

Standard Operating Procedures for the CEREX UV Sentry UV-DOAS

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APPROVED:

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1. Scope and Application

This SOP covers the use of the CEREX UV Sentry UV-DOAS analyzer in a fence-line monitoring application. This document addresses routine maintenance activities including visual inspections, instrument checks, data management, bump testing, and data validation. The maintenance forms are provided in Section 9.

2. Introduction and Overview

The CEREX UV Sentry ultraviolet differential absorption spectrometer (UV-DOAS, shown in [Figure 1](#)) is an instrument that is used to detect BTEX, SO₂, NO₂, and a number of other gases in the ultraviolet (UV) region of the electromagnetic spectrum. The instrument consists of a Xenon light source and several optical elements, including a spectrometer. UV-DOAS instruments may be configured so that the spectrometer and source are in one location (monostatic) or at opposite ends of the path (bistatic). For a monostatic configuration, the light from the light source is collimated with the primary mirror and directed along a path length of about 500 m. At the other end of the path is an array of corner-cube reflectors called retroreflectors that direct the light directly back into the analyzer where the light is dispersed and measured using a spectrometer. The working range of the spectrometer is from about 200 to 400 nm. This document addresses the routine operations and maintenance procedures for the CEREX Monitoring Solutions UV Sentry units. The procedure is intended to guide the field technician in ensuring and verifying that the equipment is performing to expectations. As required, hard copies of this procedure and the associated test forms will be kept on site and a copy of the test form showing the results will be sent to the Refinery Project Manager upon completion of the test procedure.

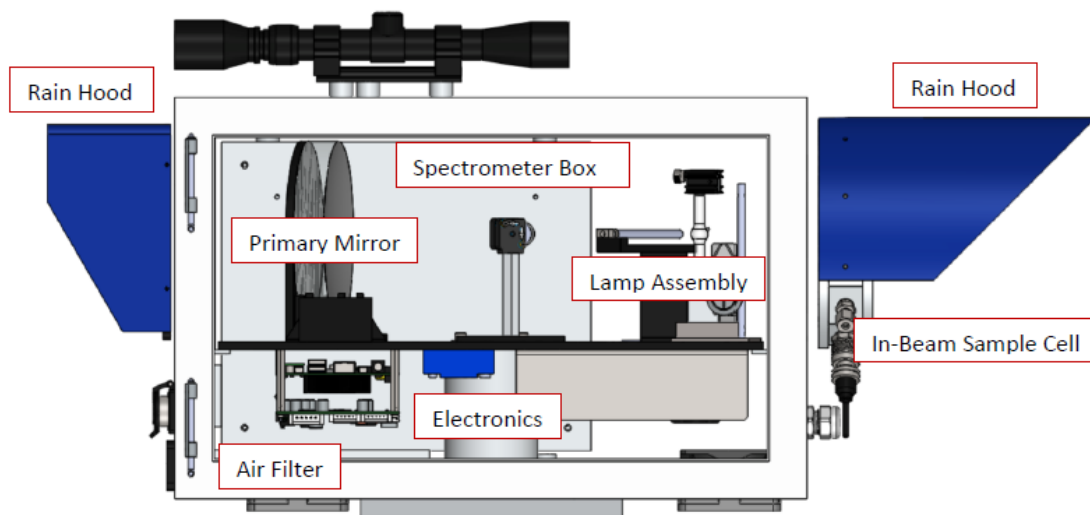


Figure 1. Schematic of the monostatic UV Sentry UV-DOAS analyzer.

The purpose of field maintenance is to ensure that the instrument is operated within specification and for field verification of the factory calibration of the UV Sentry. The QA Test process challenges the instrument using known concentrations of select BTEX reference gases and/or SO₂ to verify proper detection and quantification under field conditions.

3. Definitions

Table 1. Definitions of terms and acronyms used in this document.

Term/Acronym	Definition
BTEX	Benzene, Toluene, Ethylbenzene, Xylenes (Xylenes are composed of ortho, meta and para isomers)
Bump Test	Test where gas of a known concentration is introduced to the analyzer to check for response accuracy and precision
CMS	Continuous Monitoring Software
Coefficient of Determination (R ²)	The square of the correlation coefficient. R ² ranges from 0 (not correlated) to 1 (perfect correlation).
Correlation Coefficient (r)	A coefficient that measures the linear correlation between two sets of data. In the case of the UV-DOAS, it measures the correlation between the modeled and measured spectral data. It ranges from -1 (perfect anticorrelation) to 1 (perfect correlation).
Integration Time	The amount of time the spectrometer detector collects light for (typically 20 to 300 ms)
Intensity	A measure of how much light was collected
Percent Match	The coefficient of determination multiplied by 100. (R ² x 100).
PPE	Personal Protective Equipment
QA	Quality Assurance
QC	Quality Control
QAPP	Quality Assurance Project Plan
SOP	Standard Operating Procedure
UV-DOAS	Ultraviolet Differential Absorption Spectroscopy

4. Safe Work, Hazard Identification, and Precautions

The following information is intended to provide guidance in ensuring a safe work environment.

Operator Qualifications

Installing, operating, and servicing CEREX UV Sentry analyzers should only be performed by personnel trained in the operation of the system components, familiar with the potential hazards associated with the deployment site, and familiar with the handling of gas delivery and testing equipment.

Work should conform to the manufacturer guidance and site health and safety practices.

The CEREX Monitoring Solutions UV Sentry Series Analyzers are not rated for safe operation in hazardous or explosive environments (not intrinsically safe). Any use in an area that may contain flammable mixtures or highly corrosive vapors requires special preparation to ensure operator safety and safe operation of the equipment.



WARNING – Eye hazard. Risk of eye injury. CEREX UV-DOAS Analyzers contain an ultra-violet light source that may cause eye injury after prolonged exposure. Always wear UVA/B/C eye protection when working on or near the operating equipment.

Procedure Warnings

The procedure contained within this document requires the handling of toxic substances including but not limited to benzene, aromatic hydrocarbons, and SO₂ gas, and it requires the operation of equipment designed for toxic gas containment and dispensation. Improper handling of materials or hardware may result in serious injury, destruction of property, or damage to the UV Sentry. Only qualified individuals should attempt or perform analyzer operation or testing activities.

Safe Operating Precautions

Ensure that a clear escape path is identified.

Standard site personal protective equipment (PPE) is appropriate. If gloves are required for work on optics, nitrile or latex should be used.

NOTICE

Please check off the following steps before conducting maintenance. Doing so reduces the chances of false notifications to the public and clients.

- Notify the client and project manager of maintenance tasks.
- Using the field tech tool at ftt.sonomatechmonitor.com, place the equipment into planned or unplanned maintenance mode.
- Confirm that the data is invalidated on the public website before proceeding with maintenance.
- When maintenance is complete check the public site for at least 15 min to ensure proper reporting (no missing data, no bump test data, etc.).
- Take out of maintenance mode
- Notify the project manager and client when maintenance is complete.

5. Routine Operations

To set the UV-DOAS instrument to acquire data for normal operations, the instrument CMS must be operating and the instrument must be aligned. These actions are detailed in the steps below.

1. Start the CMS software (if not already initiated). You should see a window similar to the one shown below in [Figure 2](#).

