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**AGENDA: 4**

# **Fine Particulate Matter Local Risk Methodology Update**

**Advisory Council Meeting  
September 11, 2023**

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# Overview



1. Review and illustrations of key points
2. Remarks on completeness
3. Next steps

# Frameworks Compared



	BenMAP, for PM <sub>2.5</sub>	This methodology (v2.0), for PM <sub>2.5</sub>
<b>Target for estimation</b>	Large population w/ spatially varying density; typical composition & time-activity patterns	Discrete receptors represent potential risk scenarios: residents 24/7, students exposed at school; etc.
<b>Result (ΔY)</b>	Y = annual incidence (events per year)	Y = P(event occurring during long-term risk window)
<b>PM<sub>2.5</sub> level</b>	Uses continuous modeled surface	Uses maximally impacted receptor locations
<b>Exposure duration</b>	Annual (1 year)	Long-term (up to 30 years)
<b>Exposure timing</b>	Cross-sectional demographics *	Worst-case (young or old), same-age cohort
<b>Co-presence / locality</b>	n/a: source always influencing receptor	Can have “time away” from influence of local source †
<b>Sensitive groups</b>	n/a: typical mixture in population; some more sensitive, others less *	Sensitive group = 3x average effect size ‡
<b>Exposure modification</b>	n/a: typical protection from buildings *	No protection = 1.5x (inverse of typical protection) ‡
<b>Intake modification</b>	n/a: typical intake / breathing rates *	Higher-intake situation = 2x (relative breathing rate) ‡

\* β reflects the distribution of this in the populations & contexts/activities that the underlying study(ies) observed.

For maximum validity, should match the target characteristics (e.g. “under age 18, typical shelter, full range of daily activities”).

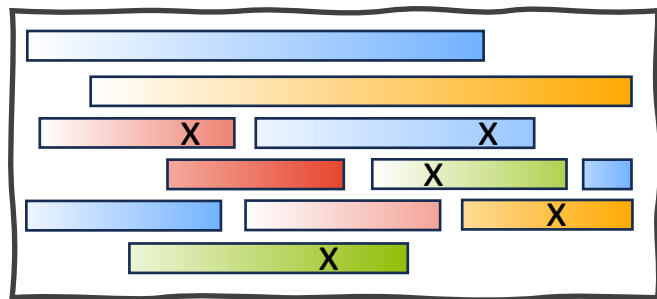
† In this framework, accounted for via  $\Delta x / \Delta C$  (C = annual average ambient concentration; x = annual average exposure intensity).

‡ In this framework, accounted for via adjustment factor F.

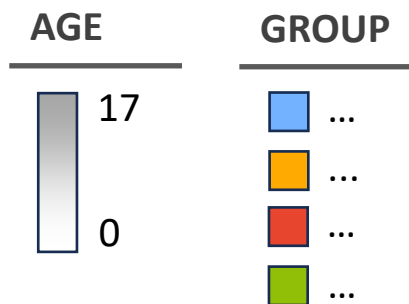
# Shared Foundation, Complementary Goals



Epi Study → Effect Size ( $\beta$ ) for study population, comprising varying ages and defined groups, per  $\pm 1 \text{ ug/m}^3$  in outdoor annual average concentration (C)



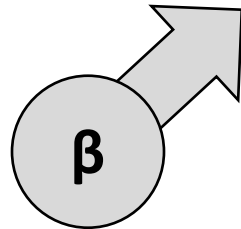
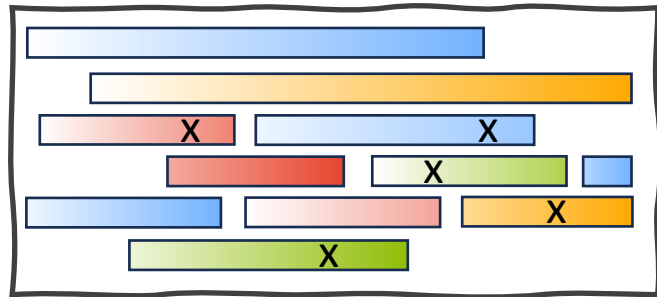
$\beta$



