement, administrative costs must be clearly identified in the TFCA Regional Fund grant application project budget.
- 23. Expend Funds within Two Years:** Any public agency or non-public entity awarded a TFCA Regional Fund grant must expend the awarded funds within two (2) years of the effective date of the funding agreement, unless a longer period is formally (i.e., in writing) approved in advance by the Air District.

CLEAN AIR VEHICLE PROJECTS

24. Light-Duty Clean Air Vehicles

Eligibility: For TFCA purposes, light-duty vehicles are those with a gross vehicle weight (GVW) of 10,000 pounds or lighter. Only public agencies, including public agencies applying on behalf of non-public entities, are eligible for TFCA grants for light-duty vehicles. Light-duty chassis vehicles certified by the California Air Resources Board (CARB) as meeting established super ultra low emission vehicle (SULEV), partial zero emission vehicle (PZEV), advanced technology-partial zero emission vehicle (AT-PZEV), or zero emission vehicle (ZEV) standards are eligible for TFCA funding. Hybrid-electric vehicles that meet the SULEV, PZEV, AT-PZEV, or ZEV standards are eligible for TFCA funding. Gasoline and diesel vehicles are not eligible for TFCA funding.

Funding participation: Project sponsors may be awarded TFCA funds to cover no more than the incremental cost of a clean air vehicle. Incremental cost is the difference in the purchase or lease price of the new clean air vehicle that surpasses the applicable emissions standards and its new conventional vehicle counterpart that meets, but does not exceed, the emissions standards. Compliance with the TFCA cost-effectiveness requirement is not waived or altered by this policy.

25. Heavy-Duty Clean Air Vehicles

Eligibility: For TFCA purposes, heavy-duty vehicles are on-road motor vehicles with a GVW of 10,001 pounds or heavier. To qualify for TFCA funding, a heavy-duty vehicle project must provide surplus emission reductions beyond the requirements of any applicable regulation, contract or other legally binding obligation.

Funding Participation: Project sponsors may be awarded TFCA funds to cover no more than the incremental cost of the clean air vehicle. This includes public transit agencies that have elected to pursue the “alternative fuel” path under the CARB’s urban transit bus regulation. Incremental cost is the difference in the purchase or lease price of the new clean air vehicle that surpasses the applicable emissions standards and its new diesel counterpart that meets, but does not exceed, the emissions standards. Compliance with the TFCA cost-effectiveness requirement is not waived or altered by this policy.

Scrapping Requirements: Project sponsors of heavy-duty clean air vehicles purchased or leased with TFCA funds that have model year 1993 or older heavy-duty diesel vehicles in their fleet are required to scrap one model year 1993 or older heavy-duty diesel vehicle for each new clean air vehicle purchased or leased with TFCA funds. Project sponsors with model year 1994 and newer heavy-duty diesel vehicles in their fleet may, but are not required to, scrap an existing operational heavy-duty diesel vehicle within their fleet. Emission reductions associated with scrapping an existing operational heavy-duty diesel vehicle will be factored into the calculations of the overall emission reductions for the project. TFCA funds will not cover the costs related to vehicle scrapping.

26. Reducing Emissions from Existing Heavy-Duty Diesel Engines:

Options available to reduce emissions from existing heavy-duty diesel engines include:

- a) Repowers – To be eligible for TFCA funding, the new engine selected to repower an existing heavy-duty vehicle must reduce emissions by at least fifteen percent (15%) compared to the direct exhaust emission standards of the existing engine that will be replaced.
- b) Diesel Emission Control Strategies – Diesel emission control strategies compatible with existing heavy-duty diesel engines are eligible for TFCA funding, subject to the conditions described below:
 - 1) All control strategies must be certified by CARB to reduce emissions from the relevant engine;
 - 2) TFCA will fund, at most, the incremental cost (over what is standard or required by regulation) of the emission control strategy; and
 - 3) The project sponsor must install the highest level (i.e., most effective) diesel emission control strategy that is approved by CARB for the specific engine.
- c) Clean Fuels or Additives – Clean fuels or additives compatible with existing heavy-duty engines are eligible for TFCA funding, subject to the conditions described below:
 - 1) All clean fuels or additives must be approved by CARB to reduce emissions and for use with the relevant engine; and
 - 2) TFCA will fund, at most, the incremental cost (over what is standard or required by regulation) of the clean fuel or additive.

- d) Replacement of Compressed Natural Gas (CNG) Fuel Tanks – The replacement of CNG fuel tanks will only be considered for projects that achieve surplus emissions via repowers or emission control strategies, described in a) and b) above.

27. Bus Replacements: For purposes of transit and school bus replacement projects, a bus is any vehicle designed, used, or maintained for carrying more than fifteen (15) persons, including the driver. A vehicle designed, used, or maintained for carrying more than ten (10) persons, including the driver, which is used to transport persons for compensation or profit, or is used by any nonprofit organization or group, is also a bus. A vanpool vehicle is not considered a bus.

28. Advanced Technology Demonstration Projects: Motor vehicle-based advanced technology demonstration projects (i.e., technologies, motor vehicles and/or emission control devices not authorized by CARB) are eligible for TFCA funding. Advanced technology demonstration projects are subject to the TFCA cost-effectiveness requirement, and grant applications for such projects must include best available data that can be used to estimate the cost-effectiveness of such projects. For motor vehicles, only projects that achieve emissions performance beyond CARB's most stringent adopted regulatory requirements are eligible for funding under this category. For infrastructure projects, only advanced technologies not currently being implemented in the Bay Area qualify for funding.

SHUTTLE/FEEDER BUS SERVICE PROJECTS

29. Shuttle/Feeder Bus Service: Shuttle/feeder bus service projects are those requesting funds to operate a shuttle or feeder bus route. The service route must go to or from a rail station, airport, or ferry terminal, and the project must:

- a) Be submitted by a public transit agency; or
- b) Be accompanied by documentation from the General Manager of the transit agency that provides service in the area of the proposed shuttle route, which demonstrates that the proposed shuttle service does not duplicate or conflict with existing transit agency revenue service.

All shuttle/feeder bus service to rail or ferry stations must be timed to meet the rail or ferry lines being served.

Independent (non-transit agency) shuttle/feeder bus projects that received TFCA funding prior to FY 2006/07 and obtained a letter of support from all potentially affected transit agencies need not comply with b) above unless funding is requested for a new or modified shuttle/feeder bus route.

All vehicles used in any shuttle/feeder bus service must meet the applicable CARB particulate matter (PM) standards for public transit fleets. For the purposes of TFCA funding, shuttle projects comply with these standards by using one of the following types of shuttle/feeder bus vehicles:

- a) an alternative fuel vehicle (CNG, LNG, propane, electric);
- b) a hybrid-electric vehicle;
- c) a post-1994 diesel vehicle and a diesel emission control strategy certified by CARB to reduce emissions from the relevant engine; or

d) a post-1989 gasoline-fueled vehicle.

No other types of vehicles, except for those listed in a) through d) immediately above, are eligible for funding as shuttle/feeder bus service projects.

Grant applications for projects that provide a direct or indirect financial transit or rideshare subsidy exclusively to employees of the project sponsor will not be considered for funding. For projects that provide such subsidies, the direct or indirect financial transit or rideshare subsidy must be available, in addition to the employees of the project sponsor, to employees other than those of the project sponsor.

ARTERIAL MANAGEMENT PROJECTS

- 30. Arterial Management:** Arterial management grant applications must specifically identify a given arterial segment and define what improvement(s) will be made to affect traffic flow on the identified arterial segment. Projects that provide routine maintenance (e.g., responding to citizen complaints about malfunctioning signal equipment) are not eligible to receive TFCA funding. Incident management projects on arterials are eligible to receive TFCA funding. Transit improvement projects include, but are not limited to, bus rapid transit and transit priority projects. For signal timing projects, TFCA funds may only be used for local arterial management projects where the affected arterial has an average daily traffic volume of 20,000 motor vehicles or more, or an average peak hour traffic volume of 2,000 motor vehicles or more.

SMART GROWTH PROJECTS

- 31. Smart Growth/Traffic Calming:** Physical improvements that support development projects and/or calm traffic, resulting in motor vehicle emission reductions, are eligible for TFCA funds subject to the following conditions: a) the development project and the physical improvements must be identified in an approved area-specific plan, redevelopment plan, general plan, bicycle plan, traffic-calming plan, or other similar plan; and b) the project must implement one or more transportation control measures (TCMs) in the most recently adopted Air District strategy for State and national ozone standards. Pedestrian projects are eligible to receive TFCA funding. Traffic calming projects are limited to physical improvements that reduce vehicular speed by design and improve safety conditions for pedestrians, bicyclists or transit riders in residential and retail areas.

BICYCLE PROJECTS (SEE SEPARATE GUIDELINES.)

REGIONAL FUND EVALUATION CRITERIA

In addition to complying with other policies, including achieving a cost effectiveness of no more than \$90,000 per ton, both public agencies and non-public entities are eligible to receive points under Criteria 1, 2, 3, and 5. Only public agencies are eligible to receive points under Criterion 4. The maximum possible score for a public agency is 100 points and the maximum possible score for a non-public entity is 90 points. Projects will be ranked by the percentage of total eligible points scored (100 for public agencies and 90 for non-public entities) in descending order. A public agency must achieve a minimum score of 40 points to be considered for funding while a non-public entity must achieve a minimum of 36 points to be considered for funding. In the event that two or more projects achieve an equal score, project ranking will be determined by TFCA funding effectiveness (Criterion #1). The project with the best TFCA funding effectiveness will receive priority.

Available TFCA Regional Funds will be allocated to projects beginning with the highest ranking project and proceeding in sequence to lower-scoring projects, to fund as many eligible projects as available funds can fully cover. The point where the next-ranked eligible project cannot be fully funded defines the cut-off point for the funding cycle, i.e., all projects above this point will be funded. Any remaining available funds are generally allocated to projects in the subsequent funding cycle. No partial grant awards will be made; however, grant awards may be reduced from the original application request by mutual consent of the project sponsor and the Air District.

FY 2007/2008 TFCA Regional Fund Scoring Criteria

| Criteria | Maximum Points |
|---|----------------|
| 1. TFCA Funding Effectiveness* | 60 |
| 2. Greenhouse Gas Emission Reductions* | 10 |
| 3. Other Project Attributes* | 10 |
| 4. Clean Air Policies and Programs** | 10 |
| 5. Sensitive and PM Impacted Communities* | 10 |
| Total | 100 |

* Public agencies and non-public entities eligible to receive points

** Only public agencies eligible to receive points

DISCUSSION

- **Criterion 1: TFCA Funding Effectiveness (maximum 60 points)**

This criterion is designed to measure the cost-effectiveness of a project in reducing air pollutant emissions and to encourage projects that contribute funding from other, non-TFCA sources in excess of required matching funds. TFCA funds budgeted for the project (TFCA Regional Funds and TFCA County Program Manager Funds combined) will be divided by the estimated lifetime

emission reductions for the project. The estimated lifetime emission reductions is the sum of reactive organic gases, oxides of nitrogen, and weighted particulate matter (PM)¹ that will be reduced over the life of the project. Air District staff will determine the estimated emission reductions and TFCA funding effectiveness for the project.

The point scale for awarding points for this criterion is presented below.

Point Scale for Criterion 1

| TFCA \$/Ton | | Points | TFCA \$/Ton | | Points |
|-------------|------------|--------|-------------|-------------|--------|
| \$0 | - \$19,999 | 60 | \$56,000 | - \$57,999 | 41 |
| \$20,000 | - \$21,999 | 59 | \$58,000 | - \$59,999 | 40 |
| \$22,000 | - \$23,999 | 58 | \$60,000 | - \$61,999 | 39 |
| \$24,000 | - \$25,999 | 57 | \$62,000 | - \$63,999 | 38 |
| \$26,000 | - \$27,999 | 56 | \$64,000 | - \$65,999 | 37 |
| \$28,000 | - \$29,999 | 55 | \$66,000 | - \$67,999 | 36 |
| \$30,000 | - \$31,999 | 54 | \$68,000 | - \$69,999 | 35 |
| \$32,000 | - \$33,999 | 53 | \$70,000 | - \$71,999 | 34 |
| \$34,000 | - \$35,999 | 52 | \$72,000 | - \$73,999 | 33 |
| \$36,000 | - \$37,999 | 51 | \$74,000 | - \$75,999 | 32 |
| \$38,000 | - \$39,999 | 50 | \$76,000 | - \$77,999 | 31 |
| \$40,000 | - \$41,999 | 49 | \$78,000 | - \$79,999 | 30 |
| \$42,000 | - \$43,999 | 48 | \$80,000 | - \$81,999 | 29 |
| \$44,000 | - \$45,999 | 47 | \$82,000 | - \$83,999 | 28 |
| \$46,000 | - \$47,999 | 46 | \$84,000 | - \$85,999 | 27 |
| \$48,000 | - \$49,999 | 45 | \$86,000 | - \$87,999 | 26 |
| \$50,000 | - \$51,999 | 44 | \$88,000 | - \$89,999 | 25 |
| \$52,000 | - \$53,999 | 43 | \$90,000 | - and above | 0 |
| \$54,000 | - \$55,999 | 42 | | | |

• **Criterion 2: Greenhouse Gas Emission Reductions (maximum 10 points)**

This criterion is designed to reward projects that will reduce greenhouse gas emissions. It will award a maximum of 10 points (on a sliding scale, 0 - 10 points) for projects that reduce emissions of greenhouse gases, such as carbon dioxide. Inherently, projects that promote alternative modes of transportation and reduce single occupant vehicle trips (e.g., transit, ridesharing, bicycling and walking), as well as projects that improve motor vehicle fuel economy, will reduce greenhouse gas emissions. TFCA funds budgeted for the project will be divided by the estimated lifetime emission reductions of greenhouse gases for the project. Air District staff will determine the estimated emission reductions, TFCA funding effectiveness, and the scale for awarding points.

¹ PM emissions include tailpipe PM, as well as brake particles, tire particles and re-entrained road dust. Consistent with California Air Resources Board methodology to calculate PM emission reductions for the Carl Moyer Program, weighted PM emissions will be calculated by adding the tailpipe PM multiplied by a factor of 20, plus the sum of tire, brake, and road dust PM.

- **Criterion 3: Other Project Attributes (maximum 10 points)**

The purpose of this criterion is to provide a mechanism in the evaluation and scoring process to identify and assess desirable project attributes that are not captured in the analysis of TFCA funding effectiveness. Projects may score points under this criterion based upon other project attributes identified for each project type. The specific project attributes for each project type will be identified after grant applications have been received and reviewed.

- **Criterion 4: Clean Air Policies and Programs (maximum 10 points)**

The purpose of this criterion is to recognize and encourage the efforts of public agencies to implement policies and programs that promote the region's air quality objectives, especially land use and transportation policies that help to reduce air pollution from motor vehicles.

To receive points for this criterion, the sponsoring agency must describe its policies and actions to implement the transportation control measures (TCMs) in the most recently adopted strategy(ies) for State and national ozone standards throughout the agency's jurisdiction. Points will be awarded based upon the performance of the project sponsor in implementing those elements of each TCM, which are within the purview of the sponsor agency. Non-public entities are not eligible for points under this criterion.

- **Criterion 5: Sensitive and Particulate Matter (PM) Impacted Communities (maximum 10 points)**

This criterion will award a maximum of 10 points (on a sliding scale, 0-10 points) for projects that directly reduce emissions in communities with both high PM_{2.5} emissions, based on data from the Air District's Community Air Risk Evaluation (CARE) Program, and sensitive populations (i.e., children, seniors, those with low-incomes or elevated asthma rates). Maps that identify these communities are available on the Air District's website. To qualify for points, a project must directly benefit one or more of these communities. The project sponsor must: 1) clearly indicate the community that would benefit from the project; 2) specify the percentage of project resources or services that would be delivered to the identified community; and 3) provide a clear explanation as to how the project would directly benefit residents in that community. The number of points awarded will be based upon the percentage of project resources or services that would directly benefit the community, and the extent to which the project sponsor demonstrates this benefit.

ATTACHMENT C

COMMENTS RECEIVED AND STAFF RESPONSES: DRAFT FY 2007/2008 BICYCLE FACILITY PROGRAM GUIDELINES AND DRAFT FY 2007/2008 TFCA REGIONAL FUND POLICIES AND EVALUATION CRITERIA

Draft Bicycle Facility Program (BFP) Guidelines

| Number Name and Title Agency or Entity | Comments | Staff Response |
|--|--|--|
| <p>#1.</p> <p>David Huynh, P.E., Senior Transportation Engineer</p> <p>City of Fremont</p> | <p>a) Under the new draft BFP, a table summarizes the grant amount based on project type (i.e., a Class 3 Bicycle Route project would get \$20,000 per mile of route). It is not clear if the amount stated for each project type in the table is a set fixed amount or a maximum amount.</p> <p>b) Under the new draft BFP, there is a large funding gap between a Class 2 Bike Lane with Construction versus a Class 2 Bike Lane with Striping Only (\$85k versus \$30k, respectively). Given the large funding distinction, the term "Construction" should be defined as to what constitutes "construction" and what type of activity would qualify under this category. Also, if there is "construction" related work only at intermittent segments of a 4 mile Class 2 Bike Lane project, would funding be calculated as 4 x \$85,000 OR does there need to be continuous "construction" related work along the entire 4 mile segment to qualify?</p> | <p>The amounts set forth in the Proposed BFP Guideline #15 are the default values. Applicants may request less than the listed grant amount. Air District staff anticipates that only rarely would applicants request less than the stated grant amount. If the BFP is approved by the Air District Board of Directors, clarification will be provided in the grant application package.</p> <p>Proposed BFP Guideline #15 has been amended to clarify that only projects involving construction (e.g., widening a roadway shoulder) continuously along the length of a Class-2 lane would qualify for the higher grant amount. A project with construction intermittently along the lane would only qualify for the lower amount under Class-2 Bicycle Lane – Standard. Portions of one project could be Class-2 Continuous Construction and portions could be Class-2 Standard. Air District staff will further clarify what constitutes “construction” in the grant application guidance.</p> |
| <p>#2.</p> <p>Sean Co, Planner/Analyst</p> <p>Metropolitan Transportation Commission</p> | <p>Program Guidelines. Overall, MTC staff is very supportive of the proposed program guidelines.</p> <p>a) Grant Amounts – Please specify that the grant amounts are not intended to cover the total costs of these projects.</p> <p>b) Grant Amounts – Please be explicit that the grant amount of \$60 per bike space for a bicycle rack would amount to \$120 for an inverted U type rack. These types of racks can cost \$150 to \$200 per rack.</p> | <p>Proposed BFP Guideline #15 has been augmented to clarify this point.</p> <p>The Air District will specify in the application package that, under the BFP, the common inverted U-type bicycle rack would be considered to</p> |