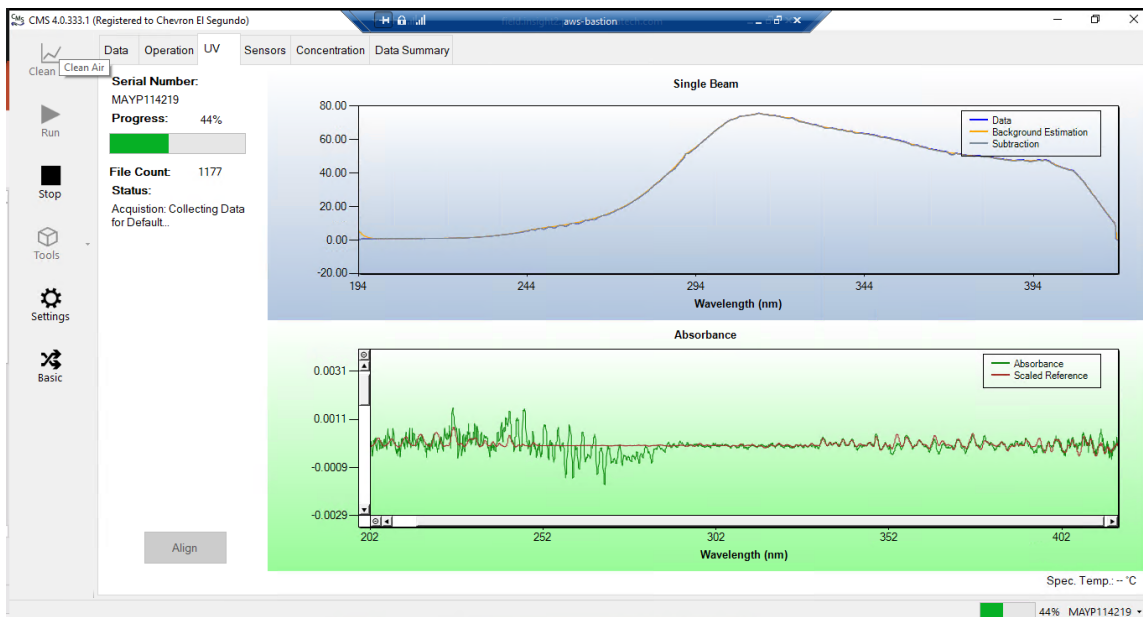


Figure 2. Screenshot showing the **UV** tab of the CMS software. Note that the **Align** button is grayed out because the instrument is in run mode (the **Run** button is also grayed out because the instrument is in run mode).

2. Under the **UV** tab, left-click on the **Align** button. This action brings up a new screen showing the instantaneous single beam plot (intensity vs wavelength). If the **Align** button is not active, you may need to press **Stop**. The **Align** mode is shown in [Figure 3](#).



Figure 3. Screenshot for **Align** mode. The integration time can be entered in the upper right of the screen. In this particular screenshot, the integration time is 38 ms.

3. Enter an integration time of 25 ms and optimize the signal intensity by adjusting the pan-tilt head of the UV-DOAS unit to adjust the position of the UV beam on the retroreflector.

NOTE: Make sure not to saturate the peak of the spectrum when at 25 ms integration time. An example of a saturated spectrum is shown in [Figure 4](#); note that the spectrum is flattened out starting at about 290 nm. Also, ensure there is sufficient intensity at 250 nm compared to the stray light intensity. If there is more than 10% stray light, advanced optical adjustment or bulb change may be necessary. To measure stray light, block the beam from exiting the analyzer with an opaque object (such as a black cloth) and measure the intensity at the wavelength of interest.

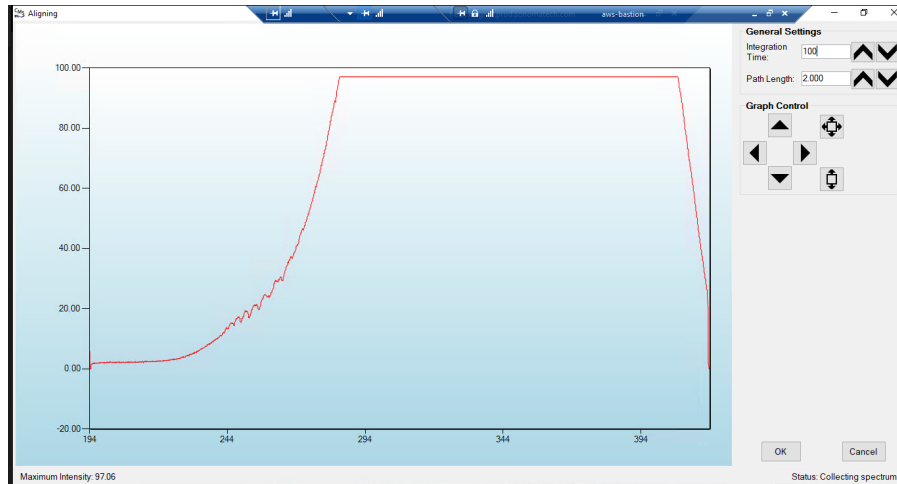


Figure 4. An example of a saturated spectrum when in **Align** mode. Note the “flat top” profile of the spectrum. The spectrum flattens out because the detector has saturated at those wavelengths and cannot quantitatively measure additional light.

4. Once sufficient alignment is obtained, exit the align mode by pressing **Cancel**.
5. Verify settings by left-clicking **Settings**.

Note: If you need to change any setting back to the original configuration, you must go to **File** and **Save** and **Save As Default**. If you change settings, record why they were changed and what they were changed to in the instrument logbook. If settings are changed, they are automatically saved under the directory: C:\Users\CMS-USER\Documents\Cerex\CMS.

- RunTime
 - General
 - Operator Name: **Default** (these will change based on the path and site you are working on)
 - Sitename: **Cerex** (these will change based on the path and site you are working on)
 - Auto Run: **ON**
 - Auto Run Delay (s): **15**
 - File
 - File Type: **.CSV**
 - Primary Data Logging File: **ON**
 - C:\Users\CMS-USER\Documents\Cerex\Data
 - Secondary Data File Logging: **ON**
 - \\OPT1-PC1\VLOData\OPT1_Path1\UVSentry_POC1
 - Note this path will change based on the different computer and path you are working on. This is just a basic file writing path to show you what it should look like.

- Single Data Folder: **OFF**
- Primary Summary File Logging: **ON**
 - C:\Users\CMS-USER\Documents\Cerex\Data
- Secondary Summary File Logging: **ON**
 - \\OPT1-PC1\VLOData\OPT1_Path1\UVSentry_POC1
 - Note this path will change based on the different computer and path you are working on. This is just a basic file writing path to show you what it should look like
- Single Summary File: **OFF**
- Library
 - Library File: C:\Users\CMS-USER\Documents\Cerex\Library\
- UI
 - Sort Column: Compound Name
 - Data Summary Chart: **OFF**
 - Concentration Chart: **OFF**
 - Password Protection Settings: **ON**
 - Pump Control: **OFF**
 - Status Control: **OFF**
 - Testing Control: **OFF**
- Analysis
 - General
 - Moving Average Interval: 12
 - Display Units: PPM
 - Concentration
 - Zero Readings on Non-Detect: **OFF**
 - Zero Readings on Negative concentrations: **OFF**
 - Display BDL: **OFF**
 - Quick Analysis MDL Wave length Range: 276-280 (The range doesn't matter)
 - Temperature/ Pressure Concentration: **OFF**
 - Filters
 - Absorbance Savitzky-Golay: **ON**
 - Baseline Correction Savitzky-Golay: **OFF**
- Instruments
 - UV
 - Operation
 - UV: **ON**
 - Acquisition Time (s): 30 (this is the "averaging time" of the instrument)