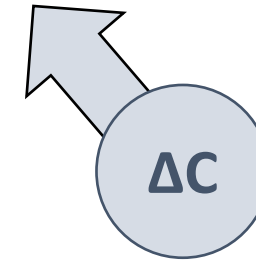
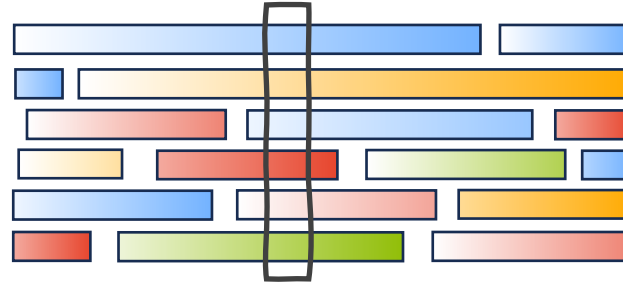
# Shared Foundation, Complementary Goals (cont.)



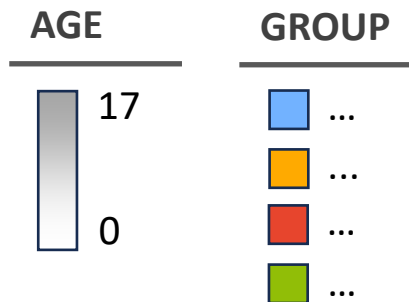
**Epi Study** → **Effect Size ( $\beta$ )** for study population, comprising varying ages and defined groups, per  $\pm 1 \text{ ug/m}^3$  in outdoor annual average concentration (C)



**Goal for BenMAP:** Estimate change in **annual rate** of outcome **across Bay Area population** due to some  $\Delta C$



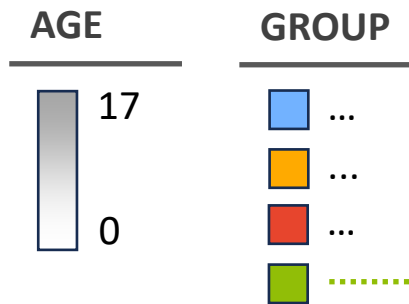
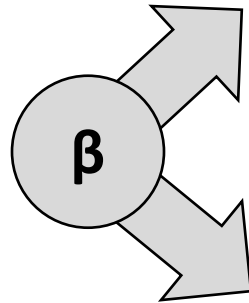
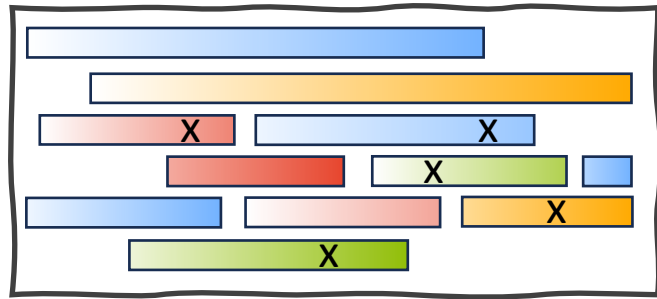
$\Delta C$  = increase in annual average concentration attributed to modeled source



# Shared Foundation, Complementary Goals (cont.)

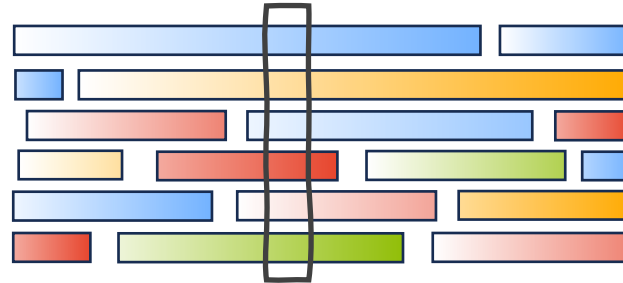


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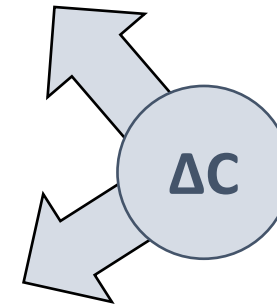