| | | |
|---|--|--|
| | <p>A higher reimbursement cost would allow agencies to cover the total cost of the rack.</p> <p>c) Eligible Bicycle Facility Projects – Will funds for design of bicycle facilities or maintenance or rehabilitation of existing facilities be considered?</p> <p>d) Use of BFP Funds – Will ongoing operations of a facility such as a bike station be eligible for reimbursement?</p> <p>e) Eligible Bicycle Facility Projects – If the purchase order includes installation please specify this in the guidelines.</p> | <p>accommodate two bicycles.</p> <p>Proposed BFP Guideline #14 has been modified to clarify that design, engineering, installation, and environmental review costs directly associated with the implementation of a project are eligible for BFP funding.</p> <p>Proposed BFP Guideline #12 has been amended to exclude maintenance, rehabilitation, and operating costs. Maintenance and rehabilitation do not tend to result in additional reduction of trips or emissions. Operating costs for bike stations have not been shown to be very cost-effective.</p> <p>Proposed BFP Guideline #14 now specifies that installation costs are eligible.</p> |
| <p>#3.</p> <p>Lee Chien Huo, Bay Trail Planner</p> <p>Association of Bay Area Governments</p> | <p>On behalf of the Bay Trail project, I would like to commend the Bay Area Air Quality Management District for its progressive efforts to create a grant program specific to bicycle facilities under the TFCA program . . .</p> <p>a) [W]e would request that the Bicycle Facility Program (BFP) Grant be made available for bicycle facilities projects that provide for regional commute and travel as well as local bicycle trips. To accommodate this, we would recommend that the eligibility criteria for the BFP Grants be opened to the bicycle facilities proposed and identified within regional bicycle plans and not just county bicycle plans. Examples of such regional plans include the Metropolitan Transportation Commission's Regional Bicycle Plan and the Bay Trail Plan. Both of these plans have identified bicycle facility alignments that provide corridors for travel between and across communities within the nine Bay Area counties. The Bay Trail itself will loop around all nine Bay Area counties and provide connections between 47 cities.</p> <p>b) We would also request that any bicycle facilities project identified within the regional plans be made eligible for BFP Grants without being limited to the criteria of being within 1/2 mile of an activity center. Part of the function of these regional bicycle systems is to provide longer-distance connections between activity centers and communities.</p> | <p>Proposed BFP Guideline #14 has been changed so that projects included in the Metropolitan Transportation Commission's (MTC's) Regional Bicycle Plan would be eligible for BFP funding. As the Bay Trail is referenced in MTC's Regional Bicycle Plan, there is not a need to identify it specifically in the guidelines.</p> <p>Air District staff believes that it is important to maintain the major activity center requirement in proposed Guideline #14, to ensure that a funded bikeway will encourage bicycling for utilitarian purposes and, thereby, reduce motor vehicle trips,</p> |

| | | |
|--|--|--|
| | | rather than primarily support recreational uses. |
| <p>#4</p> <p>Matt Todd, Manager of Programming</p> <p>Alameda County Congestion Management Agency</p> | <p>a) The program guidelines in general seem very specific, assigning specific grant amounts to specific facilities can be made for items such as bicycle lockers and racks. A policy that provides more flexibility allows sponsors the ability to submit the range of bicycle projects that are currently being implemented in the diverse conditions of the BAAQMD region. Project costs vary depending upon the existing conditions, a bicycle path in two areas can have vastly differing costs depending upon the context, i.e., urban, suburban or rural.</p> <p>b) We request the Air District consider increasing the portion of the Regional TFCA Program dedicated to the BFP. We understand that about \$600,000 (or 6%) of the total Regional program will be dedicated to the BFP.</p> <p>b) Please clarify if the BFP funds are only eligible for construction of bicycle facilities? Are the funds flexible enough to cover design and engineering of such facilities? We request that design and engineering costs being considered an eligible expense.</p> <p>c) It is clear that fixed costs are being applied to items such as bicycle lockers and racks via a voucher/purchase order system. Will the BFP funds be eligible to also cover the cost of installation for such items? The funds should be as flexible as possible in the realm of bicycle facility projects.</p> <p>d) Please consider the use of these funds for ongoing 'operations' of bicycle parking stations.</p> | <p>Although Air District staff acknowledges that costs and benefits for similar projects can vary widely depending on various factors, it is responding to Board of Directors direction regarding default values and streamlined programs. Staff notes that similar grant amounts typically have not covered all bicycle project costs in the past, and are not intended to do so in the future.</p> <p>The recommended annual funding level is based on historical data from TFCA Regional Fund awards in recent years.</p> <p>As noted in response to comment #2.c., the BFP Guideline #14 has been amended to specify that certain design and engineering costs are eligible for BFP funding.</p> <p>As noted in response to comment #2.c., the BFP Guideline #14 has been amended to specify that installation costs are eligible for BFP funding.</p> <p>As noted in response to comment #2.d., the proposed BFP Guideline #12 has been amended to exclude operating costs.</p> |
| <p>#5</p> <p>Rochelle Wheeler, Bicycle and Pedestrian Coordinator</p> <p>Alameda County Transportation Improvement Authority</p> | <p>Draft Bicycle Facility Program Summary</p> <p>a) Purpose: Without having attended your workshop, it is unclear why this new separate bicycle program has been proposed. While I understand and appreciate the goal to create a streamlined program, it would be useful to see a more detailed explanation for creating a separate program with a specific funding set-aside.</p> <p>b) Available Funds: It seems unfair to have a funding set-aside for only one category of funds. Why is this proposed? The amount proposed is also very low – only about 6% of the entire regional TFCA amount. If bicycle projects are cost-effective at reducing</p> | <p>The rationale for the proposed BFP is described in the staff report to which this document is attached. See also response to comment #4.a., above.</p> <p>Please see response immediately above. In addition, regarding the amount of annual funding, Air District staff will consider factors such as historic funding amounts and</p> |

| | | |
|--|---|--|
| | <p>emissions, and there is a great demand for them, why not fund them at a higher level? It is also unclear how the funding amount will be determined.</p> <p>c) Award: Determining projects to fund based on a first-come, first-served basis does not seem appropriate for a program that will surely be heavily over-subscribed. Are there not some other criteria that could be used, such as demand or geographic equity? If not, then having a clear deadline for submittals, and then picking projects via lottery would be slightly fairer to all interested applicants.</p> <p>d) The timeline for using the BFP vouchers should take into consideration that purchasing and installing lockers is often much more time intensive than racks.</p> <p>Draft Bicycle Facility Program Guidelines</p> <p>e) The information contained under the “Summary of the BFP process” is not included in the Program Guidelines – is this intentional? These seem like crucial program details.</p> <p>f) It would be useful to applicants to have a clear definition of “secure bicycle parking.”</p> <p>g) Are there any reporting requirements for the funded projects?</p> <p>h) How will this policy impact the Program Managers Fund?</p> | <p>percentages, cost-effectiveness, and demand for eligible projects in formulating its recommendation for the Mobile Source Committee and Board of Directors.</p> <p>Air District staff still support a first-come, first-served basis for grant award, and notes that the grant amounts and criteria for each project type will temper demand. Staff will explore options for accepting grant applications and awarding grants to address fairness and logistical concerns.</p> <p>The timeline for purchasing and installing racks and lockers is not part of the proposed guidelines. Air District staff is considering options, including reimbursing costs upon project completion coupled with longer timelines.</p> <p>As with the policies that govern the TFCA County Program Manager Fund and the TFCA Regional Fund, the proposed BFP guidelines are intended to establish general requirements and parameters of the BFP. If the BFP is approved by the Board, the details of process and administration would be addressed in an application package, a funding agreement, voucher and other program documents.</p> <p>Proposed BFP Guideline #15 has been changed to specify that secure bicycle parking includes bicycle cages and the capital costs of bicycle parking at bikestations.</p> <p>Reporting requirements will be specified in the project-specific documents, but, in general, are intended to be much less stringent than those for previous TFCA-funded bicycle facility projects.</p> <p>No changes are currently proposed to the TFCA County Program Manager</p> |
|--|---|--|

| | | |
|--|---|--|
| | <p>i) There continues to be a strong need for funding to operate BikeStations, whether attended or not. The Air District should consider including a grant amount, similar to the other bicycle facility projects, for BikeStations.</p> <p>j) #8 Signed Funding Agreement – It appears that the 30 day stated deadline to sign a funding agreement is different than what is shown in the Program Summary (where it states 2 months).</p> <p>k) #10 Implementation – the definition of “implemented that project” is still quite vague.</p> <p>l) #12 Ineligible Costs – Please include the definition of administrative costs.</p> <p>m) #14 Eligible Bicycle Facility Projects – Will “Class – 3 bicycle routes” be interpreted broadly to include other facilities like bicycle boulevards?</p> <p>n) Why are bicycle bridges, overcrossings, and undercrossings not included in the BFP program, and rather under Smart Growth? These facilities can be key to bicycle commute routes and should be included.</p> <p>o) What about other improvements, such as new bicycle detector loops, signal adjustments, new traffic signals, and other improvements that create an attractive and highly used bicycle facility? Will these be funded?</p> <p>p) Also – the definition of “activity centers” and “major activity centers” should be further defined to ensure that applicants understand what is included and what is not.</p> | <p>Fund policies; bicycle facility projects are proposed to be deleted from the policies for the TFCA Regional Fund.</p> <p>As stated above in response to comment 2.d., Proposed BFP Guideline #12 has been amended to exclude operating costs, based on cost-effectiveness.</p> <p>The proposed time for a project sponsor to return a signed funding agreement has been standardized at two months.</p> <p>The subject definition refers to the project funding agreement, which will include specific milestones with completion dates and provide a concrete and specific basis for a determination of the implementation status.</p> <p>Proposed BFP Guideline #12 has been amended to set forth specific costs which would be considered administrative costs.</p> <p>A typical bicycle boulevard would be included in the definition of a Class-3 bicycle route.</p> <p>Bicycle bridges, overcrossings, and undercrossings would be eligible under the proposed BFP as well as the TFCA smart growth policy (TFCA Regional Fund Policy #31), although Air District staff acknowledges that a streamlined program with default values might not fully account for the impact of such gap closure projects.</p> <p>These costs are eligible as part of a larger bicycle facility project. No additional funds will be awarded for these improvements.</p> <p>If the BFP Guidelines are approved by the Board, Air District staff plans to define these terms in the BFP grant application package.</p> |
|--|---|--|

| | | |
|---|---|--|
| | <p>q) #15 Grant Amount – Please define the difference between bike lane “construction” and “striping only.”</p> <p>r) While it is understood that the grant amounts were determined based on TFCA cost-effectiveness, they are, in general, substantially lower than the actual cost of implementing the stated improvement.</p> <p>s) Are all phases of construction included (such as design and engineering of bikeways and installation of racks/lockers).</p> | <p>Proposed BFP Guideline #15 has been augmented to distinguish among Class-2 bicycle lane projects. See response to comment #1.b.</p> <p>Please see response to comment #4.a.</p> <p>Please see responses to comments #2.c. and #2.e.</p> |
| <p>#6</p> <p>Susan R. Klassen, Deputy Director - Transportation/ Operations</p> <p>Sonoma County Dept. of Transportation and Public Works</p> | <p>a) On page 3 of the Draft Bicycle Facility Program Guidelines under Section #15, it indicates that Class 2 Bicycle Lane Construction would be capped at \$85,000 per mile of lane. Clarification is requested regarding whether this means that the \$85,000 will be provided for constructing bicycle lanes for one mile on one side of the road or on both sides of the road.</p> <p>b) Also, capping the amount of available funding at \$85,000 per mile lane does not accurately reflect the current cost of road construction in the San Francisco Bay Area. Instead of capping the funding at \$85,000 per mile lane, please consider funding a percentage of the total cost of constructing bicycle lanes. The scope of construction for bicycle lanes varies widely and should be considered on a case by case basis. New bicycle lanes in a rural area that requires drainage improvements or a wider shoulder are much more expensive than constructing shoulders on a roadway located in a more urbanized area that may already have a partial shoulder and requires no drainage improvements.</p> | <p>Proposed BFP Guideline #15 now clarifies that the bicycle lane grant amounts are for both sides of the roadway. An eligible project with a bicycle lane only on one side of a roadway would be eligible for half the BFP funding.</p> <p>Air District staff acknowledges that costs vary significantly within one project type, but believes that using default grant amounts, versus default percentages of projects, addresses both cost-effectiveness and administrative efficiency.</p> |
| <p>#7</p> <p>Marcella M. Rensi, Manager, Programming and Grants</p> <p>Santa Clara Valley Transportation Authority</p> | <p>Staff applauds and strongly supports BAAQMD’s willingness to experiment with a new, very streamlined process for bicycle projects. Staff is particularly intrigued by application of the first-come-first-serve aspect to bicycle projects. It has worked very well for the VIP program. The grant amounts may be low despite the fact that they are based on BAAQMD’s prior experiences. However the best way to determine this is probably to go forward with the program, and refine it as needed next year.</p> | <p>Air District staff appreciates the input.</p> |
| <p>#8</p> <p>Jason Patton, Bicycle and Pedestrian Program Manager</p> | <p>Draft BFP Guidelines</p> <p>a) Applicant in Good Standing (#6 Monitoring and Reporting; #7 Failed Audit): Will the Air District provide current information to jurisdictions on whether or not they have failed to fulfill monitoring</p> | <p>Air District staff currently issue letters well before grant application deadlines to TFCA Regional Fund project sponsors that are delinquent</p> |

| | | |
|---|---|--|
| <p>Public Works Agency, City of Oakland</p> | <p>and reporting requirements and/or failed a fiscal audit? For agency staff within large jurisdictions – such as Oakland – it would be helpful for the Air District to provide information on eligibility directly to potential applicants.</p> <p>b) Applicant in Good Standing (#9 Payments): Please provide clarification on “c) the project is no longer eligible for BFP funding...” Is this meant to apply to funding agreements that have been fully and properly executed? On what basis would such a funding agreement be revoked?</p> <p>c) Use of BFP Funds (#12 Ineligible Costs): Please provide clarification on “administrative costs.” This exclusion might include the time to review the funding agreement and process reimbursement requests. However, staff time for managing and implementing the projects should be considered for eligibility.</p> <p>d) Grant Amounts: Please provide an explanation on the scope of “Class-2 Bicycle Lane – Construction” versus “Class-2 Bicycle Lane – Striping Only.” Is your intent that “Construction” refers to road widening projects whereas “Striping Only” is for projects within the existing pavement? Also provide an explanation of “Secure Bicycle Parking” and how it differs from the bicycle lockers and bicycle racks included in the list of project types. If you are referring to bicycle cages, please make that explicit. In general, it would be helpful to provide a bulleted list of definitions for all of the project types.</p> <p>e) Grant Amounts: Please be explicit that these grant amounts are not intended to cover the entire costs of these projects. In fact, in some cases they may only cover 10% to 30% of the total project cost. The following comments address the particulars of grant amounts by project type. The amount of \$110,000/mile for Class-1 Bicycle Paths is very low. For planning purposes, we are currently using \$750,000/mile. For bicycle lanes, we are currently using \$100,000/mile for our planning estimates. Note that these costs can vary dramatically based on the extent of lane re-striping that is needed. This figure does not include associated paving costs that can vary widely and are often the largest portion of the overall project cost. For bicycle routes, the cost per mile will vary widely based on the nature of the facility. For basic bicycle</p> | <p>on one or more monitoring or reporting requirements, and anticipates continuing this practice for BFP projects.</p> <p>If information that was not properly disclosed at time of funding agreement execution later came to light and indicated a violation of a BFP Guideline, the Air District would be unable to reimburse costs without a change in the applicable policy(ies).</p> <p>As noted in the response to comment #5.l., administrative costs have been further defined in proposed BFP Guideline #12.</p> <p>See response to comment #1.b. Additional language has been added to proposed BFP Guideline #15 to clarify eligible Class-2 projects and secure bicycle parking.</p> <p>Air District staff appreciates the information about actual project costs. Proposed Guideline #15 now explicitly notes that the intent is not to cover total project costs. The proposed grant awards were determined based on historical funding levels for cost-effective TFCA bicycle facility projects, the TFCA literature review and performance review, and stakeholder input, versus total project cost.</p> |
|---|---|--|

| | | |
|---|--|---|
| | <p>routes, we are estimating \$10,000/mile while for bicycle boulevards we are estimating \$50,000/mile (including some striping and minor intersection improvements). For bicycle routes on arterial streets, we are estimating \$75,000/mile that would include some reconfiguration of travel lanes (but where bicycle lanes are not feasible due to limited width). These figures do not include associated paving costs that can vary widely and are often the largest portion of the overall project cost.</p> <p>Bicycle Racks/Lockers: Our cost for bicycle racks is \$100 per bicycle served (for single bend U racks at \$200 each, serving two bicycles each). Based on our recent experience, the cost for electronic lockers is appropriate.</p> <p>Draft BFP Summary</p> <p>a) Summary of BFP Process (#3): The summary explains that applications are to be funded on a first-come, first-served basis. Is this an adequate mechanism (along with basic eligibility) for awarding grants from what will likely be a highly oversubscribed source?</p> <p>b) Racks/Lockers Projects (#7): When would the Air District issue the BFP voucher? In other words, when would the 120-day clock start for issuing a purchase order? The verification and approval of rack locations is a time intensive process because of the necessary fieldwork. Due to changing conditions in the field, it is most appropriate to do this work after funding is secured for the racks. If the voucher is issued when the grant agreement is signed, a 240-day period would be appropriate for final verification of locations, approval of the placements, and issuance of the purchase order. If the voucher is not issued until requested by the grantee, the time periods specified are reasonable.</p> <p>c) Racks/Lockers Projects (#8): Does the purchase order include installation? It should because it is most effective to bundle the purchase and installation. Note that the 180-day period is probably sufficient although it does depend on the schedule of the vendor.</p> | <p>Please see response to comment #5.c above.</p> <p>The proposed vouchers would be issued soon after grant award. Also, please see the response to comment #5.d.</p> <p>Proposed BFP Guideline #14 has been clarified to include installation costs.</p> |
| <p>#9</p> <p>Heath Maddox, Associate Transportation Planner</p> | <p>The City of Berkeley appreciates this set-aside for bicycle projects and the streamlined application process.</p> <p>a) We encourage you to increase the amount available to bike projects beyond the \$500,000 to \$600,000 available in previous years. The region has</p> | <p>Please see response to comment #5.b.</p> |

| | | |
|-------------------------|--|---|
| <p>City of Berkeley</p> | <p>tremendous need for bicycle infrastructure improvements, and current funding levels under the patchwork of funding programs available to local jurisdictions do not meet this demand.</p> <p>Draft BFP Guidelines</p> <p>b) Guidelines vs. Summary:</p> <p>i) Information on project selection and payment is included in the Program Summary that is not included in the Program Guidelines. This causes confusion because a reader naturally assumes that the full guidelines would include all the contents of the summary.</p> <p>ii) The Guidelines should explicitly state that qualifying projects will be selected on a first-come, first-served basis.</p> <p>iii) The Guidelines should also explain the voucher process for racks and lockers.</p> <p>e) Bike Paths:</p> <p>i) The most recent cost estimate I have from my construction engineers for building a bike path in an urban setting is \$1,000 per linear foot. The proposed TFCA funding would cover about 2% of that. This isn't even enough to meet most local match requirements for other sources. This formula amount should be increased, ideally to 20% or more of real-world costs. In addition, the formulas should be automatically indexed to local construction cost inflation.</p> <p>f) Bike Lanes:</p> <p>i) The Guidelines should provide a clear definition of what is meant by 'bike lane construction'. If this means paving a previously unpaved shoulder (something that wouldn't likely ever be needed in Berkeley), then \$85,000 a mile would cover a very small portion of the cost. This formula amount should be increased, ideally to 20% or more of real-world costs.</p> <p>ii) Signage is a required component of bike lanes, yet it does not appear to be listed as an eligible item, unless it is included under construction. The Air District should explicitly allow required bicycle signage as an eligible item.</p> | <p>The BFP Guidelines are intended to communicate the basic parameters and requirements for the program. Details of the BFP grant application and payment process will be provided in the BFP grant application package.</p> <p>The staff report to which this document is attached addresses this comment, as will the subsequent BFP grant application package.</p> <p>The award and payment processes will be described in detail in the BFP grant application package.</p> <p>The Air District appreciates the comment and the cost data. BFP Guideline #15 has been changed to state that covering full project costs is not necessarily the intent of the BFP program. Also, please see the response to comment #8.e regarding the rationale for the grant amounts.</p> <p>Bicycle lane definitions have been clarified in BFP Guideline #15. The proposed grant amounts are not pegged to a certain fraction of the total project cost, but rather to amounts that have been cost-effective in the past.</p> <p>The changes to proposed BFP Guideline #15 address this comment. Signs that were included in an eligible BFP project would be eligible for funding.</p> |
|-------------------------|--|---|