- Integration Time (ms): **Always will change if Auto integration is turned on.** This is the amount of time that the instrument will collect light.
 - Path Length (m): **2 (2 for monostatic, 1 for bistatic)**
 - Trigger Mode: **Normal**
 - Auto Routine
 - Auto Integration: **ON** (the software will determine the integration time)
 - Intervals (s): **300**
 - Wavenumber Range: **300-310** (This is the range where the intensity will be measured for autointegration determination. This is different on all instruments due to Spectral Background and Intensity Range)
 - Intensity Range **75-85** (This is the target intensity range for the autointegration routine)
 - Maximum Integration: **300**
 - Auto Background: **ON**
 - Interval (Acquisitions): **5**
 - Wavenumber Range: **266-270**
 - Verification
 - Verification: **OFF** (This inactivates all inputs)
- Controller
 - General
 - Serial Port: **n/a**
 - Sensor Refresh Interval (s): **15**
 - Sensors
 - **Don't Touch Anything**
 - Alarms
 - **Don't Touch Anything**
- Email
 - General
 - Data Recipient: **Blank**
 - Email Sender: **Blank**
 - Email Periods (s): **60** (doesn't matter the time, we don't use this setting)
 - Send Data: **OFF**
 - SMTP
 - Server: **smtp.gmail.com**
 - Port: **587**
 - Username: **Blank**
 - Password: **Blank**
 - Timeout (s): **100**
 - SSL Authentication: **ON**

- Auxiliary Coms
 - Modbus
 - Modbus: **ON**
 - System Type: **Ethernet**
 - TCP Port: **502**
 - Unit ID: **2**
 - 16-bit unsigned int to: **OFF**

6. After settings are verified and the instrument is aligned, you can place the instrument in run mode.

6. Equipment and Supplies

1. Field notebook
2. Tool kit, especially including: 7/64 hex driver, complete set of combination wrenches, adjustable wrenches, screwdrivers, etc.
3. Cleaning supplies designated to be safe for use on a CEREX UV-DOAS – especially lens paper
4. All relevant PPE, hardware, and procedural guidance per SOP, Safety Plan, and Safe Work Permit
5. Local or remote network link device (as required).
6. External laptop computer with network interface device to the Sentry unit (as required)
7. CEREX UV Sentry Unit equipped with CMS software
8. CEREX UV-DOAS 8" x 8" x 1" pleated filter
9. Isopropyl alcohol ($\geq 80\%$)
10. Distilled water
11. Pressurized sprayers
12. CEREX UV-DOAS UV source bulb
13. Nitrile gloves
14. Cell bump test apparatus (including panels, regulators, valves, meters, etc.)
15. Tubing as required: 1/4" PTFE tubing for gas supply from the bottle to the QA cell
16. Tubing as required: 3/8" PTFE tubing with inline flow indicator from the QA cell to the scrubber
17. Flow regulation system capable of delivering gas 0.1 to 5 L/min at a total system pressure of 3 psig or less
18. Gas scrubber appropriate for gas used. Activated carbon may be used for benzene.

19. Reference standard traceable zero compressed air purge gas
20. Reference standard traceable gas blend in nitrogen for detection at about 5X instrument theoretical detection limit or higher
21. CEREX UV Sentry Unit equipped with CMS software
22. Spare reflector for alignment

7. Maintenance Activities

The following sections outline the routine performance indicator checks and maintenance activities to be carried out for each analyzer and sensor, followed by maintenance forms (see Section 9) used to indicate when the checks are completed and document any corrective actions taken. These activities are also expected, based upon the project plan, to be logged in a site logbook either in hard copy or electronic form, and can reference this SOP and associated forms.

The following UV-DOAS maintenance activities and performance checks are recommended by the manufacturer:

- Visually inspect the system.
- Inspect optics on detector and retroreflector; clean if necessary.
- Inspect system filters on the optics and retroreflectors.
- Confirm the alignment to verify there has not been significant physical movement. Note: this is automatically monitored as well.
- Download data from detector hard drive and delete old files to free space, if needed. Ensure data are backed up on external drive.
- Ensure there are no obstructions between the detector and the retroreflector (such as equipment, vegetation, vehicles).
- Change out the UV source.
- Replace ventilation exit and intake filters.
- Clean optics on detector and retroreflector.
- Realign system after service.
- Perform bump test (simulates system-observed gas content at the required path average concentration) to verify the system can detect at or below a lower alarm limit.
- Review and test light and signal levels.
- Verify system software settings.
- Deliver previous years data to client and remove from brick and analyzer.

7.1 Visual Inspections

1. Ensure that the instrument is running and the data look reasonable.
2. Clean and correct any obvious problems with the system (cobwebs, rodent nests, broken optics, etc.).
3. Inspect all electrical cables for wear; replace as needed.
4. Indicate that these visual checks are complete on the form included at the end of this document.
5. Document any changes to the system in the course of these checks in the site logbook.

7.2 Filter Inspection and Replacement

Filters are present on both the instrument and the retroreflector fans. Some DOAS units may have two filters on the analyzer to mitigate salt intrusion and subsequent corrosion. Ensure all system filters are visually inspected and replaced if dirty.

Remove and inspect instrument filters following the procedure described here. Replace if necessary. Ensure fans are running (they should make an audible sound) when the system is turned back on.

NOTICE

The UV Sentry should be powered down prior to changing the filter. When powering down, adhere to the recommended shut-down procedure, which includes properly shutting down all applications, and then shut down the instrument PC.

When the PC has been successfully shut down, remove the power cord from the unit.

The UV Sentry contains a filter that must be changed on a periodic basis. Good airflow through the filter is directly related to the ability of the instrument to properly regulate internal temperature. If the filter is allowed to become clogged (through lack of maintenance), the system can overheat, and go into thermal shutdown. In extreme cases, damage may occur to the internal electronics.

The main filter is a custom size 8" x 8" x 1" pleated filter, which is stocked at the CEREX factory. If a large number of replacement filters are ordered, the lead time could be several weeks.

7.2.1 Filter Installation Procedure

1. Power down the instrument—you cannot replace the filter with the instrument running. First,

close the software and shut down the onboard PC. Next, disconnect the power.

2. The filter is accessible by removing the black plate located beneath the instrument touchscreen. The plate has the words "Filter Access" imprinted on it (Figure 5).
3. Use a 7/64 hex driver to remove the six socket-head cap screws that retain the filter access panel.



Figure 5. Location of filter access plate.

4. Once the access plate is removed, the filter can be accessed for removal and replacement. Old filters should be discarded and not re-used. Insert the new filter with the "Airflow" arrow pointing in the "UP" direction (Figures 6 and 7).
5. When inserting the new filter, do not force the filter into the slot. If you encounter any unusual resistance, open the side door, and ensure no wires have fallen into the filter slot.
6. When fully inserted, the filter should be flush with the instrument case.



Figure 6. Filter access plate removed and filter partially removed.



Figure 7. Filter completely removed.

7. Re-attach the black filter access panel using the same driver and six screws.
8. Power up the instrument, make sure CMS software has started, and realign the instrument.

7.3 Light Level Check

For good visibility conditions, signal strength is normally >90% and integration time is normally <50 ms. If it is determined that these values are out of range, re-alignment may be needed.

Check and record signal strength at 250 nm. With an integration time of less than 125 ms, minimum signal intensity at 250 nm should be greater than 5%.

7.3.1 Check for Stray Light

Ensure there is sufficient intensity at 250 nm compared to the stray light intensity. If there is more than 10-20% stray light, advanced optical cleaning, replacement, alignment, or a bulb change may be necessary. To measure stray light, block the beam from exiting the analyzer with an opaque object (such as a black cloth) and measure the intensity at the wavelength of interest. Calculate stray light by dividing the intensity of the beam while blocked by the intensity of the unblocked beam and multiplying by 100:

$$\%stray\ light = \frac{Intensity\ of\ blocked\ beam\ (\%)}{Intensity\ of\ unblocked\ beam(\%)} \times 100\%$$

Note the result of this stray light calculation in the form at the end of this document.

7.4 System Settings

Check the system settings and compare them to those documented in Section 5 (Routine Operations); if any settings do not match those listed in Section 5, provide any explanation for the changes. If you change any settings, document how the settings were changed in the instrument logbook present at the site. Note that all instrument settings are saved by the analyzer on a daily basis.

