REGULATION 12
MISCELLANEOUS STANDARDS OF PERFORMANCE
RULE 13
FOUNDRY AND FORGING OPERATIONS

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Bay Area Air Quality Management District May 1, 2013

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REGULATION 12
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RULE 13
FOUNDRY AND FORGING OPERATIONS

(Adopted May 1, 2013)

12-13-100 GENERAL

12-13-101 Description: The purpose of this Rule is to require the development of and compliance with Emissions Minimization Plans designed to minimize the fugitive emissions of particulate matter and odorous substances from foundries and forges operating within the District.

12-13-102 Applicability: This Rule is applicable to any person who owns or operates a District-permitted furnace or oven (pursuant to District Regulation 2: Permits, Rule 1: General Requirements) at a foundry or forge that processes at least one (1.0) tons of metal per rolling twelve-month period.

12-13-103 Exemption, Metal or Alloy Purity and Small Facilities: Neither the Standards (Sections 12-13-301 et seq.) nor the Administrative Requirements (Sections 12-13-401 et seq.) apply to the following facilities:

103.1 Clean Metal or Alloy Purity Exemption: Facilities or furnaces that melt only clean aluminum scrap or a metal or alloy (other than lead, solder, or zinc scrap) that is demonstrated to have a content of no more than 0.004 percent of cadmium and no more than 0.002 percent of arsenic pursuant to Section 12-13-601;

103.2 Small Facilities Exemptions: Foundries or forges that melt or heat treat 2,500 tons or less of metal per rolling twelve-month period.

12-13-200 DEFINITIONS

12-13-201 Alloy: A solid or molten mixture of two or more metals or of metals and nonmetallic elements. Examples of alloys include steel, brass, and bronze.

12-13-202 Binder: A material consisting of resin, activator, or catalyst or a combination thereof, used to bind sand together in metal casting operations. Binders may include phenolic-based resins, urethanes, epoxy-acrylics, furfuryl alcohol, and sodium silicate.

12-13-203 Casting: The formation of metallic parts or casts by pouring molten metal into a mold and core assembly or into a mold for ingots, pigs, sows and cylinders.

12-13-204 Charging: The process of adding materials, such as metal, coke, flux, and charcoal to a furnace in preparation to heat and melt metal.

12-13-205 Cooling: The act of allowing cast metal to cool close to ambient temperatures while being contained in the mold.

12-13-206 Cupola: A vertical cylindrical shaft furnace to melt iron and steel by combustion of a charging material forced upward by heated air. Charge components may include coke, limestone and forms of iron and steel, such as scrap and foundry returns.

12-13-207 Die Casting: The process of injecting molten metal under high pressure into a steel mold, known as a die, to form metal parts.

12-13-208 Dross: The solid impurities floating on a molten metal composed primarily of impurities, metal, and metal oxides.

12-13-209 Finishing Operation: Operations that are performed once a cast metal part has been shaken out and cooled and that address imperfections and assembly in preparation of the final product for the customer. Finishing operations includes shot blasting, grinding, and welding.

12-13-210 Forge: Any facility at which forging operations are conducted.
The act of creating metal products by heat treating and shaping metals. Forging operations include operation of an oven in which metal is heated until it is malleable, hardening, annealing, tempering stamping, pressing, extruding, hammering, and quenching.

Any facility at which foundry operations are conducted.

The operation of a furnace in which scrap metal, ingots, and/or other forms of metal is charged, melted, and tapped; the cooling and shake-out of the cast metal parts; mold and core making; finishing of the cast metal part; metal management and sand reclamation.

For the purpose of this Rule only, the emissions of particulate matter and odorous substances to the atmosphere from man-made sources that are not released through a system of equipment that is designed to capture pollutants at the source, convey them through ductwork, and exhaust them using forced ventilation. Fugitive emissions include mold vent gases, equipment leaks, particulate emissions from metal handling and uncontrolled product finishing, and emissions that are released through windows, doors, vents, and other general building ventilation or exhaust systems.

For the purposes of this Rule only, a device used to melt metal. Types of furnaces include, but are not limited to, cupola, electric arc, pot, induction, blast, crucible, sweat, and reverberatory furnaces.