| | | |
|--|---|---|
| | <p>g) Bike Routes:</p> <p>i) Bike routes normally cost a small fraction of bike lanes since they consist mainly of signage, and don't commonly include roadway reconfiguration or paving.</p> <p>h) Bike Parking</p> <p>The amount listed for bike racks will cover the cost of rack purchase, but not their installation. In our current TFCA-funded bike parking program, fabrication of one inverted-U rack that will hold two bikes will cost us \$130, and installation another \$130.</p> <p>The Guidelines should clarify the definition of "Secure Bike Parking". Are lockers and racks considered Secure Bike Parking? Does a simple cage qualify, or does the Air District mean only attended or unattended but access-controlled bikestations? Again, the eligible amounts seem very low. A bike cage holding 20 bikes can easily cost \$15,000 to \$20,000 to construct. At \$130 per secure space, construction of 77 spaces would be required to meet the \$10,000 TFCA minimum, but the total construction cost would be much greater.</p> <p>i) Please provide clarification as to when the vouchers mentioned in the summary would be issued. If the vouchers are intended to be issued when the funding agreement is signed, then the periods listed are too short due to the time consuming and necessary fieldwork, purchasing, and contracting work. One year would be a more realistic timeframe.</p> | <p>Air District staff appreciates the input.</p> <p>The intent of the BFP is not to necessarily provide full funding for a project. Proposed Guideline #15 has been amended to clarify this.</p> <p>Proposed BFP Guideline #15 has been changed to provide more definition for "secure bicycle parking," including bicycle cages and the capital costs of bicycle parking at bikestations, as noted above.</p> <p>The proposed BFP vouchers would be issued soon after grant award. Please see response to comment #5.d regarding timeframes.</p> |
| <p>#10</p> <p>Maria Lombardo</p> <p>Chief Deputy Director for Programming & Legislation</p> <p>San Francisco County Transportation Authority</p> | <p>We are very troubled by the proposal to separate bicycle facility projects from the regional TFCA program by creating a new Bicycle Facilities Program. If the Program is being justified by the discussion at the January 8, 2007 Mobile Source Committee meeting, our recollection is that the Directors asked the staff to study different methodologies for evaluating the cost effectiveness of bicycle projects and ways to streamline the application and administrative processes, not to remove bicycle projects from TFCA and create a separate program. Furthermore, our understanding was that the new methodologies would be brought back to the Mobile Source Committee, Program Managers and other interested parties for discussion prior to taking any action. We do not understand the urgency of moving forward with such a significant</p> | <p>The recommendation adopted by the Mobile Source Committee and the Air District Board of Directors was for staff to establish a streamlined program for some specific TFCA project types with funding caps and default values for evaluating projects, and continue to research improved methodologies for evaluating the cost effectiveness and emission reductions achieved by project types that are eligible for TFCA funding. Air District staff is responding to this direction and seeking to complement the upcoming TFCA Regional Fund funding cycle, rather than wait another full year. No changes are</p> |

| | | |
|--|--|--|
| | <p>change, particularly one that could also impact the Program Manager fund. We feel strongly that the Air District should allow bicycle projects to continue to compete directly in the larger regional TFCA grant program until such time as there can be a full discussion of the proposed methodology changes with the Program Managers, project sponsors and other interested parties.</p> <p>Draft BFP Summary</p> <p>Available Funds: The Summary does not identify a specific set amount that will be available for the new bicycle program, nor how and when that amount will be determined. For example, would this amount be set each year depending on the applications received or would the Air District set the limit prior to releasing the call for projects? If the Air District intends to set a limit prior to the call for projects, we are concerned that bicycle projects that prove to be more cost effective than projects in the main TFCA program would not be funded, or that the Air District may fund bicycle projects that prove to be less cost effective than TFCA applicant projects. Conversely, if the Air District intends to set the limit after reviewing responses to the call for projects, then we are uncertain what benefit exists to separating the bicycle projects from the rest of the TFCA project types.</p> <p>Summary of BFP Process: Bicycle projects are not equally cost effective and therefore should not be programmed on a first come, first serve basis. This model works well for the Vehicle Incentive Program where there is a clear rationale to justify assumptions about comparable costs and use of default values to determine air quality benefits. This is not the case for bicycle projects, which by their nature have costs and benefits that are much more localized. For example, within the Bay Area construction costs are typically higher in San Francisco for many reasons, including factors such as having to implement projects in an already built-out city with very limited right-of-way. At the same time, even within San Francisco, the ability of a particular bicycle project to attract riders and reduce motor vehicle emissions varies greatly depending upon the particular project, the surrounding land uses, its relationship to the rest of the bicycle network, etc. This concern is a major reason why we feel that the proposed changes need to be fully vetted with the Program Managers and project sponsors, including testing out the implications of the proposed changes with test</p> | <p>being proposed for the TFCA County Program Manager Fund policies regarding bicycle projects. Air District staff appreciates the concerns, and plans to work with stakeholders in the shaping of the BFP procedures and any future guidelines.</p> <p>A set amount of \$600,000 is being proposed for the first funding cycle. Although Air District staff acknowledge that cost-effectiveness varies by project and by year, this figure is consistent with TFCA Regional Fund funding levels for cost-effective and competitive bicycle facility projects over the past several years.</p> <p>Air District staff acknowledges that there are drawbacks to any grant award process, including first-come, first-served. However, the TFCA literature review and performance review found that in many cases the monitoring required for precise measurement of emission reductions is expensive both in absolute terms and in relation to the project investment. The criteria for bicycle facility projects are designed to ensure that emission reductions will be achieved by such projects.</p> |
|--|--|--|

| | | |
|--|--|--|
| | <p>projects. See also related comment below about Policy #15 in the draft program guidelines.</p> <p>Draft BFP Guidelines</p> <p>Bikeway Projects (#4): Policy #8 of the Draft Bicycle Facility Program Guidelines states that "project sponsor must sign a funding agreement within 30 days from the date it has been transmitted to them," yet the Summary states "the Air District provides an unsigned funding agreement to the applicant, who has 2 months to sign and return it to the Air District." Please clarify and/or reconcile these two timelines, as appropriate.</p> <p>Racks/Lockers Projects (#7 and #8): The Summary refers to two different deadlines for rack/locker projects: one for issuing a purchase order and one for taking delivery of the order. We recommend condensing this process into one deadline, as this will allow project sponsors the flexibility to deal with their own internal administrative processes while still delivering the project within the Air District's overall timeline. We would suggest requiring the sponsor to include both milestones in the project application as a tool for project delivery oversight, but the policy would only apply to the second deadline.</p> <p>Policy #14: Would bicycle projects that do not fit into any of the proposed project typologies (e.g., operating funds for attended bike stations) be evaluated or would they simply be excluded from the program and made no longer eligible for regional TFCA funds? We would recommend adding operating funds for attended bike stations as an eligible project type similar to lockers and racks, which are already included.</p> <p>Policy #15: How did staff calculate and decide upon the proposed grant amounts for each project type? In our experience, the location and context of a proposed project are key factors affecting a project's cost effectiveness ratio. By removing that context from the cost effectiveness calculation, the Air District relinquishes its ability to make decisions based upon the comparative cost effectiveness of projects and instead rewards those who can quickly churn out applications.</p> | <p>The proposed turnaround time has been standardized to two months.</p> <p>Administrative procedures are not included in the proposed guidelines, but Air District staff anticipates condensing the deadlines at issue as suggested and approving payment at completion of the project.</p> <p>Project types not listed would not be eligible for BFP funding. Operating funds are not proposed to be included based on their cost-effectiveness.</p> <p>The proposed grant amounts were determined based on consideration of historic funding per program element (e.g., dollars per locker) for previously awarded TFCA projects, the findings of the TFCA performance review, and input from stakeholders. The proposed project criteria are intended to address cost-effectiveness.</p> |
|--|--|--|

Draft FY 2007/2008 TFCA Regional Fund Policies and Evaluation Criteria

| Number Name and Title Agency or Entity | Comments | Staff Response |
|---|--|--|
| <p>#11</p> <p>Roger Hooson, Senior Planner</p> <p>San Francisco International Airport</p> | <p>a) We have a comment about proposed Policy #18, Payments, part b. It appears that the already lengthy time between an application and the time the project can get underway (4 months+) is getting even longer (7-8 months), depending on how "incur" is defined, and how long it takes District staff to write up funding agreements. Assuming the grantee is willing to take the risk, why force a delay in a routine vehicle acquisition? Of course no reimbursement request could be filed until the funding agreement is executed, but why can't other steps be taken? Private sector operators need to be able to acquire equipment quickly. Eight months later they may no longer be interested in the equipment, or able to pay for it.</p> <p>b) Please define "incur." Does this mean the applicant pays a dealer invoice, orders a vehicle without an upfront payment, or ?</p> <p>c) The South Coast Air District may reimburse purchasers even of heavy-duty clean air vehicles quite promptly, perhaps from a revolving fund. Vehicle models have presumably been approved by the Board in advance. Why can't this be done in the Bay Area, by setting aside a portion of TFCA funds ahead of time? The VIP program forms the foundation for such a program. But it needs to be better funded, extended to heavy-duty vehicles at least up to 16,000 lbs. GVW, and possibly open to private sector applicants.</p> <p>d) In addition, there is no mention of whether the District will require CARB certification of emission values for a given vehicle at the time of application. Last year the Air District accepted vehicle applications without CARB certification. As a result, applicants playing by the accepted rules were turned down for funding, while applicants providing speculative emissions values were funded without</p> | <p>Only an executed contractual document constitutes the Air District's final approval and obligation to pay funds, and allowing reimbursement of costs incurred before execution of a current contract raises significant legal concerns on the part of Air District staff.</p> <p>Proposed Policy #18 has been changed to clarify that "incur" means to take on an obligation to pay funds that cannot be refunded, e.g., a nonrefundable deposit or a purchase order with a cancellation fee.</p> <p>The TFCA Regional Fund projects are selected on a competitive basis, in order to maximize cost-effectiveness. The reasons that a different approach is being proposed for bicycle facility projects (e.g., a high cost of monitoring relative to project cost) generally do not apply to engine-based projects. However, staff welcomes data regarding potential policy or program changes for future TFCA funding cycles.</p> <p>Air District staff will clarify this issue in the TFCA Regional Fund grant application guidance, in order to avoid any confusion or disagreement about performance certifications.</p> |

| | | |
|--|--|--|
| | any warning. Please clarify the Air District policy in this regard. | |
| #12 David Huynh, P.E., Senior Transportation Engineer City of Fremont | a) Item 30, Arterial Management. A new criteria is being proposed that adds a posted speed limit of 35 mph or less as a criteria. It is not clear if this statement now means that arterials that have a 35 mph or less speed limit qualify regardless of the traffic volume OR now only arterials that meet the previous traffic volume criteria and is 35 mph or less will qualify. It is not clear if this additional criteria was meant to make it more or less restrictive for projects to qualify. We would appreciate it if the Air District could clarify as to the intent of adding this new criteria. | Proposed Policy #30 has been changed to exclude the posted speed limit requirement. |
| #13 Joel Slavitt, Manager Capital Programs and Grants, San Mateo County Transit District | a) [W]e are recommending that language be added to Policy # 18 that permits payment for the implementation of ongoing projects if the TFCA funding agreement is not fully executed at the start of the calendar year (the start of the TFCA funding cycle) through no fault of the project sponsor. This would ensure that project sponsors will receive their full allotment of awarded funds if there is a delay caused by the Air District, as such was the case this year, without the interruption of vital transportation services that are an integral part of the health of the Bay Area economy and that thousands of Bay Area commuters rely on as part of their commute to work. b) In the case of the Caltrain shuttle program, although we acknowledged we will not get the full TFCA pro-rata share of reimbursement for the first quarter of service with the first quarter billing, Caltrain will be made whole with the second quarter payment. Incorporation of additional language to Policy #18, as proposed above, in the TFCA Policies that specifically allows exceptions in these instances would memorialize this. | The Air District is not able to accommodate this recommendation, due to the considerations noted in the response to comment #11.a. However, staff is committed to minimizing or eliminating delays in processing funding agreements. To minimize the impact of the prohibition against reimbursing costs incurred before the execution of a funding agreement, Air District staff included a catch-up payment provision in some recent funding agreements. Staff plans to incorporate such provisions, as necessary, in subsequent funding agreements, but does not believe a change in the policies is needed. |
| #14 Matt Todd, Manager of Programming Alameda County Congestion Management Agency | a) Policy #12: We commend the Air District for recognizing that a two-year period for operations is a more reasonable time frame. b) Policy #16: Recognizing that only a funding agreement signed by both the BAAQMD and the project sponsor constitutes an 'executed agreement.' We would like [to] emphasize the importance of the Air District meeting its own policy of a two-month turn around for an 'executed agreement' and that any delay will not shorten the two-month term the sponsor has to execute the agreement. | Air District staff appreciates the feedback. Staff will monitor the performance of two-year projects, in order to inform subsequent policies on project duration. Air District staff will work to execute funding agreements without delay, and within the suggested two-month time frame. Note that the two-month limit on signing a funding agreement only begins when the document is transmitted to the project sponsor. |

| | | |
|---|---|---|
| | <p>c) Policy #17: We request the Air District work with sponsors to resolve existing project issues in order to move forward with new projects.</p> <p>d) Policy #30: Under Arterial Management projects, specifically for Signal Timing Projects, a line was added "For signal timing projects, TFCA funds may only be used for <u>local</u> arterial management projects where the affected arterial has a <u>posted speed limit of 35 miles per hour (mph) or less</u>, an average daily traffic volume of 20,000 motor vehicles or more, or an average peak hour traffic volume of 2,000 motor vehicles or more." This will limit projects in jurisdictions that have congestion on streets with speed limits greater than 35 mph. This additional language to the policy is not required because if emissions reductions are not as effective for speeds above 35 mph, this will be reflected in a reduced cost effectiveness calculation.</p> | <p>Proposed Policy #17 would require that sponsors of existing projects implement the projects and comply with the associated requirements before being considered for new TFCA Regional Fund grants. Air District staff will proactively work with project sponsors to resolve issues with existing projects.</p> <p>Proposed Policy #30 has been changed to exclude the maximum posted speed limit requirement.</p> |
| <p>#15</p> <p>Rochelle Wheeler, Bicycle and Pedestrian Coordinator</p> <p>Alameda County Transportation Improvement Authority</p> | <p>#31 Smart Growth/Traffic Calming – We appreciate that the Air District will continue to fund pedestrian projects through the TFCA program. ACTIA encourages the Air District to find ways to allow jurisdictions to more easily identify projects that will be eligible, and compete well, under this category. One way to do this would be to provide more guidance and direction on eligible pedestrian projects.</p> | <p>Air District staff recently researched this issue, and found that it would be very difficult to provide default values, based on the wide variability among smart growth/traffic calming projects. Project sponsors are encouraged to contact Air District staff well before the application deadline to discuss potential projects.</p> |
| <p>#16</p> <p>Michael G. Rea, Executive Director</p> <p>West County Transportation Agency</p> | <p>I am writing to urge your revision and expansion of policy 26 d. One of the biggest challenges school transportation providers face is that we will soon be in a position where we need to replace CNG fuel tanks on some of our buses. Law requires tank replacement every 15 years. Your language would only consider funding for tank replacement if engines are repowered. School buses only travel about 15,000 miles per year and school districts often operate their buses 30 years or more. It is not likely that we would repower our school buses in their lives, but the tanks would need to be replaced at least once. It does not make sense for us to repower the buses when the engines are operating fine, and there are no other lower-emission options.</p> | <p>Unfortunately, TFCA-funded projects must demonstrate emission reductions, and replacing compressed natural gas tanks without also achieving additional emission reductions would not be eligible for TFCA funding.</p> |

| | | |
|--|---|--|
| <p>#17</p> <p>Maria Lombardo</p> <p>Chief Deputy Director for Programming & Legislation</p> <p>San Francisco County Transportation Authority</p> | <p>The proposed revision to TFCA Regional Program Policy #17, Implementation, would make project sponsors that are currently out of compliance with the terms of an existing TFCA funding agreement, including operational and notification requirements in force for the full term of the agreement, ineligible for a new TFCA Regional Fund grant award. We support the intent of the policy, but based on our experience administering grant programs, strongly encourage the Air District to build flexibility into this policy and/or the interpretation thereof. For instance, we would suggest that there be flexibility for the Air District to establish procedures and timelines for sponsors to come back into compliance (e.g., amending grant agreements, setting a deadline for compliance or new funds would be directed to the next project on a waiting list). We would welcome a discussion with Air District staff about the types of circumstances which would result in a project sponsor being deemed ineligible for funds and how the Air district envisions implementing this policy.</p> | <p>Please see response to comment #14.c. above. Regarding compliance, in proposed Policy #17, "will not be considered" has been changed to "may not be considered" to allow for extenuating circumstances. Air District staff are particularly concerned about five- and 10-year engine-based projects and the fact that newly eligible private sector project sponsors may be more likely to change the operational status of their TFCA-funded vehicles.</p> |
|--|---|--|

BAY AREA AIR QUALITY MANAGEMENT DISTRICT
Memorandum

To: Chairperson Smith and
Members of the Mobile Source Committee

From: Jack P. Broadbent
Executive Officer/APCO

Date: March 22, 2007

Re: Carl Moyer Program and Mobile Source Incentive Fund Grant Allocations

RECOMMENDED ACTIONS

Consider recommending Board of Directors' approval of the following:

1. The allocation of \$21,761,710 in Carl Moyer Program (CMP) Year 8 and Year 9 funding cycle funds for the eligible projects listed in Attachment 1;
2. The allocation of \$4,103,646 in Mobile Source Incentive Fund (MSIF) revenues for the eligible projects listed in Attachment 1;
3. Funding for the projects listed in Attachment 2 as contingency projects to be funded with either CMP or MSIF dollars if funds become available due to current or prior year grant award cancellations or completion of projects under budget; and
4. Authorization for the Executive Officer to enter into funding agreements with recipients of grant awards for the projects listed in Attachment 1 and 2.

BACKGROUND

Carl Moyer Program

The main purpose of the CMP is to provide funds for the implementation of projects that reduce emissions from heavy-duty diesel engines. Heavy-duty diesel engines are major sources of oxides of nitrogen (NO_x), reactive organic gases (ROG), and particulate matter (PM). Diesel PM has been identified by the California Air Resources Board (CARB) as a toxic air contaminant.

CARB administers the CMP in partnership with local air districts. CARB develops CMP guidelines and criteria, and allocates funds to the local air districts on an annual basis for the implementation of eligible projects. Local air districts are responsible for soliciting grant applications, selecting and awarding grant awards to projects consistent with CARB guidelines and criteria, and administering the awarded CMP grants. The most common types of projects funded via the CMP are: 1) the repowering of existing diesel vehicles or equipment by installing newer, cleaner engines; and 2) the installation of CARB-verified retrofit systems or devices to reduce emissions from existing and new diesel engines. The types of vehicles and equipment

eligible for CMP funding include on-road heavy-duty vehicles, off-road equipment, marine vessels, locomotives, stationary agricultural irrigation pumps, forklifts, and airport ground support equipment. CMP funds can only be awarded to implement projects that will result in *surplus* emission reductions, i.e., emission reductions that are not required by adopted regulations or standards, or by any other legally binding document.