7.5 Data Management

7.5.1 Archiving and Deleting Older Data

Note: Data older than twelve months should be deleted from the instrument each month to prevent the instrument from filling its 125 GB internal hard drive.

Raw instrument data are stored on the analyzer computer, the site PC, and the hard drive attached to the site PC. Data consists of spectral data containing two columns: one for wavelength, and the other for intensity. There are also two types of "summary" files that contain data resulting from the classical least squares analysis of the spectral data as a function of time. These file formats are described in

the CMS Software User Manual.¹ Spectral data and summary files are automatically written to the site PC and moved to the external hard drive after a regular interval. Deliver the external hard drive to the client with the frequency indicated in the QAPP.

As noted above, data on the instrument must be deleted at monthly intervals. Details on the proper procedure for deleting data files from the instrument are as follows.

1. Confirm that the data files have been successfully written to site PC and the external hard drive attached to the site PC.
2. Make a note of the amount of available space on the instrument's internal drive on the maintenance form.
3. Locate files older than 12 months on the instrument file directory here: C:\Users\CMS-USER\Documents\Cerex\Data\.

Note: This procedure excludes the Bump Test folder, which should always remain on the instrument computer.

4. Log into the brick PC located in the instrument shelter and locate the data files written from the instrument onto the external hard drive.
5. Confirm all Complete Data Summary files and Simple Data Summary files for the desired month have been transferred over completely to the external hard drive attached to the brick PC.
6. Once you have confirmed that those files have transferred over to the external hard drive, delete those exact Complete Data Summary and Simple Data Summary files from the instrument data folders.
7. For each individual day of single beam folders, ensure that the amount of single beam files are the same on both the external hard drive located on the brick pc and the internal hard of the instrument.
8. If both folder locations match and you have ensured proper file download, you may permanently delete the Single Beam folders from the instrument computer.
9. After all data older than 12 months have been deleted, note how much free space is now available on the instrument's internal drive. If removal of the files does not result in enough free disk space, the disk drive may need to be reindexed (see Section 7.4.2).

7.5.2 Rebuilding the Instruments Indexing Preferences

If deleting data from the instrument does not seem to increase free instrument disk space, you may need to re-index the files. To rebuild the index preferences, follow these steps.

1. Under the **Control Panel Menu**, use the search function in the lower left-hand corner of the

¹ CMS Software User Manual Rev 4. CMS Version 4.0.298.1, CEREX Monitoring Solutions, December 5, 2017.

task bar to search for "Indexing Options."

2. Click on the **Advanced** tab with the shield logo.
3. Click **Rebuild**.

Note: Once "Rebuild" has been selected, a message will appear saying that it might slow user activity. This will not affect the instruments' ability to perform data collection. On the original indexing option screen, the magnifying glass in the upper right-hand corner will move and the number of items indexed will slowly increase. Take note of the available space on the instrument's internal drive once the indexing has been completed.

7.6 Clean Optics on Detector and Retroreflector

Cleaning the retroreflector is an important part of the maintenance plan. Over time, the retroreflector will collect debris that can alter the performance of the instrument. Caution should be taken as there are electrical fan heaters that are used to keep moisture and particulates from collecting on the retroreflectors.

Optic Cleaning

If light levels are low or visual inspection reveals soiled optics, cleaning optical surfaces with lens paper and solvent can improve light throughput. This applies to the primary mirror, secondary mirror, and quartz windows. In general, if the optic is not dirty, don't clean it, as excessive cleaning of optics can result in scratches and wear over time. If the optic is obviously soiled and is affecting performance, take the following steps. Mirrors with metallic coatings should be treated with extra care because these surfaces are easily damaged on contact.

1. Wear powder-free gloves to avoid transferring skin oils onto the optics.
2. Use compressed air/canned air to remove particles from the surface of the optic. If the optic is sufficiently clean after this step, stop here.
3. Use a solvent (isopropyl alcohol or methanol/acetone in a 60/40 ratio) and lens tissue to wipe the optic clean. If using acetone, make sure to use acetone-impenetrable gloves. Wipe slowly from the edges first with a solvent-soaked lens tissue. One technique is to drop solvent on the unfolded lens tissue and drag from one end to the other.

7.6.1 Retroreflector Cleaning

1. Power down any equipment to prevent electrical shock or damage to the system.
2. Use a gentle stream of distilled water, usually from a weed sprayer or other type of gentle delivery method, to remove any salt or dust built up on the retroreflector.
3. Use a gentle stream of 80% isopropyl alcohol, usually from a weed sprayer or other type of gentle delivery method, to remove any remaining salt or dust built up on the retroreflector.

4. Once the retroreflector has been cleaned and is dry, repower any electrical equipment you powered down and clean any spills you created while cleaning.

7.7 Inspect and Change Out UV Source If Intensity Spectrum Has Dropped Below Acceptable Range

NOTICE

Never power the UV Sentry without a properly installed Xenon UV Source obtained from CEREX.

Powering the system without a UV source may cause an electrical short, which will permanently damage the instrument.

Always remove the Xenon UV Source and secure the analyzer heat sink anode prior to transporting or shipping the UV Sentry.

Failure to remove the Xenon Source and secure the Heat Sink anode prior to transporting or shipping the UV Sentry may cause destruction of the source as well as the anode.

Always check the polarity of the Xenon UV Source for proper installation prior to powering the analyzer.

Installing the UV source with reverse polarity will permanently damage the UV Source and cause immediate failure. The Xenon UV Source is shipped from CEREX with Heat Shrink and labeling over the Anode (+) end of the Source. The UV Source must be installed so the Anode (+) end of the bulb mounts to the Anode Heat Sink. The UV Source will be oriented with the (+) end at the top.

7.7.1 Xenon UV Source Handling

The UV Sentry Xenon Source is shipped from CEREX in a protective plastic enclosure (see [Figure 8](#)). The (+) Anode end of the UV Source is labeled "UP." The UV Source must be installed with the (+) side UP. Always wear clean powder-free nitrile gloves when handling the UV Source. Oils from hands deposited on the UV Source glass bulb will cause damage in operation. Remove the "UP +" label from the UV Source prior to installation. If the glass bulb is touched with bare hands, clean the glass bulb with isopropyl alcohol or acetone prior to installation.

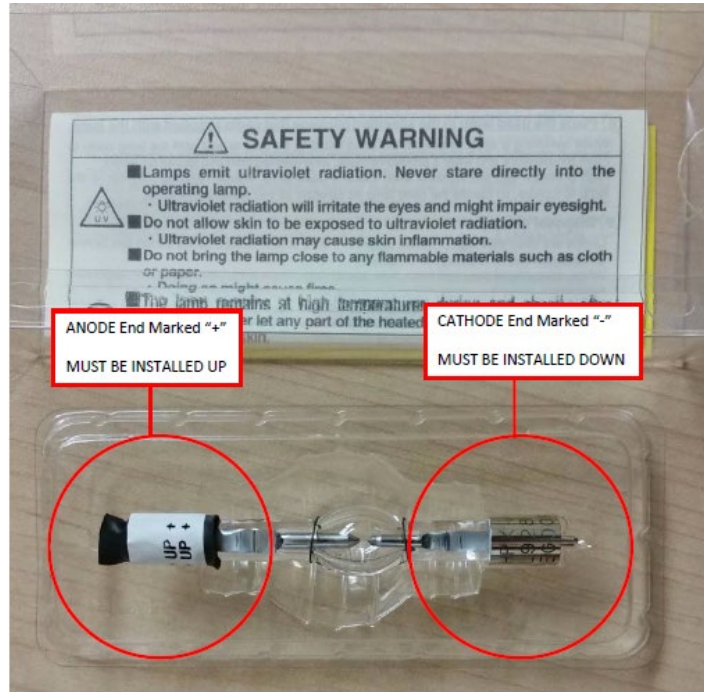


Figure 8. The ANODE end of the UV Source is marked (+). The CATHODE end of the UV Source is marked (-).