A machining process used to either shape components that are too hard to be machined by conventional methods, such as hardened tool steels and case or induction-hardened components, or used to obtain a high degree of dimensional accuracy and surface finish on a component.

For the purposes of this Rule, metals include ferrous (iron-based) metals and alloys and non-ferrous (non-iron-based) metals and alloys. Examples of metals include iron, steel, and -their iron-based alloys; aluminum, copper, brass, bronze, gold, silver, zinc, tin, lead, platinum, nickel, chromium, cadmium, manganese, mercury, tungsten, and titanium and their non-ferrous alloys.

The transport, receipt, collection, sorting, segregation, separation, compilation, and storage of metals, metal-containing materials and non-metallic materials at a foundry or forge.

The weight of metal, in tons, charged to a furnace and melted.

The reduction to the smallest possible amount, consistent with Section 12-13-405.3.

The formation of molds and/or cores from sand; binders; and other substances, such as clay, starch, charcoal, acrylics, phenols, and urethane to form mold assemblies to be used in the casting of metallic objects.

For the purposes of this rule only, odorous substances are phenols and phenolic compounds used in or emitted from mold and core making, casting, cooling, and shake out operations.

A device used to heat metal until it is malleable, but not to the point of melting.

Any material that is emitted as liquid or solid particles or as gaseous material which becomes liquid or solid particles that can remain suspended in the air, excluding uncombined water.

The act of transferring molten metal into a mold or mold assembly.

An employee, designated by the owner or operator of a foundry or forge with the authority to direct, operate, manage or control the facility’s foundry or forging operations.

The act of reducing lumps and removing foreign material and residual binder and/or carbonaceous, metallic and other contaminants from each grain of foundry sand used in mold assemblies.

Any metal or metal-containing material that has been discarded or removed from the use for which it was produced or manufactured and which is discarded.
intended for reprocessing. "Scrap metal" does not include sprues, gates, risers, foundry or forge returns, and similar material intended for remelting that has been generated at the foundry or forge as a consequence of casting or forming processes but that has not been coated or surfaced with any material containing cadmium, arsenic, or nickel.

12-13-229 Shake Out: The separation of a metal casting from a mold assembly.
12-13-230 Shot Blasting: The act of impinging a metallic surface with shot such as sand, steel balls, or silicon carbide granules to texturize (smooth or roughen) or remove imperfections from a metallic surface.
12-13-231 Slag: A partially vitreous by-product of metal melting which contains impurities, including metallic oxides. Slag may be lighter than, and rest upon, the molten metal fraction in a furnace and may be poured off before the molten metal can be tapped.
12-13-232 Tapping: The pouring of molten metal from a furnace into ladles for transport to an area for casting.
12-13-233 Welding: The act of joining two pieces of metal together by the use of heat or pressure or both to produce a metal product. Types of welding including metal arc, atomic hydrogen, submerged arc, resistance butt, flash, spot, stitch, stud and projection.

12-13-300 STANDARDS

12-13-301 Requirement to Comply with an Emissions Minimization Plan:  
301.1 Effective 90 days from the date that the Emissions Minimization Plan (EMP) is approved by the APCO pursuant to Section 12-13-405.5, the owner or operator of a foundry or forge shall operate the facility at all times in accordance with an approved EMP; or  
301.2 Thirty days following the disapproval of the EMP by the APCO, the owner or operator of a foundry or forge shall be in violation of this section.

12-13-400 ADMINISTRATIVE REQUIREMENTS

12-13-401 Emissions Minimization Plan Requirements: The owner or operator of any foundry or forge subject to the requirements of this Rule shall develop an Emissions Minimization Plan (EMP) that details management practices, measures, equipment and procedures that are employed or are scheduled to be implemented to minimize fugitive emissions of particulate matter and of odorous substances, as prescribed in Sections 12-13-402 and 403.

12-13-402 Operations Subject to the EMP: The EMP shall address all of the following operations that are conducted at the foundry or forge:  
402.1 Mold and Core Making Operations;  
402.2 Metal Management;  
402.3 Furnace Operations, including tapping and pouring;  
402.4 Forging Operations;  
402.5 Casting and Cooling Operations;  
402.6 Shake Out Operations;  
402.7 Finishing Operations;  
402.8 Sand Reclamation;  
402.9 Dross and Slag Management.