CARB has allocated to the Air District \$10,318,307 for the Year 8 funding cycle and \$11,943,403 for the Year 9 funding cycle, which are to be used for the implementation of projects eligible under the CMP. The Board approved the allocation of \$500,000 in Year 9 funds on September 20, 2006 for the implementation of multi-regional projects with the Sacramento Metropolitan Air Quality Management District (SMAQMD).

Mobile Source Incentive Fund

AB 923 (Firebaugh), enacted in 2004 (codified as Health and Safety Code Section 44225), authorized local air districts to increase their motor vehicle registration surcharge up to an additional \$2 per vehicle. AB 923 stipulates that air districts may use the revenues generated by the additional \$2 surcharge for any of the four programs listed below:

- Projects eligible for grants under the CMP;
- New purchase of clean school buses;
- Accelerated vehicle retirement or repair program; and
- Projects to reduce emissions from previously unregulated agricultural sources.

On December 21, 2004, the Board adopted Resolution 2004-16 to increase the surcharge on vehicles registered within the Air District boundaries from \$4 to \$6 per vehicle. The Department of Motor Vehicles began to collect the increased surcharge in May 2005. The revenues from the additional \$2 surcharge are deposited in the Air District's MSIF. These funds may be used to meet the match requirements of the CMP.

DISCUSSION

Guidelines and Procedures

On January 6, 2006, CARB issued the guidelines and criteria for local air districts to follow to implement the fiscal year (FY) 2005/2006 and FY 2006/2007 CMP, which corresponds to the Year 8 and Year 9 CMP funding cycles. In October 2006, the Air District's Board of Directors approved staff-recommended procedures for the allocation of funds for the Year 8 CMP funding cycle.

CARB guidelines require that each project achieve a cost-effectiveness of \$14,300 or less per ton of emissions reduced to be eligible for CMP funding. Under the terms of the California Health & Safety Code Section 43023.5 (AB 1390, Lowenthal), the Air District is required to allocate at least 50 percent of its CMP funds to the implementation of projects that will reduce emissions in communities with the most significant exposure to air pollution, including, but not limited to, communities of minority or low-income populations. The Air District has adopted a methodology for the purpose of selecting projects to comply with the AB 1390 requirement (AB 1390 methodology).

Solicitation and Outreach

Air District staff issued a call for Year 8 CMP grant applications on November 7, 2006. Staff performed extensive outreach to encourage the submittal of CMP grant applications, including four public workshops in San Francisco, Oakland, Vallejo, and San Jose. A total of 48 interested parties attended the four workshops. The deadline for submittal of CMP grant applications was December 22, 2006. The Air District received 209 grant applications requesting a total of \$38,967,583 in incentive funds to reduce emissions from 455 diesel engine-based projects.

Grant Applications Evaluation

Air District staff reviewed and evaluated the Year 8 CMP grant applications based upon:

- The CMP guidelines issued by CARB on January 6, 2006;
- The Year 8 CMP procedures approved by the Committee and the Board in October 2006; and
- The Air District's AB 1390 methodology.

The key steps in staff's review of the CMP grant applications are summarized below.

Step 1: Staff reviewed the CMP grant applications for consistency with CARB and Air District procedures for the Year 8 CMP funding cycle.

Step 2: Staff calculated the cost-effectiveness for all eligible grant applications, utilizing CARB guidelines and data provided by the project sponsors. Cost-effectiveness was determined by dividing the amount of CMP funding requested by the estimated lifetime emission reductions for each project.

Step 3: For each project that met the CMP cost-effectiveness threshold of \$14,300/ton of emissions reduction, staff analyzed the projects' potential to reduce emissions in impacted communities, based upon the Air District's AB 1390 methodology.

Step 4: Projects that met the CMP cost-effectiveness threshold, but would not reduce emissions in impacted communities were ranked on their cost-effectiveness rate alone. These projects were ranked after the projects that both met the cost-effectiveness threshold and reduced emissions in impacted communities.

Available Funding and Grant Recommendation

Available Carl Moyer Program Funds

CARB allocated to the Air District for the Year 8 and Year 9 CMP funding cycles, \$10,318,307 and \$11,943,403 respectively, for a total of \$22,261,710 in combined CMP funding for the implementation of eligible projects. Of this amount, at least 50%, or \$11,130,855, must be awarded to projects that will reduce emissions in impacted communities, as required by AB 1390.

Supplement CMP Funds with Mobile Source Incentive Fund Revenues

A total of 346 projects, requesting an aggregate \$34,669,480 in incentive funds, achieved the CMP cost-effectiveness threshold of \$14,300 or less per ton of emissions reduction. However, only \$21,761,710 in CMP funding is available for allocation at this time after deducting the \$500,000 in Year 9 CMP funds for the implementation of eligible multi-regional projects with the SMAQMD. The CMP Year 8 and Year 9 funds have a minimum match requirement of \$3,459,149. To achieve the minimum matching fund requirements staff recommends that the Air District fund additional projects using MSIF revenues. Staff recommends the allocation of \$4,103,646 in MSIF revenues to supplement the available Year 8 and Year 9 CMP funds.

The results of the grant applications evaluation performed by staff are summarized in the following attachments:

- ***Attachment 1 – Projects Recommended for Funding***, lists 300 projects that staff recommends be awarded grants for an aggregate of \$25,865,356 in funding, using a combination of Year 8 and Year 9 CMP funds, and MSIF revenues. These projects would reduce 11,340 tons of emissions over the life of the projects, including approximately 9,700 tons of oxides of nitrogen (NOx), 1,225 tons of reactive organic gases (ROG), and 410 tons of particulate matter (PM). The combined weighted cost-effectiveness of these projects is \$1,350/ton of emissions reduced. **All projects that met the cost-effectiveness threshold and would result in reduced emissions in impacted communities are recommended for funding** (these projects appear shaded in Attachment 1). Of the total Year 8 and Year 9 CMP projects recommended for funding at least 67% of the total available CMP funding (\$22,261,710) would be for projects in impacted communities, exceeding the 50% requirement of AB 1390.
- ***Attachment 2 – Contingency Projects***, lists 46 projects totaling \$8,804,124 in requested funding. Staff recommends that these projects be approved for funding on a contingency basis, in the event that projects recommended for funding using Year 7, Year 8 and Year 9 CMP funds and/or MSIF revenues are cancelled. In addition, if Year 8 or 9 CMP funds, or MSIF funds become available, staff would be able to proceed with contracts for these projects in order of the most cost-effective, as listed in Attachment 2.
- ***Attachment 3 - Projects Not Recommended for Funding***, lists the projects that did not meet the CMP cost-effectiveness threshold. Attachment 3 contains 102 projects requesting \$3,986,198 in funding.
- ***Attachment 4 – Withdrawn and Ineligible Applications***, lists projects that were either withdrawn by the project sponsor or deemed ineligible by CARB. Four (4) grant applications requesting a total of \$191,100 were withdrawn by their respective project sponsors. Three (3) grant applications, requesting a total of \$633,190 were deemed ineligible because they did not comply with the applicable CMP guidelines and criteria.

Table 1 below provides a summary of the recommended grant awards by project categories.

Table 1. Recommended Grant Awards by Project Category

| Project Category | Number of Engines | Total Grant Awards | Lifetime Emissions Reduction (tons) |
|----------------------------|--------------------------|---------------------------|--|
| On-Road | 119 | \$3,519,119 | 175 |
| Off-Road | 110 | \$13,853,111 | 6,455 |
| Marine | 67 | \$8,290,081 | 4,645 |
| Locomotive | 1 | \$162,545 | 30 |
| Ag (Irrigation) Pump | 3 | \$40,500 | 35 |
| Total | 300 | \$25,865,356 | 11,340 |

BUDGET CONSIDERATION / FINANCIAL IMPACT

None. The Air District distributes “pass-through” funds from CARB to public agencies and private entities on a reimbursement basis. Therefore, the grant funds awarded do not directly impact the Air District’s budget. Staff costs for the administration of the CMP are included under *Program 607 – Mobile Source Grants* in the FY 2005/2006 budget. MSIF revenues come from a dedicated external funding source. MSIF grant allocations do not impact the Air District’s general fund or operating budget.

By law, the Air District is required to provide a specified percentage of local funds to match its CMP funds. For the Year 8 CMP funding cycle, the Air District’s required match amount is \$1,619,320. For the Year 9 CMP funding cycle, the Air District’s required match amount is \$1,839,829. The Air District expects to fulfill this match obligation through the allocation of MSIF funds to low-emission heavy-duty diesel engine projects that comply with CMP guidelines and criteria.

Respectfully submitted,

Jack P. Broadbent
Executive Director/APCO

Prepared by: Joseph Steinberger
Reviewed by: Jack M. Colbourn

Attachments

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|---------------------------|---|---|-----------------------------|--|----------------------|
| Agricultural Pumps | | | | | |
| 99-1 | Somerston Vineyards | Replace agricultural pump SN 670000C4 | \$1,156 | 12.5 | \$13,500 |
| 99-3 | Somerston Vineyards | Replace agricultural pump SN 8463DD | \$1,269 | 11.0 | \$13,500 |
| 99-2 | Somerston Vineyards | Replace agricultural pump SN 607488CZ | \$1,461 | 10.4 | \$13,500 |
| Locomotives | | | | | |
| 105-1 | Richmond Pacific Railroad | Retrofit switcher locomotive engine SN 4473-4 | \$5,344 | 30.5 | \$162,545 |
| Marine | | | | | |
| 8-1 | Westar Marine Services | Retrofit main port engine on M/V Orion | \$334 | 220.3 | \$112,500 |
| 8-2 | Westar Marine Services | Retrofit main starboard engine on M/V Orion | \$334 | 220.3 | \$112,500 |
| 20-1 | The Dutra Group | Repower main port engine on M/V Trojan | \$632 | 45.0 | \$38,636 |
| 20-2 | The Dutra Group | Repower main starboard engine on M/V Trojan | \$632 | 45.0 | \$38,636 |
| 112-1 | Riverview Equipment Company | Repower main port engine on M/V Bernice Lind | \$690 | 113.6 | \$106,223 |
| 112-2 | Riverview Equipment Company | Repower main starboard engine on M/V Bernice Lind | \$690 | 113.6 | \$106,223 |
| 7-1 | Westar Marine Services | Repower auxiliary port engine on M/V Apollo | \$714 | 29.5 | \$19,500 |
| 7-2 | Westar Marine Services | Repower auxiliary starboard engine on M/V Apollo | \$714 | 29.5 | \$19,500 |
| 179-1 | City of Alameda | Repower main port engine M/V Peralta | \$744 | 285.9 | \$239,305 |
| 179-2 | City of Alameda | Repower main starboard engine on M/V Peralta | \$744 | 285.9 | \$239,305 |
| 178-1 | Golden Gate Bridge, Highway & Transportation District | Repower main engine #1 on M/V Mendocino | \$762 | 357.7 | \$306,513 |
| 178-2 | Golden Gate Bridge, Highway & Transportation District | Repower main engine #2 on M/V Mendocino | \$762 | 357.7 | \$306,513 |
| 178-3 | Golden Gate Bridge, Highway & Transportation District | Repower main engine #3 on M/V Mendocino | \$762 | 357.7 | \$306,513 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|---|---|-----------------------------|--|----------------------|
| 178-4 | Golden Gate Bridge, Highway & Transportation District | Repower main engine #4 on M/V Mendocino | \$762 | 357.7 | \$306,513 |
| 6-1 | Westar Marine Services | Repower auxiliary port engine on M/V Orion | \$840 | 34.0 | \$23,500 |
| 6-2 | Westar Marine Services | Repower auxiliary starboard engine on M/V Orion | \$840 | 34.0 | \$23,500 |
| 172-1 | The Dutra Group | Repower hydraulic power unit on M/V Jeannette C | \$1,024 | 8.4 | \$12,500 |
| 4-1 | The Dutra Group | Repower main port engine on M/V Patty D | \$1,054 | 29.9 | \$41,436 |
| 4-2 | The Dutra Group | Repower main starboard engine on M/V Patty D | \$1,054 | 29.9 | \$41,436 |
| 177-1 | Brusco Tug & Barge | Repower auxiliary port engine on M/V Woodrow Brusco | \$1,110 | 16.2 | \$20,000 |
| 177-2 | Brusco Tug & Barge | Repower auxiliary starboard engine on M/V Woodrow Brusco | \$1,110 | 16.2 | \$20,000 |
| 136-1 | The Dutra Group | Repower hydraulic power unit on M/V Trojan | \$1,167 | 11.4 | \$18,300 |
| 175-1 | Brusco Tug & Barge | Repower auxiliary port engine on M/V Terri L. Brusco | \$1,274 | 14.0 | \$20,000 |
| 175-2 | Brusco Tug & Barge | Repower auxiliary starboard engine on M/V Terri L. Brusco | \$1,274 | 14.0 | \$20,000 |
| 134-1 | The Dutra Group | Repower main port engine on M/V Jeannette C | \$1,295 | 57.8 | \$101,064 |
| 134-2 | The Dutra Group | Repower main starboard engine on M/V Jeannette C | \$1,295 | 57.8 | \$101,064 |
| 100-1 | Hog Heaven Sport Fishing | Repower main port engine on M/V Hog Heaven | \$1,385 | 8.4 | \$14,616 |
| 100-2 | Hog Heaven Sport Fishing | Repower main starboard engine on M/V Hog Heaven | \$1,385 | 8.4 | \$14,616 |
| 176-1 | Brusco Tug & Barge | Repower main port engine on M/V Terri L. Brusco | \$1,426 | 259.1 | \$408,582 |
| 176-2 | Brusco Tug & Barge | Repower main starboard engine on M/V Terri L. Brusco | \$1,426 | 259.1 | \$408,582 |
| 160-1 | Sachiko Fish-Steve Masuda | Repower main engine M/V Sachiko | \$1,446 | 33.3 | \$60,000 |
| 133-1 | AMNAV Maritime Services | Repower auxiliary port engine on M/V Enterprise | \$1,475 | 20.8 | \$32,500 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|---|---|-----------------------------|--|----------------------|
| 133-2 | AMNAV Maritime Services | Repower auxiliary starboard engine on M/V Enterprise | \$1,475 | 20.8 | \$32,500 |
| 173-1 | The Dutra Group | Repower auxiliary port engine on M/V Sarah Reed | \$1,544 | 14.4 | \$25,657 |
| 173-2 | The Dutra Group | Repower auxiliary starboard engine on M/V Sarah Reed | \$1,544 | 14.4 | \$25,657 |
| 98-1 | West Bay Builders, Inc. | Repower main port engine on M/V Westbay Builder 1 | \$1,629 | 45.5 | \$82,573 |
| 98-2 | West Bay Builders, Inc. | Repower main starboard engine on M/V Westbay Builder 1 | \$1,629 | 45.5 | \$82,573 |
| 162-1 | Seaworthy Projects LLC | Repower main port engine on M/V Hero | \$2,125 | 31.0 | \$99,046 |
| 162-2 | Seaworthy Projects LLC | Repower main starboard engine on M/V Hero | \$2,125 | 31.0 | \$99,046 |
| 174-1 | Brusco Tug & Barge | Repower auxiliary port engine on M/V Amy Brusco | \$2,273 | 8.0 | \$20,000 |
| 103-1 | Codzilla Sports Fishing | Repower main engine on M/V Codzilla | \$2,521 | 15.5 | \$41,775 |
| 209-1 | Golden Gate Bridge, Highway & Transportation District | Repower auxiliary port engine on M/V San Francisco | \$2,657 | 14.9 | \$48,450 |
| 209-2 | Golden Gate Bridge, Highway & Transportation District | Repower auxiliary starboard engine on M/V San Francisco | \$2,657 | 14.9 | \$48,450 |
| 15-1 | San Francisco Bar Pilots | Repower main port engine on M/V Golden Gate | \$2,756 | 59.1 | \$181,621 |
| 15-2 | San Francisco Bar Pilots | Repower main starboard engine on M/V Golden Gate | \$2,756 | 59.1 | \$181,621 |
| 167-1 | The Dutra Group | Repower main port engine on M/V Sarah Reed | \$3,274 | 57.5 | \$244,646 |
| 167-2 | The Dutra Group | Repower main starboard engine on M/V Sarah Reed | \$3,274 | 57.5 | \$244,646 |
| 113-1 | Trident Management, Inc. | Repower main port engine on M/V Trident 1 | \$3,697 | 9.4 | \$53,398 |
| 113-2 | Trident Management, Inc. | Repower main starboard engine on M/V Trident 1 | \$3,697 | 9.4 | \$53,398 |
| 207-1 | Foss Maritime Company | Repower auxiliary port engine on M/V Defiant | \$3,726 | 7.1 | \$32,485 |
| 207-2 | Foss Maritime Company | Repower auxiliary starboard engine on M/V | \$3,726 | 7.1 | \$32,485 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------------|---|---|-----------------------------|--|----------------------|
| | | Defiant | | | |
| 180-1 | City of Alameda | Repower auxiliary engine on M/V Encinal | \$3,905 | 12.8 | \$57,307 |
| 101-1 | Michael Peery | Repower main port engine on M/V Blizzard | \$3,938 | 11.6 | \$44,600 |
| 101-2 | Michael Peery | Repower main starboard engine on M/V Blizzard | \$3,938 | 11.6 | \$44,600 |
| 174-2 | Brusco Tug & Barge | Repower auxiliary starboard engine on M/V Amy Brusco | \$4,226 | 4.0 | \$20,000 |
| 208-1 | Foss Maritime Company | Repower main port engine on M/V Defiant | \$4,397 | 54.9 | \$199,996 |
| 208-2 | Foss Maritime Company | Repower main starboard engine on M/V Defiant | \$4,397 | 54.9 | \$199,996 |
| 163-1 | The Dutra Group | Repower auxiliary engine on M/V Trojan | \$4,423 | 1.3 | \$10,409 |
| 2-1 | China Basin Charter/Ruby Sailing | Repower main engine on M/V Ruby | \$4,735 | 5.6 | \$30,989 |
| 98-3 | West Bay Builders, Inc. | Repower auxiliary engine on M/V Westbay Builder 1 | \$4,834 | 8.3 | \$38,182 |
| 117-1 | C & W Diving Services, Inc. | Repower main port engine on M/V Wanda S | \$6,061 | 10.4 | \$89,965 |
| 117-2 | C & W Diving Services, Inc. | Repower main starboard engine on M/V Wanda S | \$6,061 | 10.4 | \$89,965 |
| 161-1 | Foss Maritime Company | Repower auxiliary engine on M/V Marshall | \$8,961 | 3.5 | \$32,485 |
| 116-2 | C & W Diving Services, Inc. | Repower main starboard engine on M/V Elliott II | \$9,085 | 7.5 | \$106,193 |
| 115-1 | C & W Diving Services, Inc. | Repower main port engine on M/V Bethany M | \$10,330 | 5.5 | \$77,647 |
| 115-2 | C & W Diving Services, Inc. | Repower main starboard engine on M/V Bethany M | \$10,330 | 5.5 | \$77,647 |
| 120-1 | Port of San Francisco and Princess Cruise Lines | Cruise ship shoreside power installation | \$12,821 | 182.3 | \$1,900,000 |
| Off-Road | | | | | |
| 166-1 | The Dutra Group | Auxiliary drawworks winch repower with Tier 3 engine Serial #649607 | \$28 | 611.0 | \$37,372 |
| 14-1 | The Dutra Group | Auxiliary winch engine repower with Tier 3 engine Derrick Barge 24 engine Serial # 27176210 | \$30 | 561.3 | \$37,372 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|--------------------------------|---|-----------------------------|--|----------------------|
| 14-2 | The Dutra Group | Auxiliary winch engine repower with Tier 3 engine Derrick Barge 24 engine Serial # 60514584 | \$30 | 550.7 | \$37,372 |
| 14-3 | The Dutra Group | Auxiliary winch engine repower with Tier 3 engine Derrick Barge 24 engine Serial # 60501113 | \$30 | 550.7 | \$37,372 |
| 13-1 | The Dutra Group | Auxiliary winch engine repower with Tier 3 engine Derrick Barge 24 engine Serial # 514051 | \$40 | 236.0 | \$37,372 |
| 165-1 | The Dutra Group | Auxiliary winch engine repower with Tier 3 engine – Serial # 514051-1 | \$72 | 235.2 | \$37,372 |
| 165-2 | The Dutra Group | Auxiliary winch engine repower with Tier 3 engine Serial # 514051-2 | \$72 | 235.2 | \$37,372 |
| 158-1 | The Dutra Group | Auxiliary drawworks engine repower with Tier 2 engine Serial # 649607-1 | \$297 | 277.4 | \$163,386 |
| 158-2 | The Dutra Group | Auxiliary drawworks engine repower with Tier 2 engine Serial # 649607-2 | \$297 | 277.4 | \$163,386 |
| 158-3 | The Dutra Group | Auxiliary drawworks engine repower with Tier 2 engine Serial # 649607-3 | \$297 | 277.4 | \$163,386 |
| 158-4 | The Dutra Group | Auxiliary drawworks engine repower with Tier 2 engine Serial # 649607-4 | \$297 | 277.4 | \$163,386 |
| 158-5 | The Dutra Group | Auxiliary drawworks engine repower with Tier 2 engine Serial # 649607-5 | \$297 | 277.4 | \$163,386 |
| 158-6 | The Dutra Group | Auxiliary drawworks engine repower with Tier 2 engine Serial # 649607-6 | \$297 | 277.4 | \$163,386 |
| 158-7 | The Dutra Group | Auxiliary drawworks engine repower with Tier 2 engine Serial 3 649607-7 | \$297 | 277.4 | \$163,386 |
| 9-1 | The Dutra Group | Repower one dredge generator – Derrick Barge 24 | \$343 | 48.2 | \$36,758 |
| 155-1 | Pacific Coast Drilling Company | Drilling machine repower with Tier 3 engine Serial #7627512 | \$901 | 14.7 | \$18,658 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|--------------------------------|---|-----------------------------|--|----------------------|
| 154-1 | Pacific Coast Drilling Company | Drilling machine repower with Tier 4 engine Serial #0372014H | \$973 | 21.1 | \$27,990 |
| 156-1 | Pacific Coast Drilling Company | Drilling machine repower with Tier 3 engine Serial #02TO112 | \$1,001 | 13.2 | \$18,658 |
| 137-1 | Pacific Coast Drilling Company | Drilling machine repower with Tier 3 engine Serial # 0570009 | \$1,192 | 12.6 | \$21,346 |
| 138-1 | Pacific Coast Drilling Company | Power supply for drilling machine repower with Tier 3 engine Serial #241688UJE530 | \$1,207 | 15.9 | \$26,258 |
| 152-1 | Pacific Coast Drilling Company | Drilling machine repower with Tier 3 engine Serial #13H00114 | \$1,265 | 15.1 | \$26,258 |
| 169-1 | North Bay Construction Inc. | Scraper repower with Tier 1 engine Serial # 6AB01228 | \$1,398 | 11.5 | \$22,000 |
| 170-1 | North Bay Construction Inc. | Scraper repower with Tier 1 engine Serial # 6AB01196 | \$1,398 | 11.5 | \$22,000 |
| 109-1* | Viking Processing Corporation | Electric crane to replace forklifts #1 | \$1,843 | 3.2 | \$58,519 |
| 10-1 | Evans Brothers Inc. | Repower one dozer with Tier 3 engine Serial # 8I217560 | \$1,844 | 26.9 | \$68,045 |
| 171-1 | The Dutra Group | Loader repower with Tier 2 engine Serial #8YG01107 | \$2,229 | 29.5 | \$163,386 |
| 109-2* | Viking Processing Corporation | Electric crane to replace forklifts #2 | \$2,340 | 10.7 | \$58,519 |
| 109-3* | Viking Processing Corporation | Electric crane to replace forklifts #3 | \$2,340 | 10.7 | \$58,519 |
| 109-4* | Viking Processing Corporation | Electric crane to replace forklifts #4 | \$2,340 | 10.7 | \$58,519 |
| 109-5* | Viking Processing Corporation | Electric crane to replace forklifts #5 | \$2,340 | 10.7 | \$58,519 |