*especially sensitive (vulnerable) group*

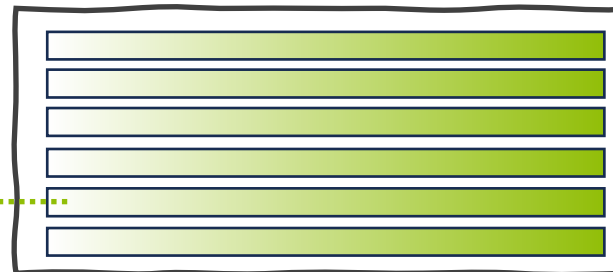
**Goal for BenMAP:** Estimate change in **annual rate** of outcome **across Bay Area population** due to some  $\Delta C$



**Goal for this method:** Estimate change in **probability** of outcome (long term) due to  $\Delta C$  under a **“worst case” scenario**



$\Delta C$  = increase in annual average concentration attributed to modeled source



*Scenario parameters: especially sensitive group; highly adverse exposure duration & timing (younger for asthma, older for mortality)*

# Linearity and Adjustments



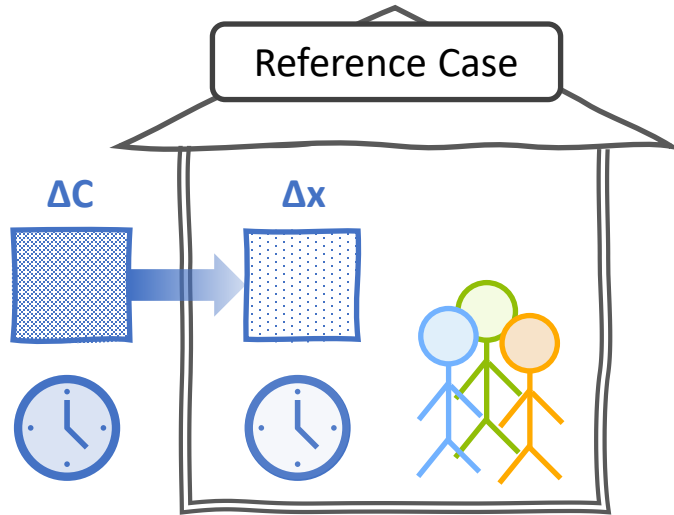
## Version 2.0:

- Within the range of conditions being considered by this methodology, there are approximately linear relationships between small changes (“deltas”) in concentration, exposure, intake, and effect at a group level.
- Adjustments (relative to BenMAP) bring us into alignment with the established linear-risk, local-source approach used for toxic air contaminants.



# Adjustments for Higher Risk

$\Delta x$  = increase in annual average exposure intensity due to source



## “Reference Case” / BenMAP Method

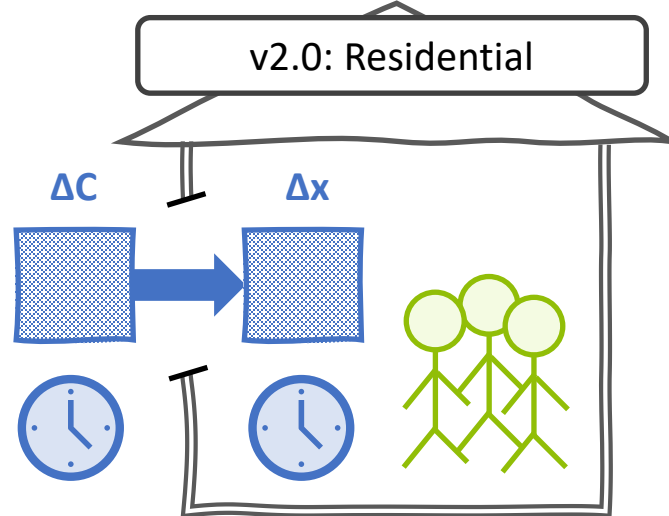
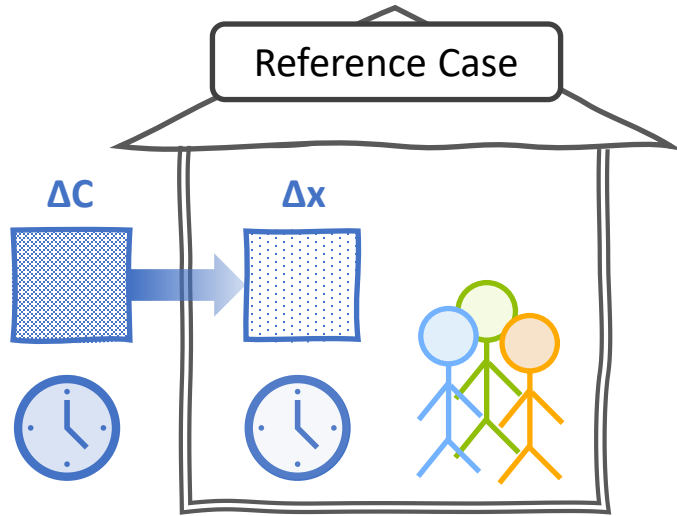
- Some epi study serves as our basis for an **overall effect size  $\beta$**
- Observed a distribution of human activities, attributes, contexts, etc.
- Reference case: target population / context has similar distribution of factors (e.g., both epi study and target are children-only, but diverse otherwise)