12-13-403 Contents of the EMP: The owner of operator of the foundry or forge subject to Section 12-13-401 shall prepare a complete and accurate EMP that details the management practices, measures, equipment and procedures that are employed or scheduled to be implemented to minimize fugitive emissions of particulate matter and odorous substances for the operations subject to the EMP:  
403.1 Technical Data: The EMP shall include:  
1.1 A detailed process flow diagram that clearly and accurately indicates all operations listed in Section 12-13-402 and the flows of materials.
used or produced in those operations at the facility, starting from the point of material receipt from off site to the achievement of the final product. The process flow diagram shall identify the monitoring and the processes and controls that minimize particulate matter and odorous substances emissions, including, but not limited to baghouses, baghouse leak detectors, afterburners, carbon abatement, FID monitors, temperature and pressure monitors. All abatement and control devices shall be identified either using District Source Numbers according to their District Permit or as exempt from District permit requirements.

1.2 A facility layout/floor plan that clearly and accurately indicates the relative locations of all items identified in Section 12-13-403.1.1, including all equipment and permitted and exempt sources at a facility, all building walls, partitions, doors, windows, vents, and openings, and indicate all areas that have particulate or odor abatement, all metal melting and metal processing equipment, and any other source(s) that may contribute to particulate matter and/or odorous substances emissions. All metal melting and metal processing equipment shall be identified either using District Source Numbers according to their District Permit or as exempt from District permit requirements.

1.3 The name of the Responsible Manager and alternate responsible manager(s), if any, their schedules, and contact information.

403.2 Fugitive Emissions Reductions Previously Realized: A description of the equipment, processes and procedures installed or implemented within the last five years to reduce fugitive emissions.

403.3 Schedule for the Implementation of the EMP Elements: A list of each of the following:

3.1 The specific elements of the EMP that are in place as of the initial date of the submission of the EMP to the APCO for approval; and

3.2 The specific elements of the EMP that will be implemented following APCO approval of the EMP and the implementation schedule for each of those specific elements.

12-13-404 Compliance Schedule for the EMP: The owner or operator of a foundry or forge required to develop an EMP shall submit a complete and accurate EMP in accordance with the following schedule:

404.1 Submission: Submit the EMP to the APCO no later than May 1, 2014, or no later than 12 months following becoming subject to the requirements set forth in Section 12-13-401. The Responsible Manager shall certify the EMP as complete and accurate and sign it. The APCO may require the owner or operator to submit additional information to assure the completeness and accuracy of the EMP to ensure the minimization of fugitive emissions of particulate matter and odorous substances.

404.2 Completeness Determination: Within 30 days of receipt of the EMP, the APCO will notify the owner or operator in writing whether the EMP is complete. The EMP is complete if the APCO determines that it includes all of the information required by Sections 12-13-402 and 403. If the APCO determines that the proposed EMP is not complete, the notification will specify the basis for this determination and the required corrective action.

404.3 Corrective Action: Upon receipt of such notification, the owner or operator shall correct the deficiencies and resubmit the proposed EMP within 30 days. If the APCO determines that the owner or operator failed to correct any completeness deficiency identified in the notification, the APCO will reject the EMP as incomplete.

12-13-405 Review and Approval of the EMP: The procedures for determining whether each EMP meets the applicable requirements of this regulation are as follows:

405.1 Receipt and File Creation: Upon receipt of an EMP from a facility subject to the requirements of Sections 12-13-402 and 403, the APCO shall create a
file that shall include the EMP as received, the results of the completeness
determination, any comments received during the public comment period,
and any recommendations made by the APCO.

405.2 Public Comment: The APCO shall make the complete EMP (with exception
of facility-designated confidential information) available for public comment
for 30 days. The APCO will collect and forward all public comments to the
facility for consideration at the end of the 30-day comment period. At the
APCO’s discretion, the District may extend the comment period up to 90
days and/or may hold a public meeting to discuss the draft EMP during the
comment period.