* Award conditional upon CARB approval.

Shaded projects meet AB1390 requirements.

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|--------------------------------|---|-----------------------------|--|----------------------|
| 109-6* | Viking Processing Corporation | Electric crane to replace forklifts #6 | \$2,340 | 10.7 | \$58,519 |
| 151-1 | Pacific Coast Drilling Company | Drilling machine repower with Tier 3 engine Serial #7DK03093 | \$2,471 | 6.1 | \$25,330 |
| 153-1 | Pacific Coast Drilling Company | Drilling machine repower with Tier 3 engine Serial #1013389 | \$2,491 | 6.5 | \$22,874 |
| 17-1 | Evans Brothers Inc. | Crane repower with Tier 3 engine Serial # 50601248 | \$2,760 | 10.4 | \$38,756 |
| 11-1 | Evans Brothers Inc. | Repower one crawler crane with Tier 3 engine Serial # 6VA117925 | \$3,637 | 7.8 | \$39,790 |
| 150-1 | Pacific Coast Drilling Company | Drilling machine repower with Tier 3 engine Serial # 1002545 | \$3,639 | 4.7 | \$23,865 |
| 23-1 | Evans Brothers Inc. | Excavator repower with Tier 2 engine Model Year 1993 | \$3,668 | 10.3 | \$83,955 |
| 22-1 | Evans Brothers Inc. | Excavator repower with Tier 2 engine Model Year 1989 | \$4,636 | 8.1 | \$43,855 |
| 18-1 | Evans Brothers Inc. | Wheel loader repower with Tier 2 engine Serial # 4RN00594 | \$4,950 | 5.6 | \$32,355 |
| 21-1 | Evans Brothers Inc. | Dozer repower with Tier 2 engine Serial # 11N13765 | \$7,612 | 14.2 | \$150,345 |
| 141-1 | Fremont Paving Company, Inc. | Scraper front engine repower with Tier 1 engine Serial # 15S01322 | \$9,609 | 6.6 | 86,767 |
| 141-2 | Fremont Paving Company, Inc. | Scraper rear engine repower with Tier 1 engine Serial #15S01322 | \$9,609 | 6.6 | \$86,767 |
| 140-1 | Fremont Paving Company, Inc. | Scraper front engine repower with Tier 1 engine Serial # 15S01639 | \$10,104 | 6.1 | \$86,767 |
| 140-2 | Fremont Paving Company, Inc. | Scraper rear engine repower with Tier 1 engine Serial # 15S01639 | \$10,104 | 6.1 | \$86,767 |
| 168-1 | North Bay Construction Inc. | Compactor repower with Tier 2 engine Serial #86X00926 | \$10,608 | 9.3 | \$135,000 |
| 118-1 | Syar Industries Inc. | Wheel loader repower with Tier 1 engine | \$1,248 | 51.0 | \$89,250 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|-----------------------------|---|-----------------------------|--|----------------------|
| | | Serial # 49Z00607 | | | |
| 111-1 | Riverview Equipment Company | Hydraulic crane repower with Tier 3 engine Serial # 50438 | \$1,532 | 5.5 | \$14,569 |
| 107-1 | Riverview Equipment Company | Pressure washer repower with Tier 3 engine Serial # 7626205 | \$1,841 | 4.3 | \$13,957 |
| 46-1 | Independent Construction | Grader repower with Tier 1 engine #30024 | \$2,291 | 11.2 | \$35,056 |
| 60-1 | Independent Construction | Grader repower with Tier 1 engine #30018 | \$2,418 | 10.3 | \$35,056 |
| 119-1 | Syar Industries Inc. | Wheel loader repower with Tier 2 engine Serial # 8YG00215 | \$2,972 | 22.1 | \$90,200 |
| 58-1 | Independent Construction | Grader repower with Tier 1 engine #30015 | \$3,096 | 10.3 | \$45,056 |
| 59-1 | Independent Construction | Grader repower with Tier 1 engine #30016 | \$3,108 | 10.3 | \$45,056 |
| 86-1 | Independent Construction | Scraper front engine repower with Tier 2 engine #37013 | \$3,685 | 23.8 | \$118,139 |
| 90-1 | Independent Construction | Scraper front engine repower with Tier 2 engine #37021 | \$3,878 | 21.9 | \$118,139 |
| 57-1 | Independent Construction | Grader repower with Tier 1 engine #30012 | \$4,284 | 7.7 | \$45,056 |
| 94-1 | Top Grade Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit Serial # 1JB00534 | \$5,138 | 21.8 | \$155,955 |
| 95-1 | Top Grade Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit Serial # 1FB00354 | \$5,138 | 21.8 | \$155,955 |
| 55-1 | Independent Construction | Scraper repower with Tier 2 engine #39002 | \$5,695 | 17.5 | \$135,755 |
| 86-2 | Independent Construction | Scraper rear engine repower with Tier 2 engine #37013 | \$5,719 | 13.2 | \$103,281 |
| 90-2 | Independent Construction | Scraper rear engine repower with Tier 2 engine #37021 | \$6,013 | 12.2 | \$103,281 |
| 56-1 | Independent Construction | Scraper repower with Tier 2 engine #39003 | \$6,099 | 16.0 | \$135,755 |
| 83-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #51014 | \$6,586 | 19.1 | \$181,903 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|--------------------------|---|-----------------------------|--|----------------------|
| 94-2 | Top Grade Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit Serial #1JB00534 | \$6,621 | 12.1 | \$113,305 |
| 95-2 | Top Grade Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit Serial # 1FB00354 | \$6,621 | 12.1 | \$113,305 |
| 93-1 | Top Grade Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit Serial # 1FB00368 | \$6,979 | 16.3 | \$155,955 |
| 47-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #20008 | \$7,019 | 20.8 | \$206,852 |
| 74-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #51010 | \$7,118 | 20.8 | \$206,852 |
| 76-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #51026 | \$7,118 | 20.8 | \$206,852 |
| 77-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #51025 | \$7,118 | 20.8 | \$206,852 |
| 78-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #51023 | \$7,118 | 20.8 | \$206,852 |
| 81-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #51021 | \$7,118 | 20.8 | \$206,852 |
| 82-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #51016 | \$7,118 | 20.8 | \$206,852 |
| 84-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #51006 | \$7,118 | 20.8 | \$206,852 |
| 27-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57008 | \$7,307 | 20.8 | \$212,352 |
| 28-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57009 | \$7,307 | 20.8 | \$212,352 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|--------------------------|--|-----------------------------|--|----------------------|
| 30-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57011 | \$7,307 | 20.8 | \$212,352 |
| 40-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57012 | \$7,307 | 20.8 | \$212,352 |
| 41-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57031 | \$7,307 | 20.8 | \$212,352 |
| 53-1 | Independent Construction | Compactor repower with Tier 2 engine #44038 | \$7,461 | 13.2 | \$137,023 |
| 54-1 | Independent Construction | Compactor repower with Tier 2 engine #44039 | \$7,461 | 13.2 | \$137,023 |
| 63-1 | Independent Construction | Compactor repower with Tier 2 engine #24002 | \$7,461 | 13.2 | \$137,023 |
| 64-1 | Independent Construction | Compactor repower with Tier 2 engine #44026 | \$7,461 | 13.2 | \$137,023 |
| 65-1 | Independent Construction | Compactor repower with Tier 2 engine #44027 | \$7,461 | 13.2 | \$137,023 |
| 72-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #51012 | \$7,490 | 19.1 | \$206,852 |
| 73-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #51011 | \$7,490 | 19.1 | \$206,852 |
| 203-1 | Potrero Hills Landfill | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit Serial #41Z14190 | \$7,561 | 19.5 | \$177,524 |
| 29-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57010 | \$7,689 | 19.1 | \$212,352 |
| 31-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57002 | \$7,689 | 19.1 | \$212,352 |
| 32-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57003 | \$7,689 | 19.1 | \$212,352 |
| 42-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57004 | \$7,689 | 19.1 | \$212,352 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|--------------------------|--|-----------------------------|--|----------------------|
| 43-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57005 | \$7,689 | 19.1 | \$212,352 |
| 44-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57006 | \$7,689 | 19.1 | \$212,352 |
| 45-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57007 | \$7,689 | 19.1 | \$212,352 |
| 48-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #20007 | \$7,689 | 19.1 | \$212,352 |
| 49-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57001 | \$7,689 | 19.1 | \$212,352 |
| 203-2 | Potrero Hills Landfill | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit Serial #6NC14095 | \$8,158 | 13.8 | \$135,625 |
| 75-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #31019 | \$8,920 | 17.0 | \$212,095 |
| 67-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #31024 | \$8,920 | 17.0 | \$212,095 |
| 68-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #31023 | \$8,920 | 17.0 | \$212,095 |
| 69-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #31022 | \$8,920 | 17.0 | \$212,095 |
| 70-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #31021 | \$8,920 | 17.0 | \$212,095 |
| 71-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #31020 | \$8,920 | 17.0 | \$212,095 |
| 79-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #31026 | \$8,920 | 17.0 | \$212,095 |
| 80-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #31028 | \$8,920 | 17.0 | \$212,095 |
| 91-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #31027 | \$8,920 | 17.0 | \$212,095 |
| 93-2 | Top Grade Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit Serial # 1FB00368 | \$9,126 | 9.1 | \$113,305 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|----------------|--------------------------------------|--|-----------------------------|--|----------------------|
| 85-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #37023 | \$9,421 | 15.6 | \$212,095 |
| 87-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #37022 | \$9,421 | 15.6 | \$212,095 |
| 88-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #37019 | \$9,421 | 15.6 | \$212,095 |
| On-Road | | | | | |
| 186-1 | Mid Coast Transportation | Retrofit diesel truck with Level 3 PM DECS: LIC#6G03035 | \$1,859 | 0.3 | \$10,500 |
| 181-3 | A.G. Schwartz Trucking Company Inc. | Retrofit diesel truck with Level 3 PM DECS: LIC#3R66712 | \$2,024 | 0.2 | \$8,000 |
| 181-5 | A.G. Schwartz Trucking Company Inc. | Retrofit diesel truck with Level 3 PM DECS: LIC#5K11760 | \$2,194 | 0.2 | \$8,000 |
| 206-1 | Bode Concrete, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 154 | \$3,912 | 2.3 | \$19,356 |
| 206-2 | Bode Concrete, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 155 | \$3,912 | 2.3 | \$19,356 |
| 206-3 | Bode Concrete, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 156 | \$3,912 | 2.3 | \$19,356 |
| 206-4 | Bode Concrete, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 157 | \$3,912 | 2.3 | \$19,356 |
| 206-5 | Bode Concrete, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 158 | \$3,912 | 2.3 | \$19,356 |
| 206-6 | Bode Concrete, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 159 | \$3,912 | 2.3 | \$19,356 |
| 206-7 | Bode Concrete, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 160 | \$3,912 | 2.3 | \$19,356 |
| 206-8 | Bode Concrete, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 161 | \$3,912 | 2.3 | \$19,356 |
| 206-9 | Bode Concrete, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 162 | \$3,912 | 2.3 | \$19,356 |
| 206-10 | Bode Concrete, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 163 | \$3,912 | 2.3 | \$19,356 |
| 188-1 | Jose R Topete/Cross County Transport | Retrofit diesel truck with Level 3 PM DECS: LIC#9D06731 | \$4,479 | 0.1 | \$10,500 |
| 145-1 | Sugar City Building Materials | Repower diesel truck: Unit 25 | \$4,851 | 5.0 | \$47,097 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|---|---|-----------------------------|--|----------------------|
| | Company | | | | |
| 146-1 | Sugar City Building Materials Company | Repower diesel truck: Unit 26 | \$4,851 | 5.0 | \$47,097 |
| 147-1 | Sugar City Building Materials Company | Repower diesel truck: Unit 27 | \$4,851 | 5.0 | \$47,097 |
| 148-1 | Sugar City Building Materials Company | Repower diesel truck: Unit 28 | \$4,851 | 5.0 | \$47,097 |
| 149-1 | Sugar City Building Materials Company | Repower diesel truck: Unit 29 | \$4,851 | 5.0 | \$47,097 |
| 181-4 | A.G. Schwartz Trucking Company Inc. | Retrofit diesel truck with Level 3 PM DECS: LIC#5U86174 | \$4,875 | 0.1 | \$8,000 |
| 130-1 | Timothy Oehninger/Oehninger Trucking | Repower and retrofit diesel truck with Level 3 PM/NOx DECS: LIC#7E08557 | \$4,888 | 4.7 | \$56,500 |
| 125-1 | Kevin McCord/Kevin McCord Trucking | Retrofit diesel truck with Level 3 PM DECS: LIC#5L37021 | \$4,977 | 0.1 | \$10,500 |
| 127-1 | Jaskaran Singh/Karan Trucking | Retrofit diesel truck with Level 3 PM DECS: LIC#7K20320 | \$4,977 | 0.1 | \$10,500 |
| 132-1 | Tommie Carter/TCB Transportation | Retrofit diesel truck with Level 3 PM DECS: LIC#9B80842 | \$4,977 | 0.1 | \$10,500 |
| 187-1 | Mid Coast Transportation | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#6G03035 | \$5,038 | 3.7 | \$56,825 |
| 184-1 | Mid Coast Transportation | Repower and retrofit diesel truck with Level 3 PM DECS: LIC#9A46932 | \$5,516 | 1.6 | \$43,806 |
| 121-1 | Timothy Ore/T.R. Transfer | Repower and retrofit diesel truck with Level 3 PM DECS: LIC# 6A74375 | \$5,879 | 1.3 | \$43,806 |
| 182-13 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 11 | \$5,955 | 7.1 | \$52,099 |
| 124-1 | Karanjit Sekhon | Retrofit diesel truck with Level 3 PM DECS: LIC#6T71697 | \$6,246 | 0.1 | \$10,500 |
| 193-1 | Jagdeep Singh/JJ Trucking | Retrofit diesel truck with Level 3 PM DECS: LIC#9D78024 | \$6,246 | 0.1 | \$10,500 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|---|---|-----------------------------|--|----------------------|
| 126-1 | Frank Ekler/Frank E Trucking | Repower and retrofit diesel truck with Level 3 PM DECS: LIC#9C15699 | \$6,255 | 1.2 | \$43,806 |
| 191-1 | Harminder K Kahlon/Kahlon Trucking | Repower and retrofit diesel truck with Level 3 PM DECS: LIC#9A94982 | \$6,255 | 1.2 | \$43,806 |
| 201-1 | Rudy Castro/RTC Trucking | Repower and retrofit diesel truck with Level 3 PM DECS: LIC#9B43666 | \$6,752 | 1.2 | \$43,806 |
| 181-2 | A.G. Schwartz Trucking Company Inc. | Retrofit diesel truck with Level 3 PM DECS: LIC#9B63316 | \$6,799 | 0.1 | \$8,000 |
| 182-11 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 16 | \$6,888 | 1.3 | \$52,099 |
| 182-16 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 7 | \$6,888 | 1.3 | \$52,099 |
| 182-17 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 49 | \$6,888 | 1.3 | \$52,099 |
| 182-19 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 42 | \$6,888 | 1.3 | \$52,099 |
| 182-24 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 24 | \$6,888 | 1.3 | \$52,099 |
| 182-25 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 23 | \$6,888 | 1.3 | \$52,099 |
| 139-1 | HG Trucking, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: LIC#3Z38412 | \$6,888 | 1.3 | \$52,102 |
| 142-1 | HG Trucking, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: LIC#7M49823 | \$6,924 | 1.3 | \$52,372 |
| 123-1 | Jaskaran Singh/Karan Trucking | Retrofit diesel truck with Level 3 PM DECS: LIC#5G66689 | \$6,940 | 0.1 | \$10,500 |
| 196-1 | Mark Kammermann/M.K. Transfer | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#6F11723 | \$7,083 | 1.4 | \$61,500 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|---|---|-----------------------------|--|----------------------|
| 192-1 | Harminder K Kahlon/Kahlon Trucking | Repower and retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9A94982 | \$7,087 | 2.6 | \$59,286 |
| 198-1 | Louis Ekler/Ekler Transport | Repower and retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9A79023 | \$7,087 | 2.6 | \$59,286 |
| 199-1 | Martha Saunders/Martha J Saunders Trucking | Repower and retrofit diesel truck with Level 3 PM DECS: LIC#9B60288 | \$7,292 | 1.2 | \$43,400 |
| 182-1 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 54 | \$7,294 | 1.4 | \$52,099 |
| 182-3 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 45 | \$7,294 | 1.4 | \$52,099 |
| 182-4 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 44 | \$7,294 | 1.4 | \$52,099 |
| 182-5 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 43 | \$7,294 | 1.4 | \$52,099 |
| 182-6 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 40 | \$7,294 | 1.4 | \$52,099 |
| 182-7 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 30 | \$7,294 | 1.4 | \$52,099 |
| 182-8 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 25 | \$7,294 | 1.4 | \$52,099 |
| 182-9 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 18 | \$7,294 | 1.4 | \$52,099 |
| 182-10 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 17 | \$7,294 | 1.4 | \$52,099 |
| 182-12 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 15 | \$7,294 | 1.4 | \$52,099 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|---|---|-----------------------------|--|----------------------|
| 182-14 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 9 | \$7,294 | 1.4 | \$52,099 |
| 182-15 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 8 | \$7,294 | 1.4 | \$52,099 |
| 182-20 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 35 | \$7,294 | 1.4 | \$52,099 |
| 182-21 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 37 | \$7,294 | 1.4 | \$52,099 |
| 182-22 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 34 | \$7,294 | 1.4 | \$52,099 |
| 182-23 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 28 | \$7,294 | 1.4 | \$52,099 |
| 182-28 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 19 | \$7,294 | 1.4 | \$52,099 |
| 182-29 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 10 | \$7,294 | 1.4 | \$52,099 |
| 183-1 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 50 | \$7,294 | 1.4 | \$52,099 |
| 183-2 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 51 | \$7,294 | 1.4 | \$52,099 |
| 181-1 | A.G. Schwartz Trucking Company Inc. | Retrofit diesel truck with Level 3 PM DECS: LIC#5K61210 | \$7,466 | 0.1 | \$8,000 |
| 202-1 | Rudy Castro/RTC Trucking | Repower and retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9B43666 | \$7,552 | 2.6 | \$59,286 |
| 200-1 | Martha Saunders/Martha J Saunders Trucking | Repower and retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9B60288 | \$7,916 | 2.5 | \$56,825 |
| 128-1 | Harjinder Singh/Harry Trucking | Repower and retrofit diesel truck with Level 3 PM/NOx DECS: LIC#6U47375 | \$8,259 | 2.5 | \$59,286 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|---------------------------------------|---|-----------------------------|--|----------------------|
| 129-1 | Kamaljit Singh/Kam Trucking | Repower and retrofit diesel truck with Level 3 PM/NOx DECS: LIC#8A12419 | \$8,259 | 2.5 | \$59,286 |
| 16-1 | Padilla & Sons Trucking | Repower and retrofit diesel truck with Level 3 PM DECS: LIC# 9A29551 | \$8,268 | 2.9 | \$107,615 |
| 185-1 | Mid Coast Transportation | Repower and retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9A46932 | \$8,275 | 2.4 | \$59,286 |
| 12-6 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 23234 | \$10,543 | 0.2 | \$2,136 |
| 143-1 | Sugar City Building Materials Company | Repower diesel truck: Unit 12 | \$12,930 | 3.6 | \$47,097 |
| 144-1 | Sugar City Building Materials Company | Repower diesel truck: Unit 14 | \$12,930 | 3.6 | \$47,097 |
| 12-13 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 97903 | \$13,222 | 0.1 | \$1,200 |
| 122-3 | County of Contra Costa | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 6610 | \$13,319 | 0.6 | \$17,000 |
| 12-17 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 01907 | \$13,592 | 0.1 | \$1,300 |
| 12-11 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 22614 | \$13,793 | 0.2 | \$2,600 |
| 12-5 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 23233 | \$13,813 | 0.2 | \$2,400 |
| 12-24 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 01905 | \$13,880 | 0.2 | \$2,400 |
| 12-18 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 01908 | \$13,992 | 0.1 | \$1,100 |
| 12-4 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 23232 | \$14,006 | 0.2 | \$2,600 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|------------------------|--|-----------------------------|--|----------------------|
| 12-2 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 23231 | \$14,016 | 0.2 | \$2,000 |
| 12-19 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 02801 | \$14,146 | 0.3 | \$3,820 |
| 12-23 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 23235 | \$14,279 | 0.3 | \$3,700 |
| 122-1 | County of Contra Costa | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 6608 | \$14,280 | 0.6 | \$17,000 |
| 12-3 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 29403 | \$14,296 | 0.1 | \$1,763 |
| 12-26 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 02901 | \$14,297 | 0.1 | \$1,083 |
| 12-15 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 99903 | \$14,298 | 0.1 | \$1,591 |
| 12-7 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 95901 | \$14,298 | 0.2 | \$2,136 |
| 12-22 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 22623 | \$14,298 | 0.2 | \$2,837 |
| 12-20 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 22620 | \$14,298 | 0.2 | \$1,989 |
| 12-16 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 00901 | \$14,298 | 0.1 | \$1,413 |
| 12-12 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 22608 | \$14,299 | 0.3 | \$3,962 |
| 12-8 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 53227 | \$14,299 | 0.2 | \$2,456 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|--|--|-----------------------------|--|----------------------|
| 12-10 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 22285 | \$14,299 | 0.2 | \$2,582 |
| 12-1 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 23230 | \$14,299 | 0.3 | \$3,936 |
| 12-25 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 04801 | \$14,299 | 0.4 | \$4,708 |
| 12-21 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 22621 | \$14,300 | 0.2 | \$2,128 |
| 12-9 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 53228 | \$14,300 | 0.1 | \$1,669 |
| 12-14 | Santa Clara County | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 98901 | \$14,300 | 0.1 | \$1,198 |
| 24-12 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3308 | \$3,345 | 3.0 | \$21,396 |
| 24-13 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3309 | \$4,093 | 2.5 | \$21,396 |
| 24-9 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3139 | \$4,315 | 2.3 | \$21,396 |
| 24-3 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3002 | \$5,252 | 1.7 | \$19,221 |
| 24-15 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3311 | \$5,597 | 1.8 | \$21,396 |
| 24-11 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3307 | \$5,750 | 1.8 | \$21,396 |
| 108-1 | Bay Leasing Co./Solano Garbage Company | Purchase one liquified natural gas refuse collection truck | \$5,875 | 3.3 | \$15,600 |
| 24-17 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3313 | \$5,888 | 1.7 | \$21,396 |
| 24-8 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3138 | \$6,080 | 1.7 | \$21,396 |
| 24-1 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3000 | \$6,376 | 1.4 | \$19,221 |