7.7.2 UV Sentry Xenon Source Removal

Prior to shipping or transporting the UV Sentry, remove the Xenon UV Source and secure the anode heat sink assembly.

1. Power off the analyzer and disconnect from power. Allow the analyzer to cool completely.
2. Use the provided key to remove the Source Access Panel (see [Figure 9](#)).



Figure 9. Opening the source access panel.

3. Wearing clean nitrile gloves, loosen the retaining thumbscrew on the Anode Heatsink at the top of the UV Source (see Figure 10).

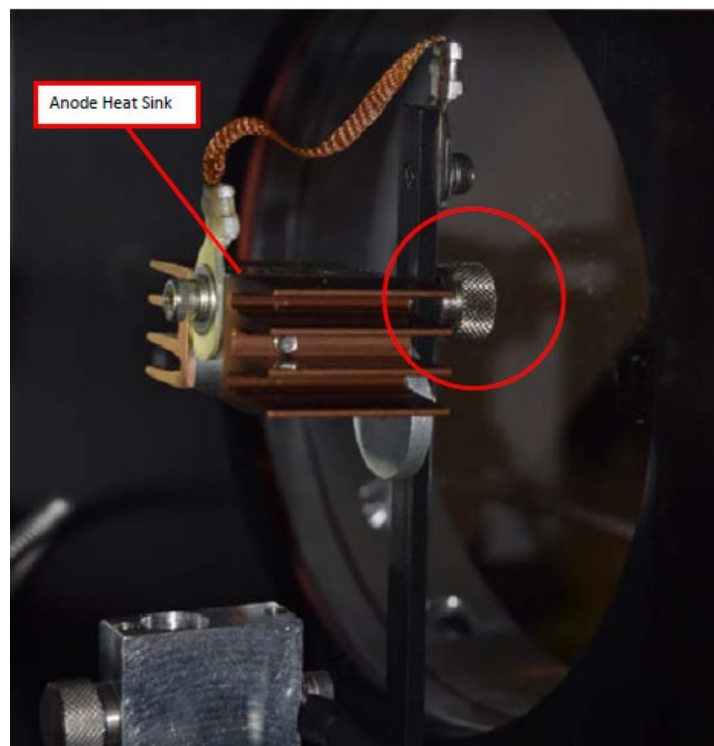


Figure 10. Anode heatsink at the top of the UV source.

4. Lift the Anode Heat Sink off the top of the UV Source. It is connected to the post by a cable. Gently let the heat sink dangle.
5. Loosen the retaining thumbscrew on the Cathode block at the bottom of the source (**Figure 11**).

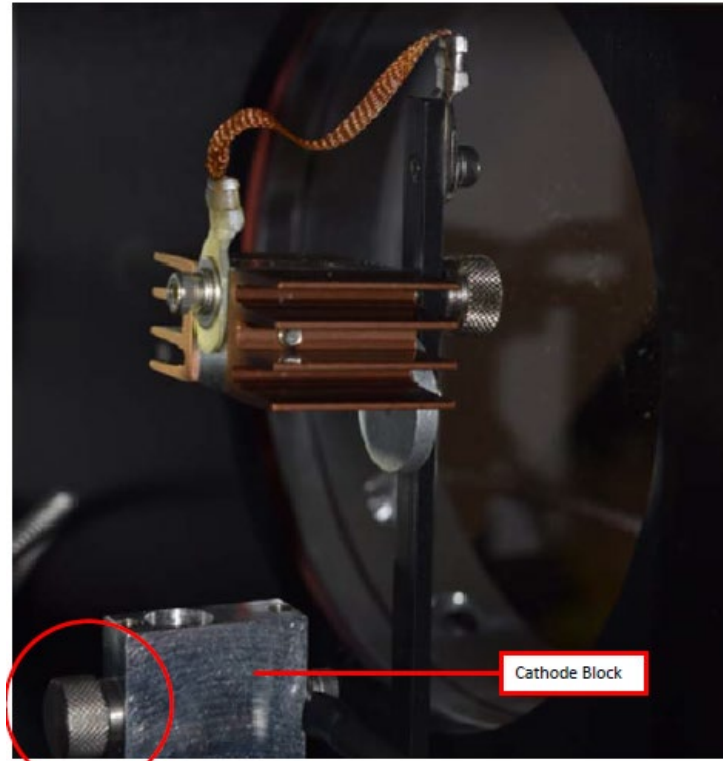


Figure 11. Loosen the thumbscrew on the Cathode block at the bottom of the UV source.

6. Lift the source lamp straight up and out of the mount.

7.7.3 UV Sentry Xenon UV Source Installation

1. Insert the Cathode (-) end of the Xenon UV Source into the Cathode Block (see **Figure 12**). The Cathode end of the UV Source is marked with (-).

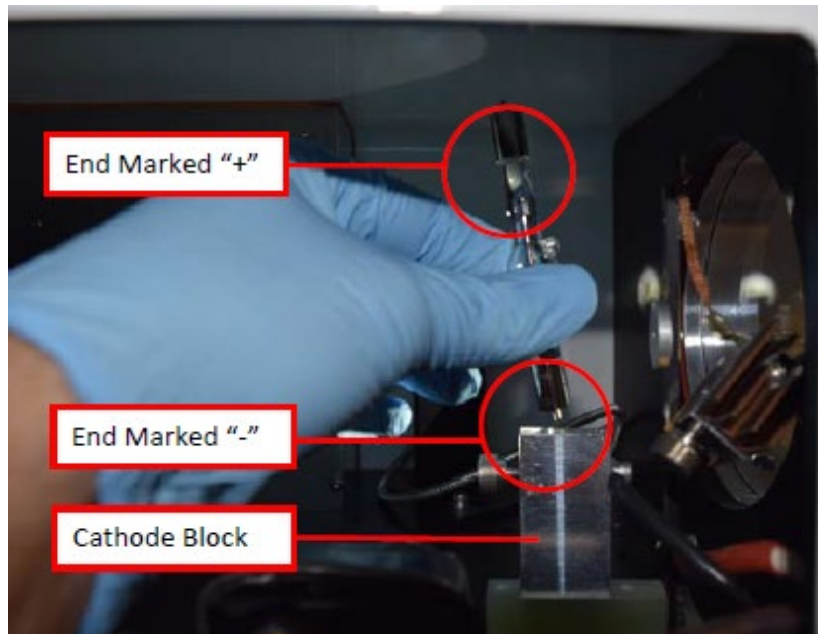


Figure 12. Inserting the Xenon UV Source into the Cathode block.

2. Rotate the UV Source so the nipple on the glass envelope faces the aluminum disc on the mounting post.
3. Tighten the Cathode block thumbscrew gently. Gently pull up on the Xenon UV Source to verify the thumbscrew has made contact with the nipple on the UV Source cathode.
4. Slide the Anode Heat Sink (+) over the top of the UV Source Anode. The Anode end of the UV Source is marked (+). Gently tighten the Anode Heat Sink thumbscrew to secure. Gently pull up on the heat sink to ensure the thumbscrew has made contact with the nipple on the UV Source anode.
5. Reinstall the Source Access Panel prior to powering on the analyzer.
6. Confirm signal strength through the remote desktop connection before leaving path.

7.7.4 Secondary Optic Alignment

If there is lower intensity than what was previously observed after the UV Source been replaced, an internal alignment of the secondary optic might be required. This should only be performed by a technician who has been properly trained to perform internal alignments.

Note: Proper PPE must be worn (glasses, sunblock) while performing a secondary alignment to prevent over-exposure to high intensity UV light from the UV Source within the instrument. Also, use an opaque object (such as cardboard or paper) to block as much of the light from the bulb as possible while still maintaining a view of the secondary mirror and fiber (if required).