# Adjustments for Higher Risk (cont.)



$\Delta x$  = increase in annual average exposure intensity due to source



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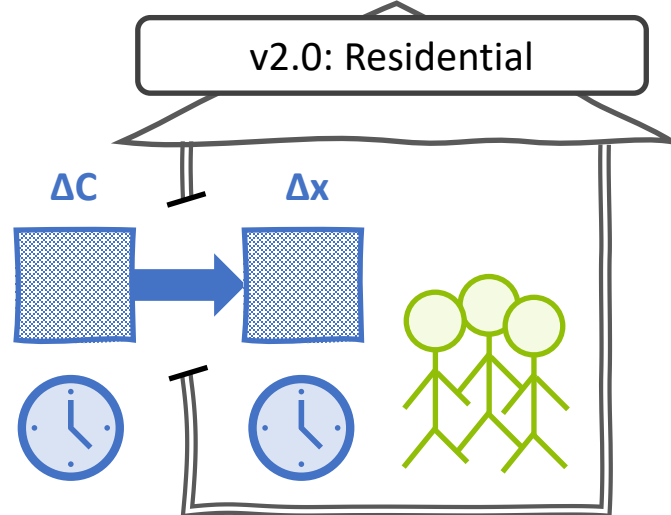
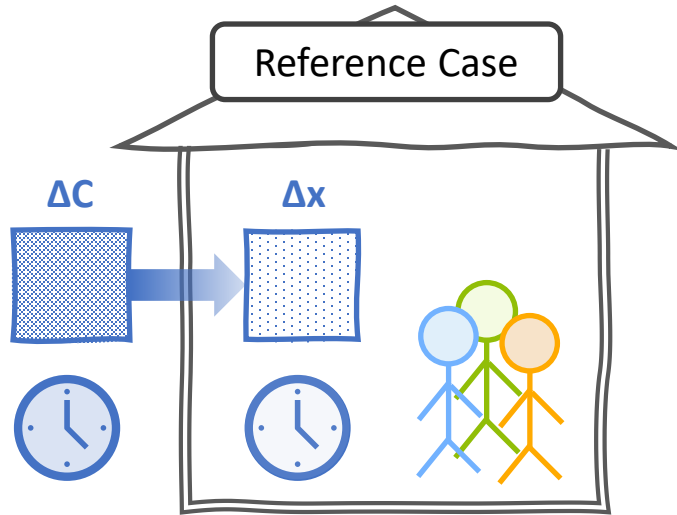
## Residential Receptor / Scenario

- **More sensitive group (3x)**, in terms of, e.g., race/ethnicity, income, comorbidities, etc.
- **Negligible shelter & filtration (1.5x)**
- **F = composite adjustment = 5x**