Shaded projects meet AB1390 requirements.

Projects Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|----------------------|---|-----------------------------|--|----------------------|
| 24-2 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3001 | \$6,497 | 1.4 | \$19,221 |
| 24-18 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3314 | \$7,076 | 1.4 | \$21,396 |
| 24-16 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3312 | \$7,164 | 1.4 | \$21,396 |
| 24-10 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3306 | \$7,303 | 1.4 | \$21,396 |
| 24-14 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3310 | \$8,146 | 1.2 | \$21,396 |

Shaded projects meet AB1390 requirements.

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|--------------------------|--|-----------------------------|--|----------------------|
| 89-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #37018 | \$9,421 | 15.6 | \$212,095 |
| 92-1 | Top Grade Construction | Compactor repower with Tier 2 engine Serial #86X00895 | \$9,968 | 9.8 | \$134,278 |
| 39-1 | Independent Construction | Crawler tractor repower with Tier 2 engine #33101 | \$10,084 | 16.7 | \$231,471 |
| 61-1 | Independent Construction | Crawler tractor repower with Tier 2 engine #33102 | \$10,106 | 16.7 | \$231,971 |
| 62-1 | Independent Construction | Crawler tractor repower with Tier 2 engine #33106 | \$10,106 | 16.7 | \$231,971 |
| 50-1 | Independent Construction | Compactor repower with Tier 2 engine #44030 | \$10,172 | 9.8 | \$137,023 |
| 51-1 | Independent Construction | Compactor repower with Tier 2 engine #44032 | \$10,172 | 9.8 | \$137,023 |
| 52-1 | Independent Construction | Compactor repower with Tier 2 engine #44033 | \$10,172 | 9.8 | \$137,023 |
| 25-1 | Independent Construction | Earthmover front engine repower with Tier 3 engine and Level 3 PM retrofit # 57017 | \$10,332 | 14.2 | \$209,319 |
| 26-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57018 | \$10,332 | 14.2 | \$209,319 |
| 33-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57019 | \$10,332 | 14.2 | \$209,319 |
| 34-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57025 | \$10,332 | 14.2 | \$209,319 |
| 35-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57029 | \$10,332 | 14.2 | \$209,319 |
| 36-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57030 | \$10,332 | 14.2 | \$209,319 |
| 37-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57034 | \$10,332 | 14.2 | \$209,319 |
| 38-1 | Independent Construction | Scraper front engine repower with Tier 3 engine and Level 3 PM retrofit #57036 | \$10,332 | 14.2 | \$209,319 |

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|--------------------------|---|-----------------------------|--|----------------------|
| 66-1 | Independent Construction | Scraper repower with Tier 3 engine and Level 3 PM retrofit #31025 | \$10,359 | 14.6 | \$212,095 |
| 27-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57008 | \$10,658 | 15.1 | \$225,259 |
| 28-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57009 | \$10,658 | 15.1 | \$225,259 |
| 30-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57011 | \$10,658 | 15.1 | \$225,259 |
| 40-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57012 | \$10,658 | 15.1 | \$225,259 |
| 41-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57031 | \$10,658 | 15.1 | \$225,259 |
| 85-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #37023 | \$11,199 | 8.7 | \$142,146 |
| 87-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #37022 | \$11,199 | 8.7 | \$142,146 |
| 88-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #37019 | \$11,199 | 8.7 | \$142,146 |
| 89-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #37018 | \$11,199 | 8.7 | \$142,146 |
| 29-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57010 | \$11,215 | 13.9 | \$225,259 |
| 31-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit#57002 | \$11,215 | 13.9 | \$225,259 |
| 32-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57003 | \$11,215 | 13.9 | \$225,259 |
| 42-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57004 | \$11,215 | 13.9 | \$225,259 |
| 43-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57005 | \$11,215 | 13.9 | \$225,259 |

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Proposed Grant Award |
|-----------|--------------------------|--|-----------------------------|--|----------------------|
| 44-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57006 | \$11,215 | 13.9 | \$225,259 |
| 45-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57007 | \$11,215 | 13.9 | \$225,259 |
| 48-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #20007 | \$11,215 | 13.9 | \$225,259 |
| 49-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57001 | \$11,215 | 13.9 | \$225,259 |
| 24-4 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3132 | \$11,548 | 0.9 | \$21,396 |
| 24-5 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3134 | \$11,552 | 0.9 | \$21,396 |
| 24-7 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3137 | \$12,134 | 0.8 | \$21,396 |
| 25-2 | Independent Construction | Earthmover rear engine repower with Tier 3 engine and Level 3 PM retrofit #57017 | \$14,238 | 10.4 | \$209,778 |
| 26-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57018 | \$14,238 | 10.4 | \$209,778 |
| 33-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57019 | \$14,238 | 10.4 | \$209,778 |
| 34-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57025 | \$14,238 | 10.4 | \$209,778 |
| 35-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57029 | \$14,238 | 10.4 | \$209,778 |
| 36-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57030 | \$14,238 | 10.4 | \$209,778 |
| 37-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57034 | \$14,238 | 10.4 | \$209,778 |
| 38-2 | Independent Construction | Scraper rear engine repower with Tier 3 engine and Level 3 PM retrofit #57036 | \$14,238 | 10.4 | \$209,778 |

Projects Not Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Requested Grant Award |
|----------------|---|---|-----------------------------|--|-----------------------|
| Marine | | | | | |
| 19-1 | Flash Sport Fishing | Repower main engine on M/V Jumbo Wegley | \$14,595 | 4.49 | \$51,000 |
| 157-1 | Crockett Boat Company | Repower main engine on M/V Predator | \$16,483 | 3.04 | \$70,000 |
| 116-1 | C & W Diving Services, Inc. | Repower main port engine on M/V Elliott II | \$18,518 | 3.63 | \$106,193 |
| 102-1 | FV Josephine | Repower main engine on M/V Josephine | \$31,434 | 1.04 | \$36,000 |
| On-Road | | | | | |
| 190-1 | Jose R Topete/Cross County Transport | Repower and retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D06731 | \$15,332 | 1.54 | \$61,825 |
| 189-1 | Jose R Topete/Cross County Transport | Repower and retrofit diesel truck with Level 3 PM DECS: LIC#9D06731 | \$16,514 | 0.33 | \$48,400 |
| 135-1 | Commercial Power Sweep, Inc. | Repower diesel street sweeper: LIC#4GAU583 | \$16,562 | 1.20 | \$33,250 |
| 135-2 | Commercial Power Sweep, Inc. | Repower diesel street sweeper: LIC#4XCD888 | \$16,562 | 1.20 | \$33,250 |
| 135-3 | Commercial Power Sweep, Inc. | Repower diesel street sweeper: LIC#4GVP164 | \$16,562 | 1.20 | \$33,250 |
| 131-1 | Christine Trevethan/CMT Trucking | Repower and retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9B41916 | \$16,670 | 1.38 | \$60,608 |
| 24-6 | Berkeley Farms | Retrofit diesel truck with Level 3 PM DECS: Unit 3135 | \$18,528 | 0.55 | \$16,513 |
| 122-2 | County of Contra Costa | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 6609 | \$18,943 | 0.42 | \$17,000 |
| 122-4 | County of Contra Costa | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 6611 | \$19,261 | 0.43 | \$17,000 |
| 122-5 | County of Contra Costa | Retrofit diesel truck with Level 3 PM/NOx DECS: Unit 6612 | \$19,261 | 0.43 | \$17,000 |
| 182-2 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 48 | \$20,579 | 0.14 | \$52,099 |
| 182-26 | George Maciel, Inc. Sub. of | Repower and retrofit diesel truck with | \$20,579 | 0.14 | \$52,099 |

Projects Not Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Requested Grant Award |
|-----------|---|---|-----------------------------|--|-----------------------|
| | Alviso Rock, Inc. | Level 3 PM DECS: Unit 21 | | | |
| 182-30 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 2 | \$20,579 | 0.14 | \$52,099 |
| 204-1 | Williams Ink Trucking | Repower and retrofit diesel truck with Level 3 PM DECS: LIC#3A55900 | \$20,666 | 1.49 | \$21,833 |
| 135-4 | Commercial Power Sweep, Inc. | Repower diesel street sweeper: LIC#4NAT802 | \$20,867 | 1.00 | \$33,250 |
| 195-1 | Frank Herman/Herman's Trucking | Repower and retrofit diesel truck with Level 3 PM/NOx DECS: LIC#5A76546 | \$24,891 | 1.23 | \$64,286 |
| 97-1 | Bode Concrete, LLC | Repower diesel truck: Unit 141 | \$33,494 | 1.15 | \$51,832 |
| 97-2 | Bode Concrete, LLC | Repower diesel truck: Unit 142 | \$33,494 | 1.15 | \$51,832 |
| 182-27 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 6 | \$34,529 | -0.11 | \$52,099 |
| 194-1 | Frank Herman/Herman's Trucking | Repower and retrofit diesel truck with Level 3 PM DECS: LIC#5A76546 | \$37,550 | -0.03 | \$48,400 |
| 182-18 | George Maciel, Inc. Sub. of Alviso Rock, Inc. | Repower and retrofit diesel truck with Level 3 PM DECS: Unit 46 | \$37,564 | -0.04 | \$52,099 |
| 97-8 | Bode Concrete, LLC | Repower diesel truck: Unit 149 | \$38,479 | 1.16 | \$51,832 |
| 97-9 | Bode Concrete, LLC | Repower diesel truck: Unit 150 | \$38,479 | 1.16 | \$51,832 |
| 97-10 | Bode Concrete, LLC | Repower diesel truck: Unit 151 | \$38,479 | 1.16 | \$51,832 |
| 97-11 | Bode Concrete, LLC | Repower diesel truck: Unit 152 | \$38,479 | 1.16 | \$51,832 |
| 97-12 | Bode Concrete, LLC | Repower diesel truck: Unit 153 | \$38,479 | 1.16 | \$51,832 |
| 97-3 | Bode Concrete, LLC | Repower diesel truck: Unit 143 | \$40,256 | 1.11 | \$51,832 |
| 97-4 | Bode Concrete, LLC | Repower diesel truck: Unit 145 | \$40,256 | 1.11 | \$51,832 |
| 97-5 | Bode Concrete, LLC | Repower diesel truck: Unit 146 | \$40,256 | 1.11 | \$51,832 |
| 97-6 | Bode Concrete, LLC | Repower diesel truck: Unit 147 | \$40,256 | 1.11 | \$51,832 |
| 97-7 | Bode Concrete, LLC | Repower diesel truck: Unit 148 | \$40,256 | 1.11 | \$51,832 |
| 110-2 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx | \$70,234 | 0.15 | \$25,000 |

Projects Not Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Requested Grant Award |
|-----------|----------------------|--|-----------------------------|--|-----------------------|
| | | DECS: LIC#9B24786 | | | |
| 110-3 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9B28732 | \$71,728 | 0.15 | \$25,000 |
| 110-5 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D02776 | \$71,728 | 0.15 | \$25,000 |
| 110-6 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9C52333 | \$74,093 | 0.14 | \$25,000 |
| 110-7 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D02610 | \$79,323 | 0.13 | \$25,000 |
| 110-9 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9B23730 | \$81,234 | 0.13 | \$25,000 |
| 110-8 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D71422 | \$83,240 | 0.13 | \$25,000 |
| 110-1 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D02775 | \$84,280 | 0.13 | \$25,000 |
| 110-30 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D70220 | \$85,256 | 0.08 | \$25,000 |
| 110-4 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9B85338 | \$85,347 | 0.12 | \$25,000 |
| 110-28 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D70251 | \$88,389 | 0.14 | \$25,000 |
| 110-17 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D36262 | \$89,290 | 0.14 | \$25,000 |
| 110-18 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D12324 | \$89,290 | 0.14 | \$25,000 |
| 110-20 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D20438 | \$89,290 | 0.14 | \$25,000 |
| 110-22 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9B86319 | \$90,211 | 0.14 | \$25,000 |
| 110-24 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D36873 | \$90,211 | 0.14 | \$25,000 |
| 110-23 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx | \$91,151 | 0.14 | \$25,000 |