1. Stop CMS and navigate to the **Alignment** menu.
2. Properly align the instrument at 20 to 25 ms integration time, as shown in **Figure 3**. Make a note of the intensity at 254 nm and the overall shape of the UV signal return.
3. Open the side of the instrument to gain access to the secondary optic.
4. Ensure alignment achieves maximum signal return and is fully aligned to the retroreflector. This is achieved by maximizing signal intensity in align mode at an 8 ms integration time. If signal cannot be confirmed in align mode, this can be done visually by maximizing the visual return brightness on the retroreflector either by looking through the telescope or by placing your head next to the instrument and observing the returned reflection from the retroreflector.
5. If the entire spectrum is visible in the alignment menu, take note of the deep UV intensity at 254 nm. If the instrument is over saturation, as seen in **Figure 4**, take note of the wavelength at which the oversaturation starts.
6. Once the instrument is aligned, take care not to bump the instrument.
7. Adjust the first secondary mirror mount thumb screw to maximize the overall intensity.
8. Move on to the second mirror mount thumb screw and repeat the same process of adjustment to maximize the signal return.
9. In an iterative process, continue to adjust the optical mount screws to maximize intensity, one at a time, until no further gain in signal intensity is achieved.

Note: Only adjust the two thumb screws of the secondary optic.

10. Set the integration time back to 20-25 ms and make sure the instrument is able to achieve a proper UV spectrum, as shown in Figure 3. If you are not able to achieve the proper UV spectrum, repeat steps 6-8.
11. Take note of the overall shape of the UV intensity and adjust secondary mirror to maximize intensity at 254 nm.
12. Close the access door of the instrument enclosure. Observe if having the access door closed has changed the internal alignment.
13. Return the instrument to its normal operation and observe the first few scans to ensure the UV spectra are acquired.

7.8 Perform Bump Test

7.8.1 Apparatus Setup

Bump tests of open-path analyzers require high concentration (~100 ppm) calibration gases. Standard refinery personal protective equipment (PPE) should be worn at all times, including safety

glasses. This procedure requires the use of pressurized gas cylinders; training on proper handling of pressurized systems is required. The operator-supplied SOP, approved by the End User and in compliance with End User's Health and Safety Plan, is also required.

Verify the system is set up (minus the instrument connections) as depicted in **Figure 13**.

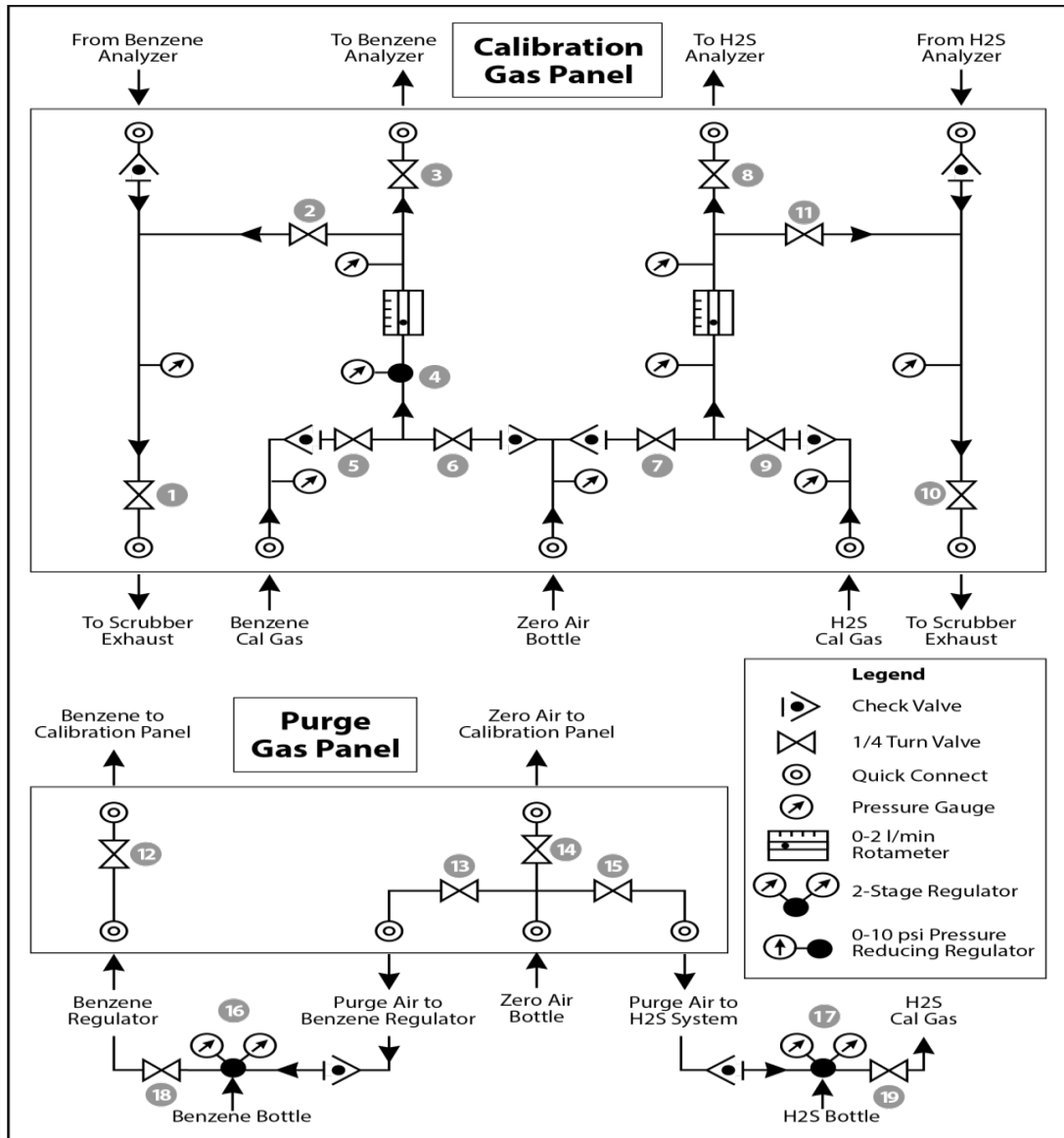


Figure 13. Diagram of the calibration gas panel (top) and purge gas panel (bottom) used for gas delivery.

7.8.2 Prepare CMS for Gas Testing

Note: There is a summary of system settings in Section 5 that can help you when you are changing any setting in the CEREX UV-DOAS instrument.

7.8.3 Configure CMS for Test (This may be concurrent with Gas Purge System setup)

1. The analyzer should be powered and running for **at least 30 minutes**.
2. Stop CMS data collection by pressing the **STOP** button.

7.8.4 Configure Test Files

1. Click **Advanced** on the left side of the CMS software window; the password can be provided by the Field Staff Manager.
2. Under **Advanced** -> **Settings** -> **Runtime** -> **File**, note the current file path so that it can be restored at the end of the test.
3. Under **Advanced** -> **Settings** -> **Runtime** -> **File**, turn off **Secondary Logging**.
4. Change the primary file logging paths (both of them) to: C:\Users\CMS-USER\Documents\Cerex\Data\bumpstest. Then select **File** and select **Save**.