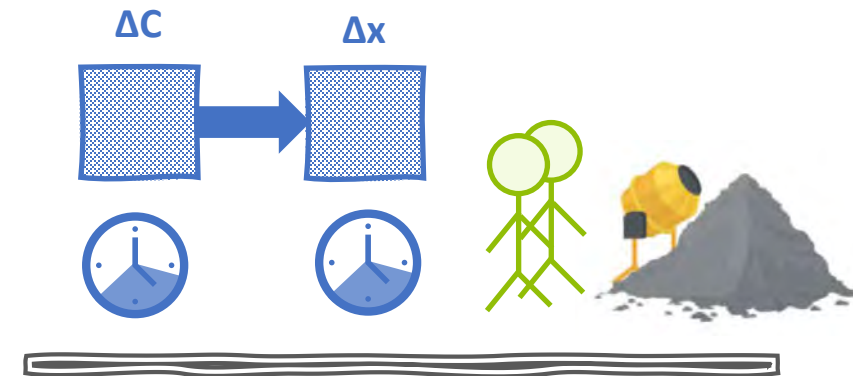
# Adjustments for Higher Risk (cont.)



$\Delta x$  = increase in annual average exposure intensity due to source



v2.0: High Intake Situation



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## Residential Receptor / Scenario

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- **F = composite adjustment = 5x**

## Example: Off-Site **Worker** Receptor / Scenario

- Same as previous composite adjustment  $F = 5x$  in terms of (a) more sensitive group and (b) lack of shelter while exposed to source
- Also **higher intake (2x)** given same exposure ( $\Delta x$ ), due to substantially higher activity level while exposed; inhalation rate  $\approx 2x$  average
- **F = composite adjustment = 10x**

# Completeness



At the last Advisory Council meeting (June 12, 2023):

- PM<sub>2.5</sub> has broad effects, so an array of endpoints could be considered (e.g. neurological & reproductive as well)
- Multiple considerations likely to play roles in threshold-setting
- Co-chair requested staff to look into effect sizes for other endpoints

# Review of Effect Sizes for Other Endpoints



- Key studies selected with OEHHA assistance, emphasizing:
  - Irreversible binary outcomes, suitable for long-term risk-oriented approach
  - Large follow-up and/or more recent studies
  - Overlap with Bay Area or California
  - Relied on by EPA or CARB
- 21 studies reviewed, 35+ reported risk ratios
- Not a formal literature review

# Relative Risks and Baseline Rates



Notable Endpoints and Key Studies	Age Ranges	Baseline Rates ( $y_0$ , annual)*	Effect Sizes ( $\beta$ , per $\mu\text{g}/\text{m}^3$ )
Pediatric asthma onset (Tetréault 2016)	0–17	0.4% to 2%	$4.4 \times 10^{-2}$
All-cause adult mortality (multiple key studies)	55–84	1% to 6%	$1.0 \times 10^{-2}$
Alzheimer’s disease, first admission (Shi 2020) †	55–84	0.004% to 0.1%	$3.5 \times 10^{-2}$
Ischemic heart disease mortality (Alexeeff 2023)	55–84	0.04% to 1%	$1.9 \times 10^{-2}$
Preterm birth (Basu 2017)	15–44	0.1% to 1% ‡	$2.2 \times 10^{-2}$

$$\Delta y = y_0 [\exp(\beta \Delta x) - 1] \approx y_0 \beta \Delta x \quad (\text{for small } \beta \Delta x)$$

## Risk difference ( $\Delta y$ )

If the baseline rate ( $y_0$ ) is relatively low,  $\Delta y$  may not be large, even if  $\beta$  is large

**Baseline rate ( $y_0$ )** has defined scope & unit of denominator; receptor definitions must be consistent w/those

\* Rates vary by age; ranges given. Sources: BenMAP and CDC (WONDER/NCHS/DVS).

† For exposures < 12  $\mu\text{g}/\text{m}^3$ . In terms of  $\beta$ , Kioumourtzoglou et al (2016) reported ~4x this effect size, but with much less precision. Five of those co-authors contributed to Shi (2020).

‡ Annual US rate  $\approx$  10 to 100 per 1,000 women age 15–44. About 10–15% of births are preterm.

# Next Steps



- Formal endorsement
- Method goes to Air District Board of Directors with Council endorsement
- California Air Pollution Control Officer Association (CAPCO) Toxics symposium - Oct 24
- Case studies

**Thank You**



Questions and comments