Projects Not Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Requested Grant Award |
|-----------|-----------------------|--|-----------------------------|--|-----------------------|
| | | DECS: LIC#9D73473 | | | |
| 110-10 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D42650 | \$92,110 | 0.13 | \$25,000 |
| 110-25 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D75513 | \$93,090 | 0.13 | \$25,000 |
| 110-13 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D27770 | \$95,114 | 0.13 | \$25,000 |
| 110-19 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D53483 | \$95,114 | 0.13 | \$25,000 |
| 110-14 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D28012 | \$98,320 | 0.13 | \$25,000 |
| 110-29 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D80981 | \$100,301 | 0.07 | \$25,000 |
| 96-1 | Sonoma County Transit | Purchase one compressed natural gas transit bus | \$100,623 | 0.65 | \$50,000 |
| 96-2 | Sonoma County Transit | Purchase one compressed natural gas transit bus | \$100,623 | 0.65 | \$50,000 |
| 96-3 | Sonoma County Transit | Purchase one compressed natural gas transit bus | \$100,623 | 0.65 | \$50,000 |
| 96-4 | Sonoma County Transit | Purchase one compressed natural gas transit bus | \$100,623 | 0.65 | \$50,000 |
| 96-5 | Sonoma County Transit | Purchase one compressed natural gas transit bus | \$100,623 | 0.65 | \$50,000 |
| 110-12 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9B90082 | \$101,750 | 0.12 | \$25,000 |
| 110-11 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D03802 | \$102,947 | 0.12 | \$25,000 |
| 110-16 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D48273 | \$102,947 | 0.12 | \$25,000 |
| 110-15 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9B97970 | \$108,030 | 0.11 | \$25,000 |
| 110-26 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx | \$112,185 | 0.11 | \$25,000 |

Projects Not Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Requested Grant Award |
|-----------|----------------------------|--|-----------------------------|--|-----------------------|
| | | DECS: LIC#9D08894 | | | |
| 110-21 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D62415 | \$113,642 | 0.11 | \$25,000 |
| 110-27 | CAL Leasing, LLC | Retrofit diesel truck with Level 3 PM/NOx DECS: LIC#9D70222 | \$116,673 | 0.11 | \$25,000 |
| 5-1 | Norcal Waste Systems, Inc. | Purchase one liquified natural gas refuse collection vehicle. | \$148,569 | 0.51 | \$67,330 |
| 5-2 | Norcal Waste Systems, Inc. | Purchase one liquified natural gas refuse collection vehicle. | \$148,569 | 0.51 | \$67,330 |
| 205-1 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-2 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-3 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-4 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-5 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-6 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-7 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-8 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-9 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-10 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-11 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-12 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-13 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-14 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-15 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-16 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-17 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-18 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |

Projects Not Recommended for Funding

| Project # | Project Sponsor Name | Project Description | Weighted Cost Effectiveness | Lifetime NOx, ROG & PM Emissions Reduction | Requested Grant Award |
|-----------|----------------------|---|-----------------------------|--|-----------------------|
| 205-19 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-20 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-21 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-22 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-23 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-24 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-25 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-26 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-27 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-26 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-27 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-28 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-29 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |
| 205-30 | CAL Leasing | Purchase one compressed natural gas truck | \$384,020 | 0.41 | \$40,000 |

Withdrawn or Ineligible Grant Applications

| Project # | Project Sponsor Name | Project Description | Funds Requested | Reason |
|-------------------|---|---|-----------------|---|
| Withdrawn | | | | |
| 1-1 | Pacheco Brothers Gardening, Inc. | Repower one yard tractor | \$8,500 | Withdrawn by project sponsor; no incremental cost between rebuild and repower. |
| 3-1 | San Francisco Department of the Environment | Retrofit seven street sweeper vehicles (Units 815-820 and 826) with Level 3 PM/NOx DECS | \$121,100 | Withdrawn by project sponsor; vehicles will be replaced. |
| 104-1 | Richard J. Nannini/Nannini Trucking | Retrofit diesel truck with a DECS: LIC#9C15560 | Unknown | Project sponsor did not respond to request for additional information. |
| 197-1 | Mark Kammermann/M.K. Transfer | Repower diesel truck: LIC#6F11723 | \$61,500 | Withdrawn by project sponsor; project sponsor continued with a different grant application to retrofit this truck with a Level 3 PM/NOx DECS. |
| Ineligible | | | | |
| 106-1 | Richmond Pacific Railroad | Retrofit switcher locomotive exhaust SN 4473-4 | \$377,975 | CARB determined that this is an ineligible project. |
| 114-1 | Wittmar Engineering & Construction, Inc. | Cold ironing engine generator for hoteling ships | \$250,000 | CARB determined that this is an ineligible project. |
| 159-1 | Dittmer Ranch | Replace existing diesel irrigation pump motor with electric motor | \$5,215 | No incremental cost between rebuild and repower. |

BAY AREA AIR QUALITY MANAGEMENT DISTRICT
Memorandum

To: Chairperson Smith and
Members of the Mobile Source Committee

From: Jack P. Broadbent
Executive Officer/APCO

Date: March 22, 2007

Re: Transportation Fund for Clean Air (TFCA) Report on Fiscal Year (FY)
2006/2007 Allocations and Effectiveness

RECOMMENDED ACTION

Consider recommending Board of Directors' approval of the *Transportation Fund for Clean Air Report on FY 2006/2007 Allocations and Effectiveness* (attached).

BACKGROUND

State law allows air districts to impose a surcharge on motor vehicle registration fees paid within their jurisdictions to fund the implementation of transportation control measures and mobile source measures. Funds from the annual surcharge of \$4 per vehicle, applied to over 5 million motor vehicles registered in the Bay Area, are allocated by the Air District's Transportation Fund for Clean Air (TFCA) to projects that reduce emissions from mobile sources.

State law requires that the Board review the expenditure of TFCA funds annually to determine their effectiveness in improving air quality. Staff has prepared a report in response to this requirement. The report, provided in Attachment A, summarizes allocations for all projects and programs that received TFCA funds in FY 2006/2007.

DISCUSSION

Key findings of the report include the following:

- TFCA funds have been allocated to eligible projects and programs, consistent with the legislation that authorizes the TFCA program.
- The Air District approved TFCA funding of \$25.5 million for eligible costs: \$15.4 million in Regional Funds (51 projects and 3 programs), \$7.6 million in Program Manager Funds (62 projects) and \$2.4 million in administration and indirect costs.
- Projects and programs funded in FY 2006/2007 are expected to reduce criteria pollutant emissions over their lifetime by an estimated 823 tons, including 201 tons of reactive organic gases (ROG), 517 tons of nitrogen oxides (NO_x), and 106 tons of particulate matter (PM₁₀). The estimated lifetime emissions reduction for carbon dioxide (CO₂), a greenhouse gas, for the projects funded in FY 2006/2007 is approximately 100,800 tons.

- The overall cost-effectiveness of TFCA projects funded in FY 2006/2007 is \$12,997 (TFCA dollars) per ton of criteria pollutant emissions reduced (lifetime ROG, NO_x, and weighted PM₁₀).

BUDGET CONSIDERATION/FINANCIAL IMPACT

None.

Respectfully submitted,

Jack P. Broadbent

Executive Officer/APCO

Prepared by: Geraldina Grünbaum

Reviewed by: Jack M. Colbourn

Attachment

**TRANSPORTATION FUND
FOR
CLEAN AIR**

**REPORT ON FISCAL YEAR 2006/2007
ALLOCATIONS AND EFFECTIVENESS**

**BAY AREA
AIR QUALITY**



**TRANSPORTATION
FUND FOR
CLEAN AIR**

Bay Area Air Quality Management District
939 Ellis Street, San Francisco, CA 94109

March 2007

Background

State law requires that the Bay Area Air Quality Management District (Air District) Board of Directors annually review the expenditure of Transportation Fund for Clean Air (TFCA) program revenues to determine the program effectiveness in improving air quality. This report has been prepared in response to that requirement; it summarizes TFCA funding allocations for fiscal year 2006/2007 (FY 2006/07).



Highlights of the TFCA Program in FY 2006/07

- ◆ TFCA funds have been allocated to eligible recipients for eligible projects, consistent with the legislation that enables the TFCA program.
- ◆ In FY 2006/07, the Air District approved TFCA funding of \$25.5 million, including \$23.0 million for 116 eligible projects (\$15.4 million for 54 Regional Fund projects and programs and \$7.6 million for 62 Program Manager Fund projects), and \$2.4 million for administrative costs and Air District indirect costs.
- ◆ In FY 2006/07, \$26.2 million in TFCA funds were available for allocation, including \$22.2 million in calendar year 2006 Department of Motor Vehicle receipts, \$2.3 million in interest, and \$1.7 million in TFCA funds reallocated from previously funded projects that were canceled or completed under budget.
- ◆ The estimated lifetime emission reductions for the projects funded by TFCA in FY 2006/07 are 201 tons of reactive organic gases (ROG), 517 tons of oxides of nitrogen (NO_x), and 106 tons of particulate matter (PM₁₀). Combined lifetime emission reductions for the three pollutants total 823 tons.
- ◆ The estimated lifetime emissions reduction in carbon dioxide (CO₂), a greenhouse gas, for the projects funded by TFCA in FY 2006/07 is approximately 100,800 tons.
- ◆ The aggregate cost-effectiveness of all projects funded by TFCA in FY 2006/07 is \$12,997 (TFCA dollars) per ton of emissions reduced (lifetime ROG, NO_x, and weighted PM₁₀).
- ◆ Since the inception of the TFCA program in 1992, the Air District has allocated a total of about \$337 million in TFCA funds to approximately 1,960 projects.

Introduction

On-road motor vehicles, including cars, trucks, and buses, constitute the most significant source of air pollution in the San Francisco Bay Area. Vehicle emissions contribute to unhealthy levels of ozone (summertime "smog") and particulate matter.

To protect public health, the State Legislature enacted the California Clean Air Act in 1988. In response, the Air District, in cooperation with the Metropolitan Transportation Commission and the Association of Bay Area Governments, prepared the *Bay Area Clean Air Plan (CAP)*. The *Bay Area 2005 Ozone Strategy*, the latest triennial update to the CAP, indicates how the region will work toward compliance with the State one-hour ozone standard. To reduce emissions from motor vehicles, the *Bay Area 2005 Ozone Strategy* contains

transportation control measures (TCMs) and mobile source measures (MSMs). A TCM is defined as "any strategy to reduce vehicle trips, vehicle use, vehicle miles traveled, vehicle idling, or traffic congestion for the purpose of reducing motor vehicle emissions." MSMs encourage the retirement of older, more polluting vehicles and the introduction of newer, less polluting motor vehicle technologies, which result not only in the reduction of ozone precursor emissions, but also of greenhouse gas emissions.



State legislation applicable to FY 2006/07 restricts TFCA funding to the following types of projects:

- ◆ **Implementation of ridesharing programs**
- ◆ **Clean fuel school and transit bus purchases or leases**
- ◆ **Feeder bus or shuttle service to rail and ferry stations and to airports**
- ◆ **Arterial traffic management**
- ◆ **Rail-bus integration and regional transit information systems**
- ◆ **Demonstrations in congestion pricing of highways, bridges and public transit**
- ◆ **Low-emission vehicle projects**
- ◆ **Smoking vehicles program**
- ◆ **Vehicle buy-back scrappage program**
- ◆ **Bicycle facility improvement projects**
- ◆ **Physical improvements that support "smart growth" projects**

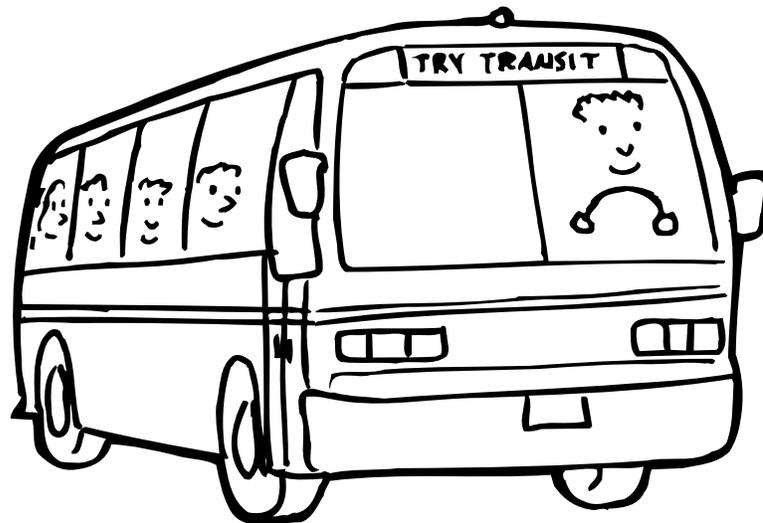
The TFCA Program

To fund the implementation of TCMs and MSMs, the State Legislature allows air districts to impose a surcharge on motor vehicle registration fees paid within their jurisdictions. For the San Francisco Bay Area, a \$4 annual surcharge per vehicle applies to over 5 million vehicles registered in the region for the TFCA program.^a

Revenues raised by the aforementioned surcharge are allocated by the Air District through the TFCA. TFCA grants were awarded to private entities and public agencies, including cities and counties, transit districts, school districts, and the Metropolitan Transportation Commission.

TFCA-funded projects have many benefits, including the following:

- ♦ Reducing air pollution, including air toxics such as benzene
- ♦ Conserving energy and helping to reduce carbon dioxide emissions
- ♦ Improving water quality by decreasing contaminated runoff from roadways
- ♦ Improving transportation options
- ♦ Reducing traffic congestion



^a Revenues from an additional \$2 surcharge in motor vehicle registrations, authorized by Assembly Bill 923 (Firebaugh, 2004), are not part of TFCA. These revenues are used to implement the Air District's Mobile Source Incentive Fund (MSIF), which provides incentives for the implementation of additional mobile source projects. In FY 2006/07, the Vehicle Buy Back Program, a voluntary program administered by the Air District to scrap older, higher polluting vehicles historically funded with TFCA funds, was funded with \$7.4 million in funds from the MSIF.



TFCA Funding Allocation

Each year the Air District distributes TFCA funds through **two processes**.

Sixty percent of the TFCA funds are referred to as “Regional Funds.” The Regional Funds are used to fund eligible programs that are implemented by the Air District, such as the Smoking Vehicle, Vehicle Incentive, and Spare the Air programs. The remainder of the Regional Funds is distributed to public agencies and private entities through a competitive process.

The Air District Board of Directors adopts criteria to evaluate and rank project applications for TFCA Regional Funds. The evaluation criteria for FY 2006/07 are shown below. Proposed projects are evaluated with respect to each criterion. Projects are ranked based upon their total point score, and projects are funded in descending order until available funds have been allocated.

Scoring Criteria:

| <i>FY 2006/07 Regional Funds</i> | <i>Points</i> |
|--|---------------|
| TFCA Funding Effectiveness | 60 |
| Greenhouse Gas Emission | 10 |
| Other Project Attributes | 10 |
| Clean Air Policies and Programs | 10 |
| Disadvantaged and Particulate Matter (PM) Impacted Communities | 10 |
| Total | 100 |

Cost-effectiveness, expressed in terms of TFCA dollars per ton of reduced emissions, is the most important criterion for ranking projects. Board-adopted policy requires that all projects must achieve a cost-effectiveness of \$90,000 per ton or less (TFCA dollars per ton of emissions reduced).

Forty percent of the funds generated in each Bay Area county are returned to a designated Program Manager in each county, as mandated by the TFCA-enabling legislation. The 40% funds are referred to as “Program Manager Funds.” Program Managers adopt their own criteria to select projects for funding, provided all projects meet basic TFCA-eligibility requirements. In some counties, all or a portion of the TFCA Program Manager Funds are allocated by formula as a direct subvention to cities within the county. Each city then selects an eligible project or projects for its share of the Program Manager funds.

Every fiscal year, each Program Manager submits an expenditure program for the allocation of its 40% of the TFCA funds for approval by the Air District Board of Directors. Board-adopted policy requires that each individual project in each Program Manager expenditure program achieve a cost-effectiveness of \$90,000 per ton or less (TFCA dollars per ton of emissions reduced).

In calendar year 2006, \$22.2 million in new funding was received from the \$4 surcharge on motor vehicle registrations. Additional funds were available from interest earned on TFCA funds (\$2.3 million) and from prior funded projects that were completed under budget, withdrawn or canceled (\$1.7 million).

Projects Funded by TFCA

In FY 2006/07, the TFCA program awarded \$25.5 million in grants. A total of \$15.4 million in Regional Funds allocations included \$3.6 million for three programs administered by the Air District (Appendix A) and \$11.8 million in grants to other public agencies for 51 projects (Appendix B). Grants totaling \$7.6 million in Program Manager Funds were awarded to implement 62 local projects (Appendix C). Administrative and indirect costs for managing Air District programs, Regional Fund and Program Manager Fund projects totaled \$2.4 million.

Projects to reduce emissions from the heavy-duty fleet, including **Diesel Repowers/Retrofits** and **Natural Gas**

Vehicles received the largest percentage of funds, 28.3%. Together, **Transit/School Buses** and **Shuttle/Feeder Bus** projects, connecting people between home, transit, school and work, received 16.0% of the total available funding. **Trip Reduction/Ridesharing** projects, providing services and incentives to encourage the use of carpools and vanpools, received 14.4% of the total funding. **Bicycle Facilities**, including bicycle lanes, paths, routes, lockers and racks, received 9.3% of the total funds. The Air District's **Spare the Air Program** received 8.7%, while **Arterial Management** projects, including signal timing to smooth traffic flow, received 6.1% of available funding. A summary of the funding for and estimated emission reductions resulting from TFCA projects funded in FY 2006/07 is provided below.

| Category | # of FY 2006/07 Projects | FY 2006/07 TFCA \$ | % of 06/07 TFCA \$ | Emission Reductions (tons) ⁽¹⁾ | % of Emission Reductions |
|---|--------------------------|--------------------|--------------------|---|--------------------------|
| Diesel Repowers/Retrofits | 25 | \$4,647,610 | 18.2% | 233 | 28.3% |
| Trip Reduction/Ridesharing | 22 | \$3,669,189 | 14.4% | 146 | 17.7% |
| Smoking Vehicle Program | 1 | \$775,424 | 3.0% | 132 | 16.0% |
| Natural Gas Vehicles | 9 | \$2,583,463 | 10.1% | 128 | 15.5% |
| Bicycle Facilities | 29 | \$2,371,988 | 9.3% | 40 | 4.9% |
| Arterial Management | 7 | \$1,568,636 | 6.1% | 39 | 4.7% |
| Shuttle/Feeder Buses | 11 | \$3,502,527 | 13.7% | 34 | 4.1% |
| Spare the Air Program | 1 | \$2,229,349 | 8.7% | 32 | 3.9% |
| Transit/School Buses | 5 | \$578,892 | 2.3% | 26 | 3.1% |
| Smart Growth | 1 | \$351,508 | 1.4% | 9 | 1.0% |
| Light-Duty Vehicle Incentives | 2 | \$602,000 | 2.4% | 3 | 0.4% |
| Transit Marketing | 1 | \$124,055 | 0.5% | 2 | 0.2% |
| Infrastructure | 2 | \$75,000 | 0.3% | n/a | n/a |
| Administration/Indirect Costs ⁽²⁾ | n/a | \$2,441,498 | 9.6% | n/a | n/a |
| T O T A L ⁽³⁾ | 126 | \$25,521,139 | 100% | 823 | 100% |

n/a = not applicable. No direct emission reductions are attributed to Infrastructure or Administration/Indirect Costs.

(1) Lifetime emission reductions of ROG, NO_x, and PM₁₀ combined.

(2) The Air District and each of the nine counties have an "Administration" component of their TFCA programs.

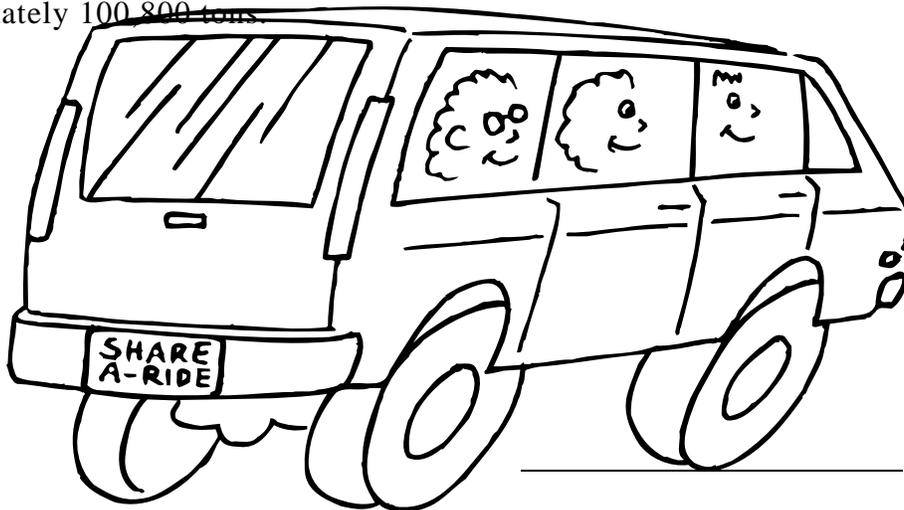
(3) Totals may vary due to rounding.

Results

Emission Reductions

Air District staff estimates the emissions reduced over the life of projects that receive TFCA funding. The potential of each project to reduce motor vehicle emissions varies depending upon the type of project, the scale of the project, geographic location and other factors.

The total lifetime emission reductions expected from the implementation of projects funded by TFCA in FY 2006/07 is 823 tons – this represents the sum of ozone precursors (201 tons of ROG and 517 tons of NO_x) and particulate matter (106 tons of PM₁₀). This figure includes 387 tons of emissions reduced from diesel-powered equipment, including TFCA-funded projects to replace heavy-duty diesel engines and to install emission control devices on existing diesel engines. The estimated lifetime emissions of carbon dioxide (CO₂), a greenhouse gas, reduced by the projects funded by TFCA in FY 2006/07 amount to approximately 100,800 tons.



Cost-Effectiveness

The cost-effectiveness of the TFCA program in FY 2006/07 is calculated by dividing the TFCA funds allocated to projects by the projects' estimated lifetime criteria pollutant emissions reductions (ROG, NO_x, and weighted PM₁₀^b combined). The result is TFCA dollars per ton of reduced emissions. The aggregate cost-effectiveness for FY 2006/07 TFCA funding allocations is \$12,997 per ton of reduced emissions. This compares to an aggregate cost-effectiveness of \$18,298 per ton of reduced emissions for projects funded by TFCA in the FY 2005/06 cycle.^c

Projects

Appendices A, B, and C list all the projects that received TFCA funding in FY 2006/07.

^b Consistent with California Air Resources Board methodology to calculate PM emission reductions for the Carl Moyer Program, PM emissions were weighted to account for their elevated harmful impacts on human health.

^c PM emissions were not weighted in the cost-effectiveness calculations for FY 2005/06.

APPENDIX A: FY 2006/07 TFCA-Funded Air District Programs

| Project # | Sponsor | Project Title | TFCA \$ Awarded |
|------------------|----------------|---|------------------------|
| 06R01 | BAAQMD | Smoking Vehicle Program | \$775,424 |
| 06R03 | BAAQMD | Spare The Air Program | \$2,229,349 |
| 06R04 | BAAQMD | Vehicle Incentive Program* | \$600,000 |
| | | SUB-TOTAL: 3 Programs | \$3,604,773 |
| 06R00 | BAAQMD | Administration | \$1,300,992 |
| | BAAQMD | Air District Indirect Costs | \$827,584 |
| | | SUB-TOTAL: Administration and Indirect Costs | \$2,128,576** |
| | | T O T A L | \$5,733,349 |

* All funds allocated to the Vehicle Incentive Program (project 06R04) are "pass-through" funds from the Air District to public agencies to acquire eligible light-duty clean air vehicles.

** Administration and Indirect Costs may be covered in part by funds from the Air District General Fund.

APPENDIX B: FY 2006/07 TFCA Regional Fund Projects

| Proj# | Sponsor | Project Title | TFCA\$ Awarded |
|-------|--|---|-------------------|
| 06R94 | Airline Coach Service | Retrofit Two (2) Diesel Minibuses | \$40,943 |
| 06R34 | Amador Valley Industries, LLC | Purchase Two (2) Compressed Natural Gas Solid Waste Collection Vehicles | \$100,000 |
| 06R49 | Black Tie Transportation | Retrofit Six (6) Diesel Minibuses | \$31,993 |
| 06R67 | Blue Line Transfer, Inc. | Retrofit Three (3) Diesel Transfer Trucks | \$68,501 |
| 06R26 | City of Berkeley | Purchase Six Compressed CNG Refuse Collection Vehicles | \$150,000 |
| 06R72 | City of Berkeley | Transportation Alternatives Marketing and Outreach, 2007, 2008 | \$32,529 |
| 06R73 | City of Berkeley | FlexVan Vanpool and Carsharing Program | \$17,871 |
| 06R74 | City of Berkeley | West Berkeley Shuttle | \$20,600 |
| 06R07 | City of El Cerrito | San Pablo Avenue Transit, Streetscape, Bicycle and Pedestrian Access Improvements | \$351,508 |
| 06R75 | City of Redwood City | Redwood City Community Shuttle Service | \$14,064 |
| 06R51 | Coach 21 | Retrofit Ten (10) Diesel Buses | \$240,909 |
| 06R52 | Compass Transportation | Retrofit Twelve (12) Diesel Buses | \$284,564 |
| 06R15 | County of Contra Costa | North Richmond Area Bikeway Project | \$65,000 |
| 06R53 | Cummins West | Retrofit Two (2) Cummins West Field Service Trucks | \$10,000 |
| 06R54 | CUSA FL LLC | Retrofit Eighteen (18) Diesel Buses | \$430,619 |
| 06R55 | Diamond Tank Lines | Retrofit Two (2) Heavy-duty Diesel Trucks | \$42,793 |
| 06R43 | Eastside Union High School | Repower Two (2) Heavy-duty Diesel School Buses | \$105,926 |
| 06R17 | Golden Gate Park Concourse Authority | Page & Stanyan Bicycle and Pedestrian Improvement Project | \$35,000 |
| 06R58 | Marin Airporter | Retrofit Fifteen (15) Diesel Buses | \$359,478 |
| 06R59 | Mercury Tours | Retrofit Ten (10) Diesel Buses | \$224,490 |
| 06R82 | Metropolitan Transportation Commission | Regional Rideshare Program | \$1,000,000 |
| 06R44 | Milpitas Unified School District | Repower Two (2) Heavy-duty Diesel School Buses | \$95,326 |
| 06R61 | North Bay Corporation | Retrofit Fifteen (15) Heavy-duty Diesel Trucks | \$288,849 |
| 06R35 | Northbay Corp | Purchase Six (6) Compressed Natural Gas Solid Waste Collection Vehicles | \$474,000 |
| 06R36 | Pacific Gas & Electric | Purchase Twenty (20) Compressed Natural Gas Heavy-duty Trucks | \$500,000 |
| 06R83 | Peninsula Corridor Joint Powers Board | Caltrain Weekday Shuttle Bus Service | \$1,034,355 |
| 06R37 | Pleasanton Garbage Service, Inc. | Purchase Four (4) Compressed Natural Gas Solid Waste Collection Vehicles | \$200,000 |
| 06R86 | Presidio Trust | PresidiGo Downtown Shuttle Service | \$125,000 |
| 06R45 | Ravenswood City School | Repower Three (3) Heavy-duty Diesel School Buses | \$142,989 |
| 06R46 | River Delta Unified School | Repower 2 heavy-duty diesel school buses with cleaner diesel engines. | \$95,326 |
| 06R63 | Royal Coach Lines | Retrofit Sixteen (16) Diesel Buses | \$383,191 |
| 06R64 | S.F. Navigatour, Inc. | Retrofit Three (3) Diesel Buses | \$74,914 |

APPENDIX B: FY 2006/07 TFCA Regional Fund Projects

| Proj# | Sponsor | Project Title | TFCA\$ Awarded |
|-------|---|--|---------------------|
| 06R10 | SamTrans | Adaptive Transit Signal Priority | \$422,731 |
| 06R32 | San Francisco International Airport | Purchase Seventeen (17) Compressed Natural Gas Heavy-duty Shuttle Buses | \$198,000 |
| 06R48 | San Francisco International Airport | Retrofit Twenty-seven (27) Diesel Shuttle Vehicles | \$609,711 |
| 06R18 | San Francisco MTA | North Point Street Bicycle Lanes between The Embarcadero and Van Ness Avenue | \$92,600 |
| 06R87 | San Joaquin Regional Rail Commission | Shuttle Bus Service - Pleasanton ACE to Stoneridge Business Park | \$36,439 |
| 06R88 | San Joaquin Regional Rail Commission | Shuttle Bus Service - Pleasanton ACE and Dublin/Pleasanton BART Stations | \$50,000 |
| 06R89 | San Jose State University - Associated Students | Trip Reduction Program | \$100,000 |
| 06R90 | Santa Clara Valley Transportation Authority | ACE Shuttle Program | \$950,000 |
| 06R65 | Sheedy Drayage | Retrofit Six (6) Heavy-duty Diesel Trucks | \$147,323 |
| 06R40 | Solano Garbage Company/Bay Leasing | Purchase Two (2) Compressed Natural Gas Solid Waste Collection Vehicles | \$68,452 |
| 06R68 | Sonoma County Airport Express | Retrofit Thirteen (13) Diesel Buses | \$315,824 |
| 06R39 | South San Francisco Scavenger Co. | Purchase One (1) Compressed Natural Gas Roll-off Truck | \$91,011 |
| 06R66 | South San Francisco Scavenger Company | Retrofit Five (5) Diesel Solid Waste Collection Vehicles | \$55,125 |
| 06R69 | Sysco Food Service | Retrofit Twenty-one (21) Heavy-duty Diesel Delivery Trucks | \$374,588 |
| 06R70 | Thunderstar Stages | Retrofit Six (6) Diesel Buses | \$149,828 |
| 06R41 | Tri Ced Community Recycling | Purchase Ten (10) Compressed Natural Gas Recycling Trucks | \$500,000 |
| 06R92 | University of California, San Francisco | UCSF Mission Bay BART Powell Street Shuttle | \$88,808 |
| 06R20 | University of California, San Francisco | UCSF/Mt. Zion Medical Center Bike & Ride - Secure Bicycle Parking | \$39,999 |
| 06R42 | Waste Management | Purchase Fourteen (14) Liquefied Natural Gas Recycling Trucks | \$500,000 |
| | | T O T A L : 51 projects | \$11,831,177 |

APPENDIX C: FY 2006/07 TFCA Program Manager Fund Projects

| Proj# | Sponsor | Project Title | TFCA\$ Awarded |
|---------|---|---|-------------------|
| 06ALA01 | County of Alameda | Guaranteed Ride Home Program | \$150,000 |
| 06CC01 | West Contra Costa Transportation Advisory Committee | West Contra Costa Employer Based Trip Reduction | \$120,215 |
| 06CC02 | West Contra Costa Transportation Advisory Committee | Countywide Guaranteed Ride Home Program | \$165,300 |
| 06CC03 | West Contra Costa Transportation Advisory Committee | I-80 Corridor Transit Program | \$85,214 |
| 06CC04 | West Contra Costa Transportation Advisory Committee | West Contra Costa Bicycle Rack Program | \$49,000 |
| 06CC05 | TRANSPAC/City of Pleasant Hill | Central/East County Employer Outreach Program | \$207,500 |
| 06CC06 | TRANSPAC/City of Pleasant Hill | Countywide Carpool Incentive Program | \$195,500 |
| 06CC07 | TRANSPAC/City of Pleasant Hill | Countywide Transit Incentive Program | \$406,113 |
| 06CC08 | City of San Ramon | 511 Contra Costa Countywide Vanpool Incentive Program | \$90,000 |
| 06CC09 | City of San Ramon | 511 South Contra Costa County Employer Program | \$72,090 |
| 06CC10 | City of San Ramon | 511 South Contra Costa County School Transit Ticket Program | \$26,450 |
| 06CC11 | City of San Ramon | 511 Contra Costa Countywide Clean Fuel Vehicle Program | \$10,000 |
| 06CC12 | City of Lafayette | Lamorinda School Bus Program | \$50,000 |
| 06CC13 | City of Antioch | Bicycle Trail Project | \$156,187 |
| 06MAR01 | County of Marin | Class 1 Bicycle Path - Cal Park Hill Tunnel Rehab & Multi Use Pathway | \$536,252 |
| 06MAR02 | City of Sausalito | Slow-Fill CNG Fueling Station | \$65,000 |
| 06NAP01 | City of American Canyon | Class I in American Canyon Connector | \$86,000 |
| 06NAP02 | City of Napa/County of Napa | Trancas Class II | \$100,000 |
| 06NAP03 | City of American Canyon | Wetlands Edge Bikeway Extension | \$40,000 |
| 06NAP04 | Napa County Transportation Planning Agency | Transit Bus Particulate Filters | \$38,000 |
| 06NAP05 | STA Solano Napa Commuter Information | Commuter Incentives and Guaranteed Ride Home | \$25,000 |
| 06NAP06 | County of Napa | Bike Lockers | \$5,000 |
| 06NAP07 | Napa County Transportation Planning Agency | Hybrid Transit Buses | \$112,828 |
| 06SC01 | Santa Clara Valley Transportation Authority | Light Rail Shuttle Program | \$485,000 |
| 06SC02 | City of Sunnyvale | Multimodal Station Bike Parking | \$11,000 |
| 06SC03 | City of Sunnyvale | Mathilda Avenue Adaptive Traffic Signal Project | \$175,905 |
| 06SC04 | City of Los Altos | Citywide Bicycle Racks Installation | \$17,250 |
| 06SC05 | City of Mountain View | Stevens Creek Bicycle Trail Reach 4 | \$275,000 |
| 06SC06 | County of Santa Clara | Lawrence Expressway Weekend Signal Timing | \$45,000 |
| 06SC07 | County of Santa Clara | San Tomas Expressway Traffic Signal Controller Assemblies | \$90,000 |
| 06SC08 | City of San Jose | On-Street Bicycle Racks | \$40,000 |
| 06SC09 | City of San Jose | Light Rail Transit Controller Upgrade Project | \$600,000 |
| 06SF01 | BART | Electronic Bicycle Lockers | \$69,500 |
| 06SF02 | County of San Francisco | Bicycle Fleet Program | \$18,900 |
| 06SF04 | County of San Francisco | Class 2 Bicycle Lane -Cesar Chavez Street | \$79,000 |
| 06SF05 | County of San Francisco | Class 2 and Class 3 Bicycle Lane - Claremont Boulevard Bike Lane | \$27,700 |
| 06SF06 | County of San Francisco | Class 2 and Class 3 Bicycle Lane - McAllister Street | \$47,000 |
| 06SF07 | County of San Francisco | Class 2 Bicycle Lane - Ocean Avenue | \$56,000 |

APPENDIX C: FY 2006/07 TFCA Program Manager Fund Projects

| Proj# | Sponsor | Project Title | TFCA\$ Awarded |
|---------|---|--|--------------------|
| 06SF08 | County of San Francisco | Class 2 Bicycle Lane - Portola Drive | \$50,200 |
| 06SF09 | County of San Francisco | Class 2 Bicycle Lane- Sagamore Street/Sickles Avenue | \$71,800 |
| 06SF10 | County of San Francisco | Class 2 Bicycle Lane - Kansas Street | \$25,000 |
| 06SF11 | County of San Francisco | Class 2 Bicycle Lanes - Clipper Street | \$32,000 |
| 06SF12 | County of San Francisco | Class 2 Bicycle Lanes - Kirkham Street | \$89,000 |
| 06SF13 | County of San Francisco | Transit Signal Priority Emitters | \$36,400 |
| 06SF14 | San Francisco International Airport | CNG Hotel Shuttles | \$204,000 |
| 06SM01 | City of Menlo Park | Mid Day Shuttle | \$45,000 |
| 06SM02 | Peninsula Traffic Congestion Relief Alliance | County-wide Transportation Demand Management Program | \$450,000 |
| 06SM03 | SamTrans | SamTrans Shuttle Bus Program | \$638,000 |
| 06SOL01 | City of Fairfield | Solano Bikeway Extension- McGary Road | \$90,000 |
| 06SOL02 | City of Benicia | Shuttle Service: Benicia Industrial Park to Vallejo Ferry Terminal | \$29,325 |
| 06SOL03 | STA Solano Napa Commuter Information | Transit and Bicycle Service and Outreach | \$210,000 |
| 06SON01 | Sonoma County Transit | Transit Marketing Program | \$124,055 |
| 06SON02 | Sonoma County Transit | Cotati Intermodal Facility / Park & Ride | \$32,000 |
| 06SON03 | Sonoma County Transit | Multi-Agency Bus Stop Information Project | \$30,000 |
| 06SON04 | City of Rohnert Park | Redwood Drive Class II Bicycle Lanes | \$14,500 |
| 06SON05 | City of Rohnert Park | Rohnert Park Expressway Class II Bicycle Lanes | \$142,000 |
| 06SON06 | City of Rohnert Park | Rohnert Park Expressway Signal Coordination | \$40,000 |
| 06SON07 | City of Santa Rosa | Student/Youth Pass Subsidy | \$80,000 |
| 06SON08 | City of Santa Rosa | Voluntary Trip Reduction Program | \$154,507 |
| 06SON09 | City of Sebastopol | One Hybrid Light Duty Vehicle | \$2,000 |
| 06SON10 | City of Petaluma | Roundabout at McDowell Blvd South and Baywood Drive | \$195,000 |
| 06SON11 | Town of Windsor | Arata Lane & Hembree Lane Bicycle Lanes | \$30,000 |
| | | SUB-TOTAL : 62 projects | \$7,643,691 |
| 06ALA00 | Alameda County CMA | Program Administration Cost | \$7,500 |
| 06CC00 | Contra Costa Transportation Authority | Program Administration Cost | \$67,812 |
| 06MAR00 | Transportation Authority of Marin | Program Administration Cost | \$17,912 |
| 06NAP00 | Napa County Transportation Planning Agency | Program Administration Cost | \$5,000 |
| 06SC00 | Santa Clara Valley Transportation Authority | Program Administration Cost | \$81,956 |
| 06SF00 | San Francisco County Transportation Authority | Program Administration Cost | \$36,507 |
| 06SM00 | San Mateo C/CAG | Program Administration Cost | \$50,800 |
| 06SOL00 | Solano Transportation Authority | Program Administration Cost | \$15,986 |
| 06SON00 | Sonoma County Transportation Authority | Program Administration Cost | \$29,449 |
| | | SUB-TOTAL : Administration Costs | \$312,922 |
| | | TOTAL | \$7,956,613 |