7.8.5 Leak Check

1. Ensure all the tubing from the purging panel is connected to the calibration panel. Ensure gas cylinders are connected to the purge panel as depicted in Figure 13. Then attach the calibration panel to the analyzer connection in the analyzer shelter.
2. Connect the PTFE tubing containing the activated carbon scrubber to the analyzer exhaust.
3. Close all valves on the calibration and purging panel.
4. Verify that the regulators on the zero air and benzene cylinders are completely closed (all the way to the left) to prevent any pressure buildup at the regulator.
5. Open high-pressure valves on both the benzene and zero air bottles.
6. Open valve (14) and slowly open the regulator on the zero air cylinder to a pressure of 5-10 psi, observed on the calibration panel zero gas pressure gauge. Do this by making small adjustments at the cylinder regulator and watching the pressure on the calibration panel.
7. Open valve (12) and slowly open the regulator on the benzene cylinder to a pressure of 5-10 psi on the pressure gauge on the benzene calibration gas channel of the panel. Do this by making small adjustments at the cylinder regulator and watching the pressure on the calibration panel.
8. Open the bypass valve (2).
9. Open zero air valve (6) and slowly open the regulator to a final pressure of about 2 psi (as

read on cell and exhaust pressure gauges). **DO NOT pressurize above 3 psi.**

10. Now pressurize cell: slowly open the valve going to the cell (valve 3) and close the bypass valve (2) while carefully watching the cell pressure gauge after the regulator (4). If you note any sudden pressure increases, just open the bypass valve (2) to relieve the pressure on the cell. Wait until the same pressure is reached on the pressure gauge of the exhaust side of the calibration panel.
11. Close the zero air valve (6) going to the benzene regulator on the panel so the system is now fully closed off to external pressure.
12. Watch the system for a minimum of 5 minutes to ensure there is no pressure drop and the system is leak free.
13. Open the leak check valve (1) to release the pressure from the system, and then close all the valves on both panels.
14. Record leak check.
15. Click the **ALIGN** button at the bottom left of the plot display.
16. Adjust the alignment until the signal intensity is optimized.
 - a. **Target intensity is 70 – 90%.**
 - b. **Target integration time is between 20 ms and 25 ms.**
17. **Record** the intensity and integration time.

7.8.6 Bump Test

This procedure was written assuming that the benzene and zero (purge) air side of the calibration panel has been pressurized according to the procedure above. At this point it is prudent to set up the instrument to start taking test measurements according to the UV-DOAS test procedure.

Background Measurement Using Zero (Purge) Gas

1. Close the secondary pressure regulator (4) on the panel by turning all the way to the left.
2. Open the leak check (1) and bypass valve (2).
3. Open Zero Air Valve (6).
4. Adjust the flow of purge air going through the bypass until the desired flow rate (1 lpm) and pressure are achieved by slowly increasing the pressure on the secondary pressure regulator (4). The backpressure on the scrubber (measured between valves [1] and [2]) is typically less than 1 psi.
5. Open the valve going to the cell (3) and close the valve on the bypass (2) while carefully watching the cell pressure gauge after the regulator (4). If you note any sudden pressure increases, just open the bypass valve (2) to relieve the pressure on the cell. Wait until the

desired flow rate has stayed the same and the pressure on the entire system has not increased.

6. Press **RUN** to start background acquisitions.
7. Flow compressed zero air purge gas at total flow of 1 L/min for ten 30-second acquisitions.
8. Monitor until a stable zero reading is reached and then allow the analyzer to run until an acceptable background is reached.
9. Close the zero gas valve (6).
10. Close all valves.
11. Close the secondary pressure regulator (4) on the panel.

7.8.7 Span Test

1. Open the leak check (1) and bypass valve (2).
2. Open the benzene valve (5).
3. Adjust the flow of benzene going through the bypass and scrubber until the desired flow rate (1 lpm) and pressure are achieved by slowly increasing the pressure on the secondary pressure regulator (4). The backpressure on the scrubber (measured between valves (1) and (2)) is typically less than 1 psi.
4. Open the valve going to the cell (3) and close the valve on the bypass (2) while carefully watching the cell pressure gauge after the regulator (4). If you note any sudden pressure increases, just open the bypass valve (2) to relieve the pressure on the cell. Ensure the desired flow rate has stayed the same and adjust as needed.
5. Wait 5 minutes to fill and condition lines and cell.
6. After 5 minutes of Check Gas flow, press **Start** in CMS.
7. Collect Check Gas data.
 - a. Observe the concentration reported on the **DATA** tab.
 - b. After the concentration becomes stable, allow the analyzer **to run until 7-15 stable measurements are made**.
 - c. **Verify that the values meet the QA criteria. If the test fails QA criteria, follow the corrective actions listed at the end of this section (see: Data Evaluation, Reporting, and Corrective Action).**

7.8.8 Completion of Test and Purge of Benzene Regulator

1. Close the benzene cylinder. You will see benzene pressure increase and/or fluctuate as the pressure on the bottle regulator drops. This is normal - be patient and wait for the benzene pressure to zero out.

2. When pressure on cylinder and panel read zero, open the benzene purge valve (13)
3. **Verify that the target gas(es) concentration has returned to 0 ppm with non-detect percent match.**

NOTE If not, ambient background target gas concentration has changed during the procedure; testing may need to be repeated to verify results.

4. Once zero reading is indicated on the UV-DOAS, close all valves.
5. Close the zero air cylinder and allow for all the pressure to be released from the system.
6. Close all valves and ensure there is zero pressure on the system.
7. Disconnect tubing to the analyzer and activated carbon scrubber.

7.8.9 Restore Normal Operation

1. **Restore** Normal Operation.

Note: When restoring normal operation, you will change the file writing path in the settings menu back to the normal file writing path (this is slightly different for each unit, so make a note when first setting up the instrument for the QA test). Once you restart the CMS, you will see the file number located on the UV main menu of CMS. If the file count restarts and starts at file 1, you have the incorrect file writing path because it is starting a new folder for the entire day. Also, once you restart CMS, look at the single beam graph also located on the CMS UV main menu to ensure a good alignment and intensity in the lower UV wavelengths.

2. **STOP** CMS.
3. Click **Advanced** on the left side of the CMS software window; the password can be provided by the Field Staff Manager.
4. Under **Advanced** -> **Settings** -> **Runtime** -> **File**, turn On **Secondary Logging**. Change both of the primary file logging paths to: C:\Users\CMS-USER\Documents\Cerex\Data. Then select **File** and click **Save**.
5. Check the system alignment as previously described.
6. Press **RUN** to begin monitoring.

7.8.10 Test Suspension

In the event of a leak or plant alarm requiring the suspension of work, the process should be safely suspended.

1. If a plant or site alarm sounds during the validation, stop the test immediately as follows.
2. Close the reference gas bottle valve completely.
3. Allow the system to flow purge gas to the scrubber/vent.

7.8.11 Data Evaluation, Reporting and Corrective Action

During these tests, a number (N) of replicated, raw time resolution measurements (x_i) of a standard reference material of known magnitude (x_{std}) will be measured. Here, an acceptable number of trials will be defined as $7 \leq N \leq 15$. The average value of these measurements is calculated as

$$\bar{x} = \frac{\sum_i x_i}{N} \quad (1)$$

and the sample standard deviation (σ) as:

$$\sigma = \sqrt{\frac{\sum_i (x_i - \bar{x})^2}{N-1}}. \quad (2)$$

From these definitions, % error is defined as:

$$\% \text{ error} = \left| \frac{\bar{x} - x_{std}}{x_{std}} \right| \times 100\% \quad (3)$$

and precision as the coefficient of variation expressed as a percentage (% CV):

$$\text{Precision} \equiv \% \text{ CV} = \frac{\sigma}{\bar{x}} \times 100\% \quad (4)$$

1. Concentration
 - a. Average the concentration of 15 consecutive stable measurements.
 - b. Report the % error between the average and the certified value. The acceptable % error is listed in the QAPP.