405.3 APCO Recommendations: Within 30 days of the close of the public
comment period, the APCO shall review the draft EMP and the public
comments and notify the owner or operator of the APCO’s
recommendations, if any, for additional processes and procedures to further
reduce or prevent fugitive emissions from the foundry or forge, based on
technical and economic feasibility, and made in consideration of worker
health and safety.

405.4 Revision and Final Submission: Within 30 days of receipt of the APCO
recommendations, the owner or operator shall:

4.1 Accept all of the APCO’s recommendations and submit the EMP with
the incorporated recommendations to the APCO and certified by the
Responsible Manager; or

4.2 Specify the APCO recommendations that are accepted, and submit the
EMP with the incorporated APCO recommendations to the APCO and
provide a basis for the rejection of any of the APCO’s
recommendations. The Responsible Manager shall certify the EMP.

405.5 Approval: Within 30 days of the receipt of the final submission of the EMP,
the APCO will review the EMP.

5.1 If the APCO determines that the EMP does not meet the requirements
of Sections 12-13-402, 403, 405.3 and 405.4, the APCO will notify the
owner or operator in writing. The notification will specify the basis for
this determination. Upon receipt of such notification, the owner or
operator shall correct the identified deficiencies and resubmit the EMP
to the APCO within 30 days. If the APCO determines that the owner or
operator failed to correct any deficiency identified in the notification,
the APCO will disapprove the EMP.

5.2 If the APCO determines that the EMP meets the requirements of
Sections 12-13-402, 403, 405.3 and 405.4, the APCO will approve the
EMP and shall provide written notification to the owner or operator.
This period may be extended if necessary to comply with state law.

12-13-406 Designation of Confidential Information: With each submission of an EMP or any
portions thereof or revisions thereto, the owner or operator of a foundry or forge
subject to Section 12-13-401 shall designate as confidential any information claimed
to be exempt from public disclosure as trade secrets or by other provisions of law. If
a document is submitted that contains information designated confidential in
accordance with this Section, the owner or operator shall provide a justification for
this designation and shall submit a separate copy of the document marked as “public
copy,” with the information claimed to be confidential redacted.

12-13-407 Reporting Requirements for Planned Fugitive Emissions Reductions and
Prevention Measures: The owner or operator of a foundry or forge subject to
Section 12-13-401 shall report to the APCO no later than May 1, 2015, a description
of the equipment and all feasible processes and procedures to be installed or
implemented within the next five years to reduce or prevent fugitive emissions, with a
schedule of implementation.

12-13-408 Reporting Requirements for Operation and Maintenance Plan Requirements
Pursuant to the NESHAPs and District Regulation 11, Rule 15:
408.1 **Metal Melting, Tapping and Mold and Core Making Operations:** The owner or operator of the foundry subject to Section 12-13-301 shall report to the APCO within 90 days of the adoption of this Rule a list of the operations, processes, and equipment used to comply with the following provisions of any federal NESHAP to which it is subject:

1.1 40 CFR Part 63, Subpart RRR: NESHAP for Secondary Aluminum Production, Section 63.1506(c)(1) through (c)(3) Capture/collection systems design, installation, and operation;

1.2 40 CFR Part 63, Subpart EEEEE: NESHAP for Major Source Iron and Steel Foundries, Section 63.7690(b)(1);

1.3 40 CFR Part 63, Subpart YYYYY: NESHAP for Area Sources: Electric Arc Furnace Steelmaking Facilities, Section 63.10686;

1.4 40 CFR Part 63, Subpart ZZZZZ: NESHAP for Iron and Steel Foundries Area Sources, Section 63.10895(b);

1.5 District Regulation 11: Hazardous Air Pollutants, Rule 15: Airborne Toxic Control Measure for Emissions of Metals from Non-Ferrous Metal Melting, Section 11-15 (b)(1) and (b)(3).

408.2 **Operation and Maintenance Plan Requirements:** The owner or operator of the foundry subject to Section 12-13-301 shall submit to the APCO a copy of the written Operation and Maintenance Plan or the Operation, Maintenance, and Monitoring Plan that was required by the US EPA Administrator pursuant to the following provisions set forth in any federal NESHAP to which it is subject within 90 days of the adoption of this Rule:

2.1 40 CFR Part 63, Subpart RRR: NESHAP for Secondary Aluminum Production, Section 63.1510(b);

2.2 40 CFR Part 63, Subpart EEEEE: NESHAP for Major Source Iron and Steel Foundries, Section 63.7710(b);

2.3 40 CFR Part 63, Subpart YYYYY: NESHAP for Area Sources: Electric Arc Furnace Steelmaking Facilities, Section 63.10685(a) and (b);

2.4 40 CFR Part 63, Subpart ZZZZZ: NESHAP for Iron and Steel Foundries Area Sources, Section 63.10896;

2.5 40 CFR Part 63, Subpart ZZZZZZ: NESHAP: Area Source Standards for Aluminum, Copper, and Other Nonferrous Foundries, Section 63.11550(a)(3).

12-13-409 **Review of Alternative Binder Formulations:** The owner or operator of any foundry subject to the requirements of this Rule that uses mold and core binders formulated with an odorous substance, including phenol and cresol, shall:

409.1 Investigate the availability and efficacy of alternative binders that produce fewer odorous substances emissions to ambient air than binders currently in use at the facility; and

409.2 Report the results of the investigation required pursuant to Section 12-13-409.1 to the APCO no later than May 1, 2015, and once before each two-year anniversary of the receipt of the initial reporting.

12-13-410 **Five-Year Review of Emissions Minimization Plan:** The owner or operator of a foundry or forge subject to the requirements of Section 12-13-401 shall update the APCO-approved EMP and submit the updated EMP to the APCO for review within 90 days of the five-year anniversary date of the approval of the original EMP and within 90 days of every five-year anniversary thereafter. Review and approval of the EMP will follow the schedule in Sections 12-13-402 and 403. The updated EMP must be certified by a Responsible Manager.

12-13-411 **Review and Modification of Emissions Minimization Plan:** Within 90 days of any of the following events:

411.1 The APCO determined that the owner or operator violated Section 12-13-301; or

411.2 The APCO determined that the owner or operator violated District, State or federal air quality regulations pertaining to emissions of particulate matter or odorous substances; or
411.3 The owner or operator commenced a facility operation, process, equipment, or throughput change that required a modification of the Permit to Operate for that operation, process, equipment or throughput change; the APCO may notify the owner or operator of a foundry or forge where the triggering event occurred, and that is subject to the requirements of Section 12-13-401, to review and submit a complete and accurate revised EMP to the APCO that updates the EMP to include the modified operation or source or to prevent a future violation of the EMP or applicable law or regulation specified herein, in accordance with the schedule set forth in Section 12-13-404.

12-13-500 RECORDS AND MONITORING

12-13-501 Recordkeeping Requirements: The owner or operator of any foundry or forge subject to the requirements of this Rule shall maintain all records that are necessary to determine compliance with the requirements of Section 12-13-301 for a minimum of five years and make them available to the APCO or a designee of the APCO upon request including, but not limited to:

501.1 The monthly throughput of ferrous and non-ferrous metal processed, including metal melted, heated, scrapped, or recycled and the basis for each throughput determination;

501.2 The monthly throughput of each type of binder used;

501.3 The monthly throughput of sand used and the amount in pounds of sand used;

501.4 Documentation to demonstrate eligibility for exemption under Section 12-13-103. Documentation may include, but is not limited to:

4.1 Certification from the supplier demonstrating the chemical composition of the aluminum or other metal or alloy;

4.2 Demonstration of the chemical composition of the aluminum or other metal or alloy; as determined in accordance to Section 12-13-601;

4.3 A method approved by the APCO.

12-13-600 MANUAL OF PROCEDURES

12-13-601 Methods for Determining Arsenic and Cadmium in Metals or Alloys: The eligibility for exemption under Section 12-13-103 shall be determined by the test methods listed in Regulation 11: Hazardous Pollutants, Rule 15: Airborne Toxic Control Measure for Emissions of Toxic Metals from Non-Ferrous Metal Melting, Section 11-15 (f)(4) through (7) or any other method approved by the APCO, the California Air Resources Board, the US EPA, or the American Society for Testing and Materials.