Note: To calculate the certified value that will be seen on the CEREX UV-DOAS from the actual certified instrument calibration gas concentration, you multiply the certified gas concentration by the calibration cell length (0.047 meters) and divide that answer by the path length set in the instrument software. For most CEREX instruments in operation by Sonoma Technology, the path length is set to 2 meters for monostatic instruments and 1 meter for bistatic instruments.
2. Calculate the Limits of Detection and Quantitation.
 - a. Calculate the mean (average), sample standard deviation, and % error (sometimes also referred to as % difference) of the selected results.
 - b. Report the Detection Limit as three times the standard deviation.
 - c. Report the Quantitation Limit as five times the standard deviation.
3. Compile all configuration files, spectra files, and log files into a single folder.
 - a. The folder should be named "CUS LOC QATest UV# YearMonDy" where CUS is a three-letter designator for the customer and LOC is a three-letter designator for the facility location.

The QAPP contains the acceptance criteria and warning levels to be used for the test.

Note:

- **If the test produces an error or precision greater than the warning level:** the test is considered passing if below the acceptance criteria, but corrective action should be taken so that the precision and error are below the warning levels.
- **If the test produces an error or precision greater than the acceptance criteria:** the test is not considered passing and corrective action should be taken so that the precision and error are below the warning levels. Equipment will not be placed into service (taken out of "maintenance mode") until it meets all measurement criteria.

If the measurements do not meet the data quality objectives listed in the QAPP, repeat the procedure without adjustment. If the instrument still fails to meet the QA criteria, retest the following day with no adjustment. If these repeated tests continue to fail, initiate corrective actions such as:

- Realign the system and perform the test again.
- Reviewing data for potential interferants, including a detailed check of absorbance spectra in the analysis regions configured for the analyte, noting any excessive noise or unexpected absorbance features.
- Consulting with the project technical lead to identify abnormal changes to the background
- Check wavelength calibration
- Checking for large changes in stray light since the last test and adjusting calibration factors as necessary
- Reviewing gas testing apparatus for leaks or other similar problems
- Review and confirm specifications of standard calibration equipment and gases (expiration dates, concentrations, etc.)

In the event of a failed test after following all steps outlined above, inform the Sonoma Technology Project Manager and Quality Assurance Manager, who will review the instrument performance parameters in the list above.

If all parameters indicate that the instrument was performing properly since the last test, data since the last test will be flagged as suspect. If an issue with the instrument is identified, data since the date and time of the instrument issue will be flagged as invalid. All data flagging will be performed by Data Analysts in consultation with the Quality Assurance Manager.

8. Data Validation and Quality Control

Data for the fenceline monitoring network appears on both public and internal sites. The internal website allows for detailed quality control and flagging of the data. Data are checked daily and

finalized quarterly as outlined in the QAPP. This section outlines how to perform daily and quarterly data validation.

8.1 Daily Checks

Both the public website and the admin website need to be checked twice daily (for example, before 10 a.m. and 10 p.m.).

1. Ensure that the site is operating properly by pointing your browser to the public website.
2. View the data display on the public website. Take note of any outages by selecting **All Compounds** from the pollutant dropdown menu.
3. View the time series graphs for each compound by selecting each compound in the pollutant dropdown menu. Verify that pollutant concentrations are reasonable by using the guidance in [Table 2](#). Notify the field operations team if anything seems erroneous.

Table 2. Parameters measured with the UV-DOAS and typical observations.

Parameter	Observational Notes
Visibility	~30 miles is the maximum measured by the sensor. Values are typically less than 30 miles due to smog and fog.
Integration Time	Should generally be <250 ms. Report values stuck at 300 ms to PM and fieldopsalerts . Should be anticorrelated with visibility for fog events.
Winds	Typically there is a sea breeze during the day, land breeze at night. Winds are stronger near the coast at met west.
Benzene	Typically below MDL (~1 ppb) – note any high values above REL or immediately visible on the public website (this is a toxic compound)
Toluene	Typically below MDL (~1 ppb) – note any high values above REL.
Ethylbenzene	Typically below MDL (~1 ppb) – note any high values above REL.
o-xylene	Typically below MDL (~1 ppb)– note any high values above REL.
m-xylene	Typically below MDL (~1 ppb) – note any high values above REL.
p-xylene	Typically below MDL (~1 ppb) – note any high values above REL.
SO ₂	0 to 100 ppb, usually zero. Refineries are a local source – note any high values above REL.
NO ₂	Typically 0 to 200 ppb. Values typically peak at night; sunlight destroys it, traffic and combustion produce it. Some instruments may not detect NO ₂ if they are saturated.

8.2 Quarterly Validation

Quarterly validation activities involve looking at the data over a longer time period (3 months) than the daily checks (typically a time range of a few days). To validate the data:

1. Plot time series and look for statistical anomalies. If problems are found they may be flagged using the DMS.
2. Review any instrument bump test results.
3. Verify that daily instrument checks were acceptable.
4. Review manual changes to operations/data, and verify that the changes were logged and appropriately flagged; ensure that logged information is complete and understandable.
5. Ensure that instrument checks have the appropriate (Quality Control) QC codes applied.
6. Assign invalid data a Null Code, providing a reason for data being invalid.
7. If a record is not created for a particular site/date/time/parameter combination, create a null record for data completeness.
8. Inspect data consistency.
9. Review collected data ranges for consistency – ranges should remain within expected values over months of monitoring.
10. Check bump test values for completeness; ensure they meet acceptance criteria.
11. Review quarterly data completeness.

9. Maintenance Forms

Path: _____

Technician: _____

Date: _____

Instructions: complete checks described below and enter data or initial next to each one once complete. Make note of any corrective action.

- Notify the client and project manager of maintenance tasks.
- Using the field tech tool at ftt.sonomatechmonitor.com, place the equipment into planned or unplanned maintenance mode.
- Confirm that the data is invalidated on the public website before proceeding with maintenance.
- When maintenance is complete check the public site for at least 15 min to ensure proper reporting (no missing data, no high values, etc.).
- Take out of maintenance mode
- Notify the project manager and client when maintenance is complete.

Upon completion sign and date: _____

Table 3. Maintenance activities and performance indicator checks for the UV-DOAS.

Activity / Check	Completed (Y/N)
Visually inspect the system.	
Inspect optics on detector and retroreflector; clean if necessary.	
Inspect system filters on the optics and retroreflectors.	
Confirm the alignment to verify there has not been significant physical movement. Note: this is automatically monitored as well.	
Download data from detector hard drive and delete old files to free space, if needed. Ensure data are backed up on external drive.	
Ensure there are no obstructions between the detector and the retroreflector (such as equipment, vegetation, vehicles).	
Change out the UV source. ^a	
Replace ventilation exit and intake filters.	
Clean optics on detector and retroreflector.	
Realign system after service.	
Perform bump test (simulates system-observed gas content at the required path average concentration) to verify the system can detect at or below a lower alarm limit.	
Review and test light and signal levels. Check average light intensity to establish baseline for bulb change frequency.	
Verify system software settings.	
Deliver previous years data to client and remove from brick and analyzer	

^a UV bulbs will be changed depending on deep UV performance.

Corrective Actions for UV-DOAS:

UV Sentry Fenceline Detection System

DATE: _____ Location: _____

Test Technician 1: _____ 2: _____

Physical one-way path length _____ m Instrument Configuration (mono/bistatic) _____

Sentry Alignment and Light Levels

Integration time	_____	Target 20-24 ms
300 nm Intensity	_____	Target 80-120%
254 nm Intensity	_____	Target >5%
Blocked Beam Intensity at 254 nm	_____	
% Stray Light	_____	

Gas Purge System

Flow purge gas _____ Start Time _____

Prepare CMS

Path length in the CMS Configuration (typically 1 m for monostatic and 2 m for bistatic) _____ m

Configure Test Files

Site File (i.e., Bump Test UV# YearMoDy) _____
Baseline Check _____ init

Reference Gas

Concentration _____ ppm
Source _____
Date _____
Cylinder Pressure _____

NOTES:

